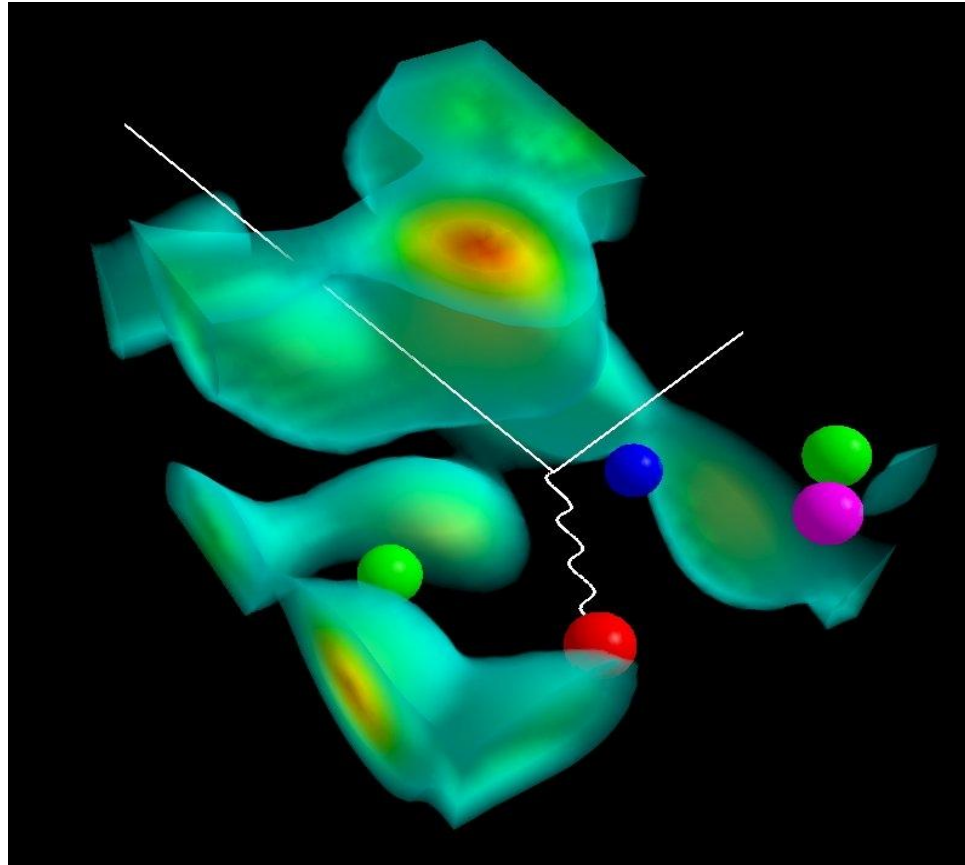


# Structure of Finite Nuclei Starting at the Quark Level



Australian Government  
Australian Research Council

Anthony W. Thomas

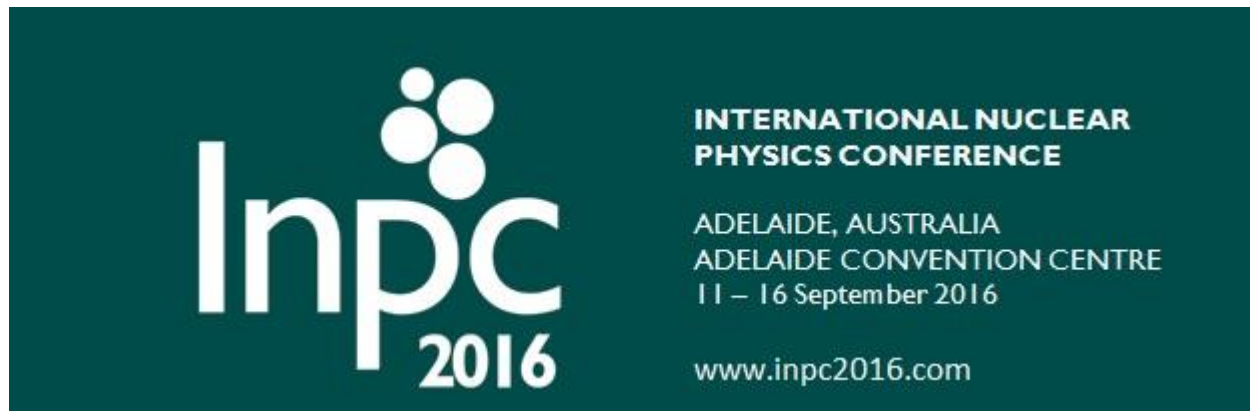
INPC2016

Adelaide : 12<sup>th</sup> September 2016



# Outline

- Start from a QCD-inspired model of *hadron* structure
- Ask how that internal structure is modified in-medium
- This naturally leads to saturation  
+ predictions for all hadrons (e.g. hypernuclei...)
- Derive effective forces (Skyrme type): apply to finite nuclei
- Test predictions for **quantities sensitive to internal structure**: DIS structure functions, form factors in-medium....



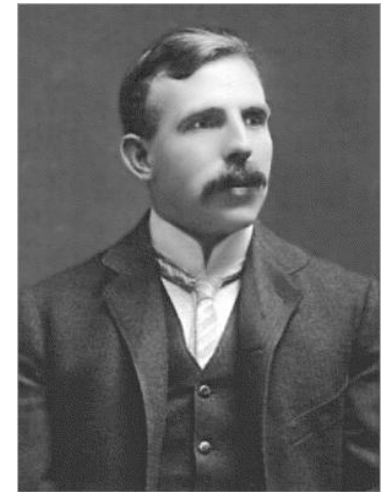
# Rutherford

Discovered that alpha particles went straight through matter – most of the time

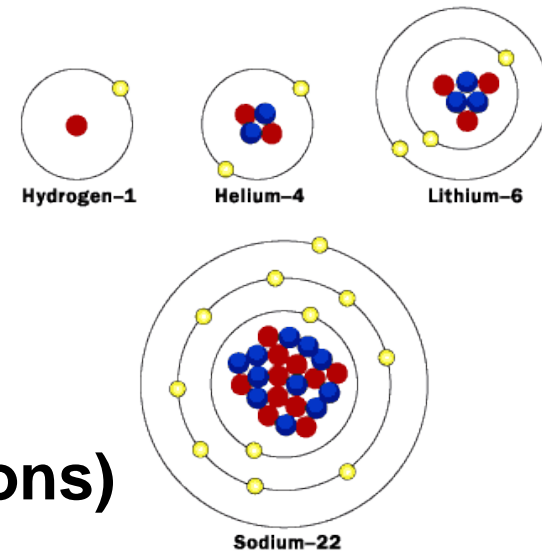
Occasionally scatter very hard – back the way they came!

Concluded **matter is mainly empty space!**

There is a heavy nucleus surrounded at a (comparatively great distance by electrons)



Isotopes of Hydrogen, Helium, Lithium and Sodium



● Neutron    ● Proton    ● Electron

- Since the neutron was discovered by Chadwick, nuclei have been built from neutrons and protons, *with exactly the same properties in-medium as outside*, interacting through the exchange of pions and other mesons
- BUT is that the whole story?
- After all, along came QCD in the 1970s!



**BUT regarded as irrelevant to nuclear structure.....**

## D. Alan Bromley (Yale) to Stan Brodsky in 1982

**“Stan, you have to understand -- in nuclear physics we are only interested in how protons and neutrons make up a nucleus.**

**We are not interested in what is inside of a proton.”**



## D. Alan Bromley (Yale) to Stan Brodsky in 1982

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# Fundamental Question for Nuclear Physics

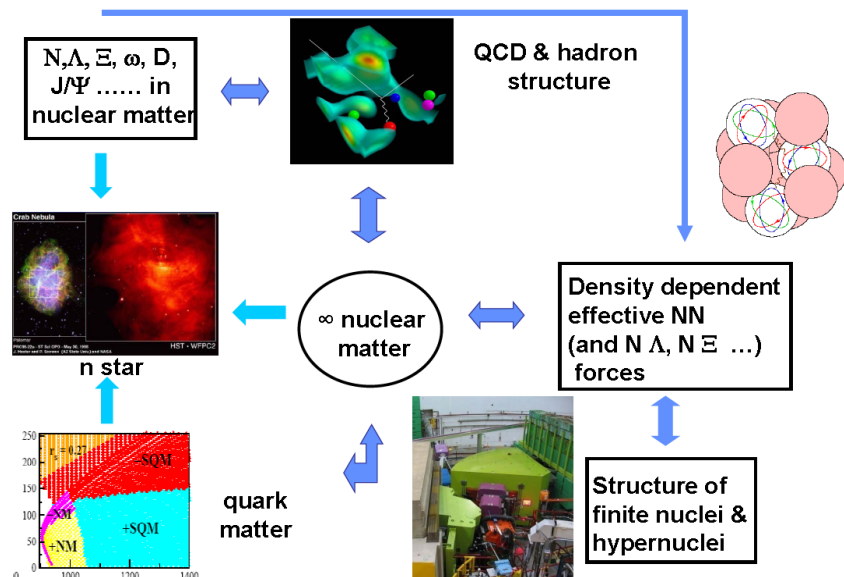
- Is the nucleon ~~immutable~~ ?  
physics333.com
- i.e. When immersed in a nuclear medium with *applied scalar field strength of order half its mass* is it really unchanged??
- When looked at in the context of QCD as the theory of the strong force clearly **NO**
- Is this irrelevant to nuclear structure? **NO**
- Indeed, we argue it is of fundamental importance.....

# A different approach : QMC Model

(Guichon, Saito, Tsushima et al., Rodionov et al.

- see Saito et al., Progress Part. Nucl. Phys. 58 (2007) 1 for a review)

- Start with quark model (MIT bag/NJL...) for all hadrons
- Introduce a relativistic Lagrangian with  $\sigma$ ,  $\omega$  and  $\rho$  mesons coupling to non-strange quarks
- Hence only 3 parameters :  $g^q_{\sigma,\omega,\rho}$ 
  - determine by fitting to saturation properties of nuclear matter ( $\rho_0$ ,  $E/A$  and symmetry energy)
- Must solve self-consistently for the internal structure of baryons in-medium





# Effect of scalar field on quark spinor

- MIT bag model: quark spinor modified in bound nucleon

$$\psi = \frac{\mathcal{N}}{4\pi} \begin{pmatrix} j_0(xu'/R_B) \\ i\beta_q \vec{\sigma} \cdot \hat{u}' j_1(xu'/R_B) \end{pmatrix} \chi_m$$

- Lower component enhanced by attractive scalar field

$$\beta_q = \sqrt{\frac{\Omega_0 - m_q^* R_B}{\Omega_0 + m_q^* R_B}}$$

- This leads to a *very small* ( $\sim 1\%$  at  $\rho_0$ ) *increase in bag radius*
- It also *suppresses the scalar coupling to the nucleon as the scalar field increases*

$$\frac{\Omega_0/2 + m_q^* R_B (\Omega_0 - 1)}{\Omega_0 (\Omega_0 - 1) + m_q^* R_B / 2} = \int \bar{\psi} \psi \, dV$$

- This is the “scalar polarizability”: a new saturation mechanism for nuclear matter

# Quark-Meson Coupling Model (QMC): Role of the Scalar Polarizability of the Nucleon

The response of the nucleon internal structure to the scalar field is of great interest... and importance

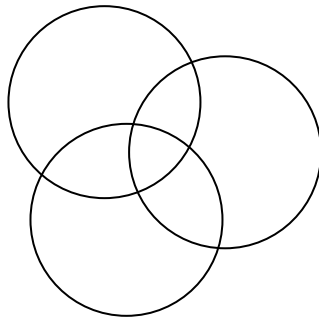
$$M^*(\vec{R}) = M - g_\sigma \sigma(\vec{R}) + \frac{d}{2} (g_\sigma \sigma(\vec{R}))^2$$

Non-linear dependence through the **scalar polarizability**  
 $d \sim 0.22 R$  in original QMC (MIT bag)

Indeed, in nuclear matter at mean-field level (e.g. QMC), this is the **ONLY** place the response of the internal structure of the nucleon enters.

# Summary : Scalar Polarizability

Consequence of polarizability in atomic physics is many-body forces:



$$V = V_{12} + V_{23} + V_{13} + V_{123}$$

- same is true in nuclear physics:
- scalar polarizability is **natural source of 3-body force**

# Finite nuclei

# Derivation of Density Dependent Effective Force

Physical origin of density dependent forces of Skyrme type within the quark meson coupling model

P.A.M. Guichon <sup>a,\*</sup>, H.H. Matevosyan <sup>b,c</sup>, N. Sandulescu <sup>a,d,e</sup>,  
A.W. Thomas <sup>b</sup>

Nuclear Physics A 772 (2006) 1–19

- **Start with classical theory of MIT-bag nucleons with structure modified in medium to give  $M_{\text{eff}}(\sigma)$ .**
- **Quantise nucleon motion (non-relativistic), expand in powers of derivatives**
- **Derive equivalent, local energy functional:**

$$\langle H(\vec{r}) \rangle = \rho M + \frac{\tau}{2M} + \mathcal{H}_0 + \mathcal{H}_3 + \mathcal{H}_{\text{eff}} + \mathcal{H}_{\text{fin}} + \mathcal{H}_{\text{so}}$$

# Derivation of effective Force (cont.)

$$\mathcal{H}_0 + \mathcal{H}_3 = \rho^2 \left[ \frac{-3G_\rho}{32} + \frac{G_\sigma}{8(1 + d\rho G_\sigma)^3} - \frac{G_\sigma}{2(1 + d\rho G_\sigma)} + \frac{3G_\omega}{8} \right] \\ + (\rho_n - \rho_p)^2 \left[ \frac{5G_\rho}{32} + \frac{G_\sigma}{8(1 + d\rho G_\sigma)^3} - \frac{G_\omega}{8} \right],$$

$$\mathcal{H}_{\text{eff}} = \left[ \left( \frac{G_\rho}{8m_\rho^2} - \frac{G_\sigma}{2m_\sigma^2} + \frac{G_\omega}{2m_\omega^2} + \frac{G_\sigma}{4M_N^2} \right) \rho_n + \left( \frac{G_\rho}{4m_\rho^2} + \frac{G_\sigma}{2M_N^2} \right) \rho_p \right] \tau_n \\ + p \leftrightarrow n,$$

$$\mathcal{H}_{\text{fin}} = \left[ \left( \frac{3G_\rho}{32m_\rho^2} - \frac{3G_\sigma}{8m_\sigma^2} + \frac{3G_\omega}{8m_\omega^2} - \frac{G_\sigma}{8M_N^2} \right) \rho_n \right. \\ \left. + \left( \frac{-3G_\rho}{16m_\rho^2} - \frac{G_\sigma}{2m_\sigma^2} + \frac{G_\omega}{2m_\omega^2} - \frac{G_\sigma}{4M_N^2} \right) \rho_p \right] \nabla^2(\rho_n) + p \leftrightarrow n,$$

$$\mathcal{H}_{\text{so}} = \nabla \cdot J_n \left[ \left( \frac{-3G_\sigma}{8M_N^2} - \frac{3G_\omega(-1 + 2\mu_s)}{8M_N^2} - \frac{3G_\rho(-1 + 2\mu_v)}{32M_N^2} \right) \rho_n \right. \\ \left. + \left( \frac{-G_\sigma}{4M_N^2} + \frac{G_\omega(1 - 2\mu_s)}{4M_N^2} \right) \rho_p \right] + p \leftrightarrow n.$$

**Spin-orbit  
force  
predicted!**

**Note the totally new, subtle density dependence**

# Systematic Study of Finite Nuclei

# Systematic approach to finite nuclei

**J.R. Stone, P.A.M. Guichon, P. G. Reinhard & A.W. Thomas:**  
( Phys Rev Lett, 116 (2016) 092501 )

- **Constrain 3 basic quark-meson couplings ( $g_\sigma^q, g_\omega^q, g_\rho^q$ ) so that nuclear matter properties are reproduced within errors**

$$-17 < E/A < -15 \text{ MeV}$$

$$0.14 < \rho_0 < 0.18 \text{ fm}^{-3}$$

$$28 < S_0 < 34 \text{ MeV}$$

$$L > 20 \text{ MeV}$$

$$250 < K_0 < 350 \text{ MeV}$$

- **Fix at overall best description of finite nuclei (+2 pairing pars)**
- **Benchmark comparison: SV-min 16 parameters (11+5)**



# Overview of 106 Nuclei Studied – Across Periodic Table

Element	Z	N	Element	Z	N
C	6	6 - 16	Pb	82	116 - 132
O	8	4 - 20	Pu	94	134 - 154
Ca	20	16 - 32	Fm	100	148 - 156
Ni	28	24 - 50	No	102	152 - 154
Sr	38	36 - 64	Rf	104	152 - 154
Zr	40	44 - 64	Sg	106	154 - 156
Sn	50	50 - 86	Hs	108	156 - 158
Sm	62	74 - 98	Ds	110	160
Gd	64	74 - 100			

N	Z	N	Z
20	10 - 24	64	36 - 58
28	12 - 32	82	46 - 72
40	22 - 40	126	76 - 92
50	28 - 50		

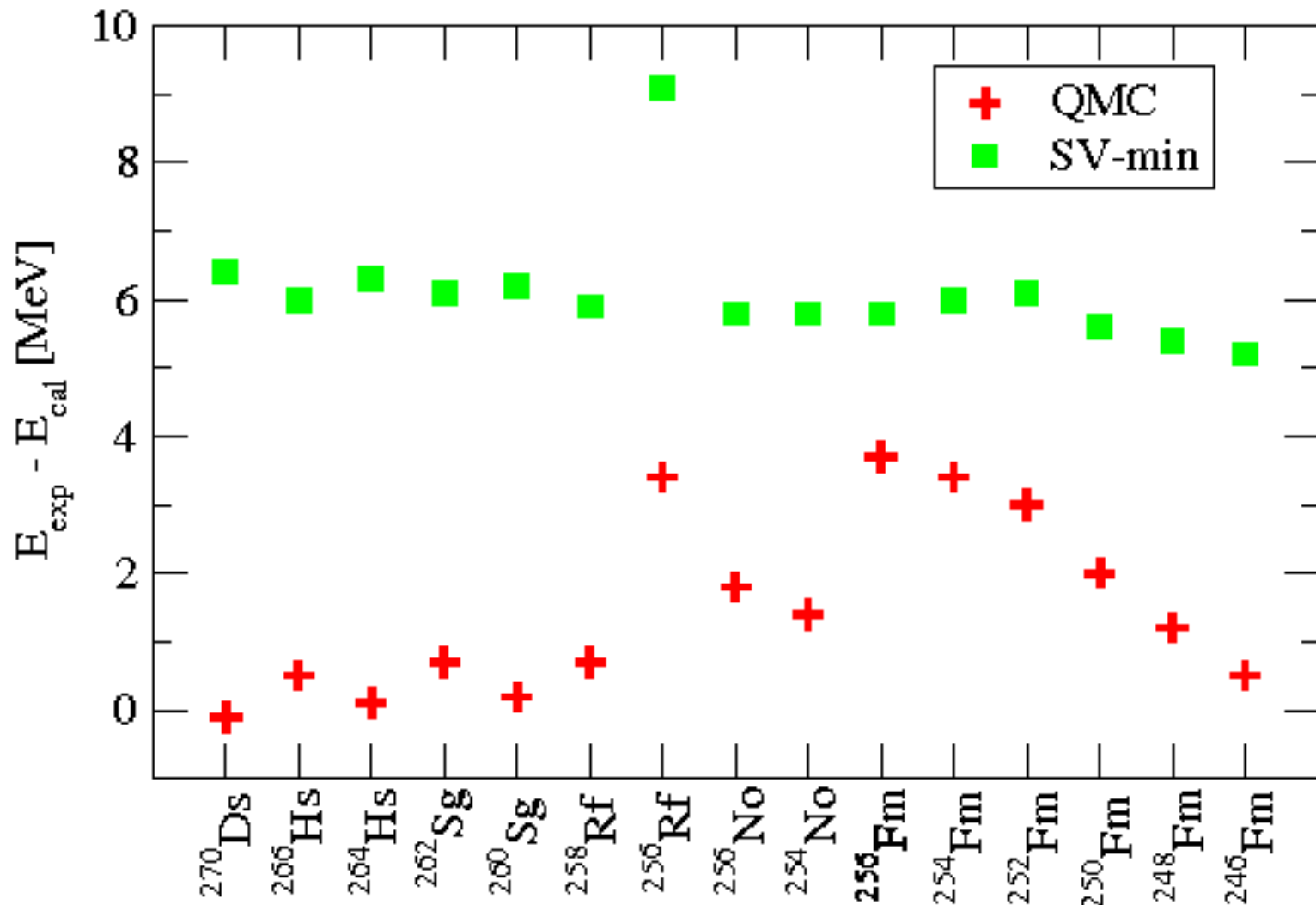
**i.e. We look at most challenging cases of p- or n-rich nuclei**

# Overview

data	rms error %	
	QMC	SV-min
fit nuclei:		
binding energies	<u>0.36</u>	0.24
diffraction radii	1.62	0.91
surface thickness	10.9	2.9
rms radii	0.71	0.52
pairing gap (n)	57.6	17.6
pairing gap (p)	25.3	15.5
1s splitting: proton	15.8	18.5
1s splitting: neutron	20.3	16.3
superheavy nuclei:	<u>0.1</u>	0.3
N=Z nuclei	1.17	0.75
mirror nuclei	1.50	1.00
other	0.35	0.26

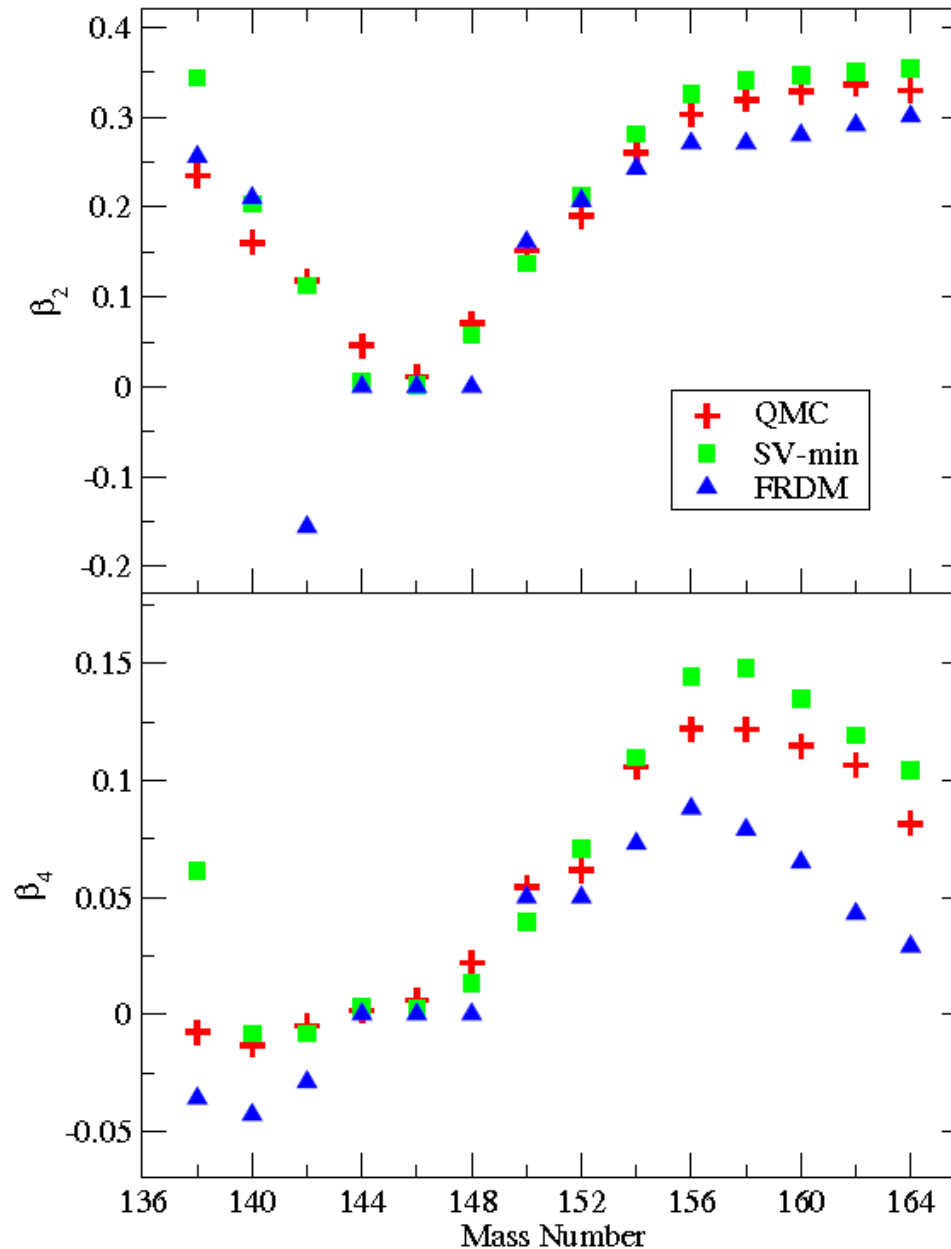
**Stone et al., PRL (2016) 092501**

# Superheavies : 0.1% accuracy



Stone et al., PRL (2016) 092501

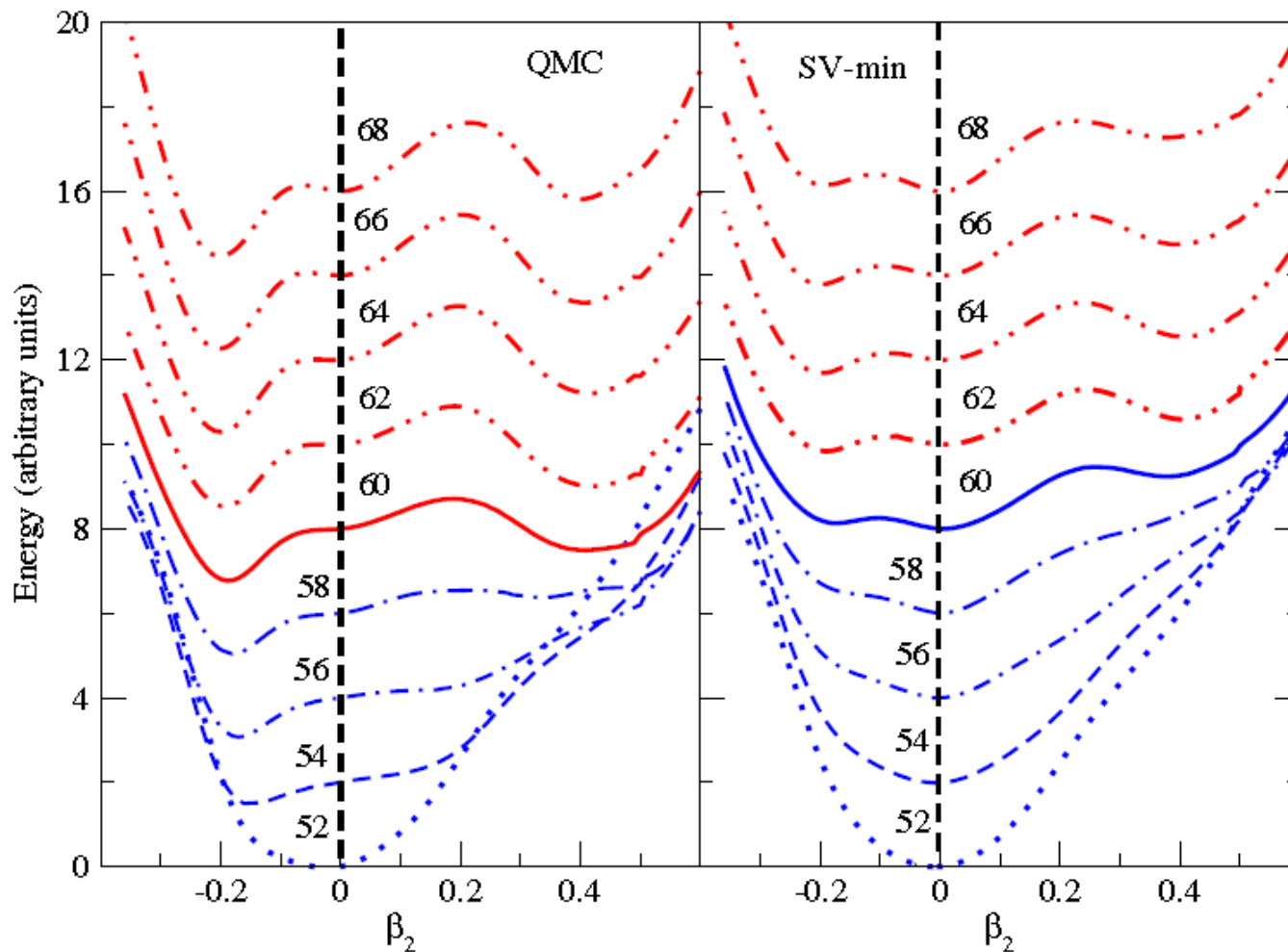
# Deformation in Gd (Z=64) Isotopes



# Spin-orbit splitting

Element		States	Exp [keV]	QMC [keV]	SV-bas [keV]
O16	proton	$1p_{1/2} - 1p_{3/2}$	6.3 (1.3)a)	5.8	5.0
	neutron	$1p_{1/2} - 1p_{3/2}$	6.1 (1.2)a)	5.7	5.1
Ca40	proton	$1d_{3/2} - 1d_{5/2}$	7.2 <sup>b)</sup>	6.3	5.7
	neutron	$1d_{3/2} - 1d_{5/2}$	6.3 <sup>b)</sup>	6.3	5.8
Ca48	proton	$1d_{3/2} - 1d_{5/2}$	4.3 <sup>b)</sup>	6.3	5.2
	neutron	$1d_{3/2} - 1d_{5/2}$		5.3	5.2
Sn132	proton	$2p_{1/2} - 2p_{3/2}$	1.35(27) <sup>a)</sup>	1.32	1.22
	neutron	$2p_{1/2} - 2p_{3/2}$	1.65(13) <sup>a)</sup>	1.47	1.63
	neutron	$2d_{3/2} - 2d_{5/2}$		2.71	2.11
Pb208	proton	$2p_{1/2} - 2p_{3/2}$		0.91	0.93
	neutron	$3p_{1/2} - 3p_{3/2}$	0.90(18) <sup>a)</sup>	1.11	0.89

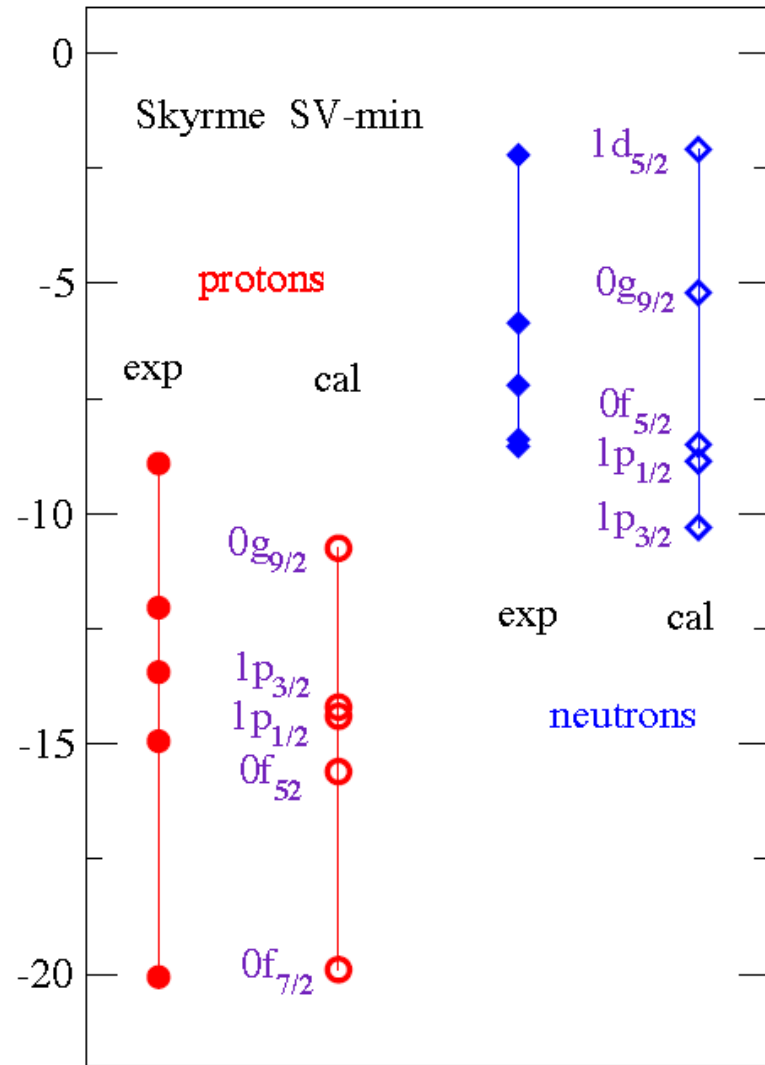
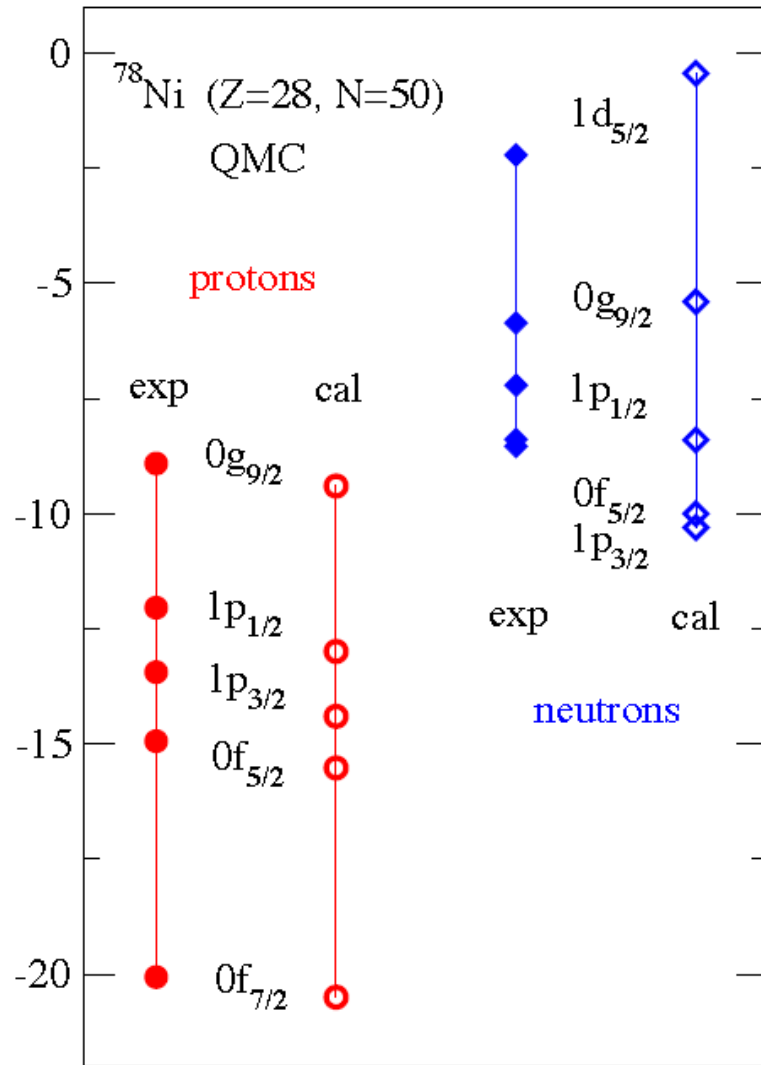
# Shape evolution of Zr (Z=40) Isotopes



- Shape co-existence sets in at N=60 – Sotty et al., PRL115 (2015)172501
- Usually difficult to describe
  - e.g. Mei et al., PRC85, 034321 (2012)

Stone et al., PRL (2016) 092501

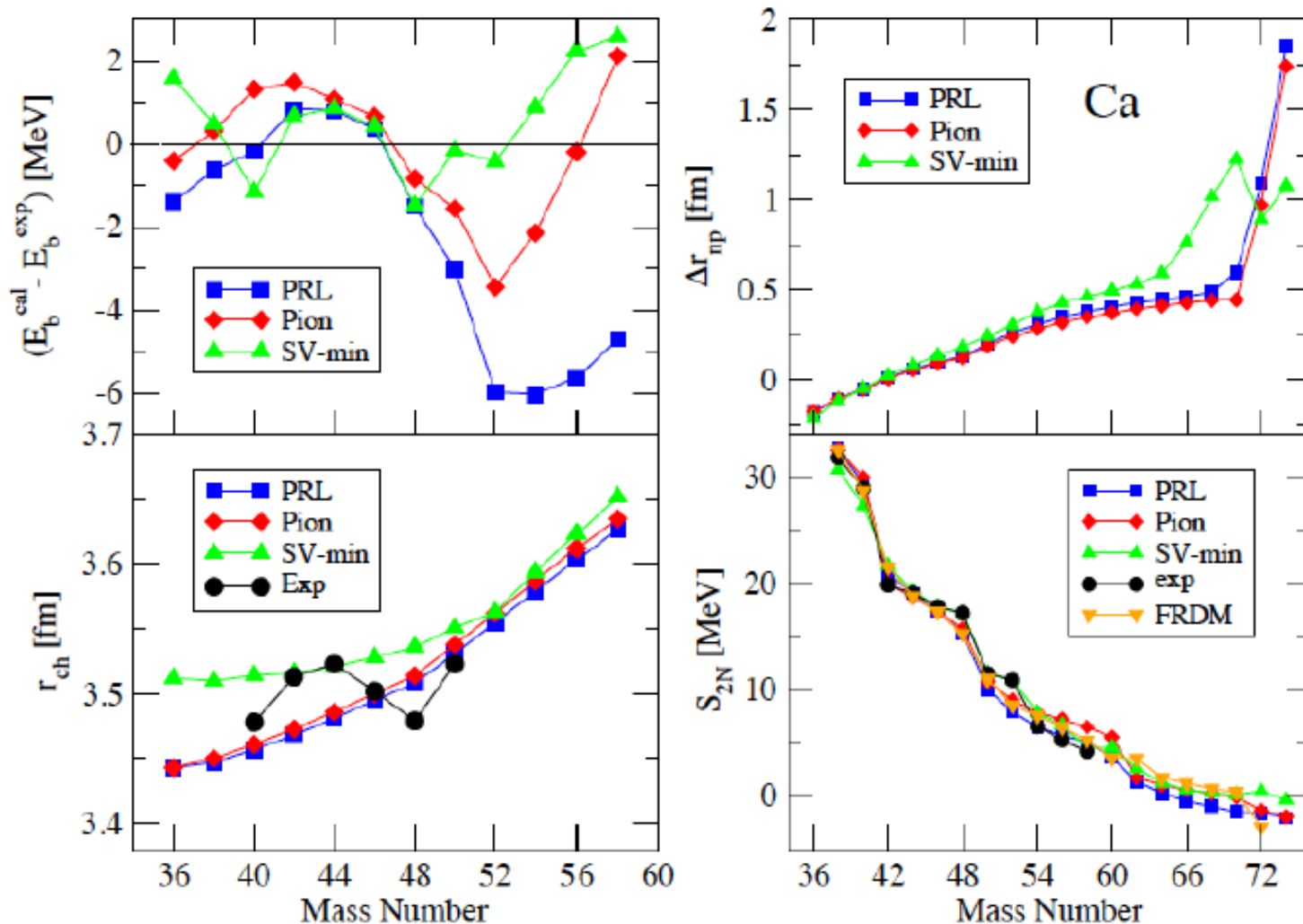
# “Hot off the press”



Traditionally very hard to describe

# Addition of pion – effect in Ca region

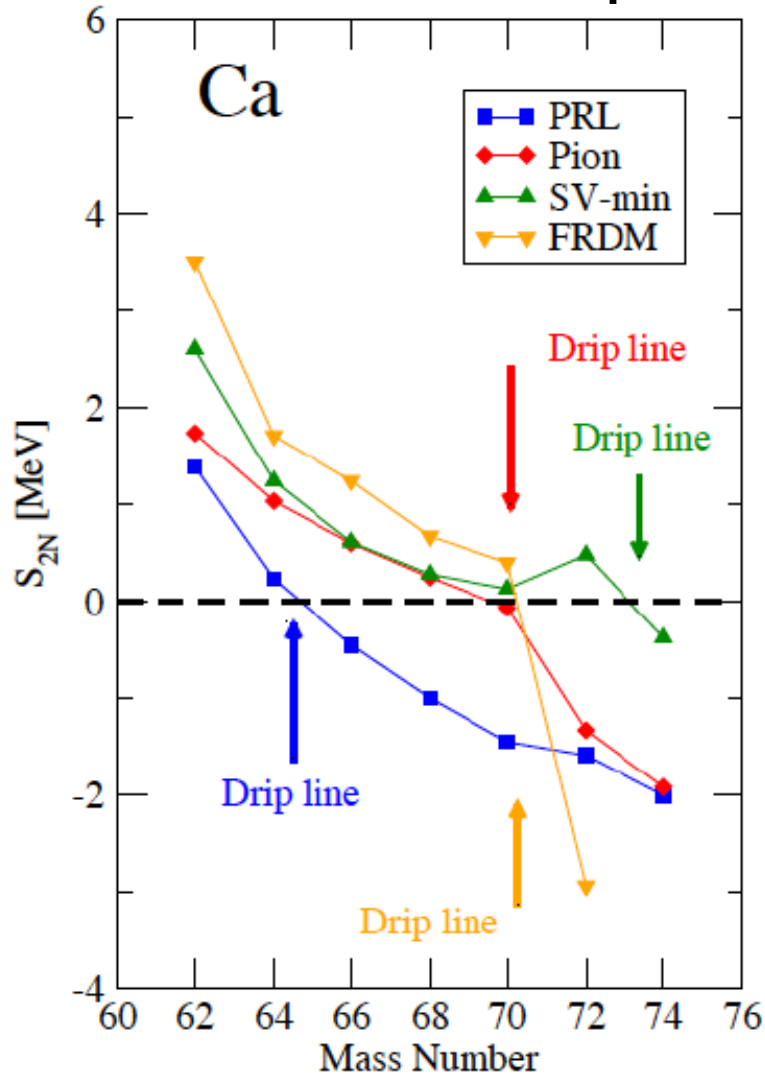
Pion Fock term does improve binding away from stability



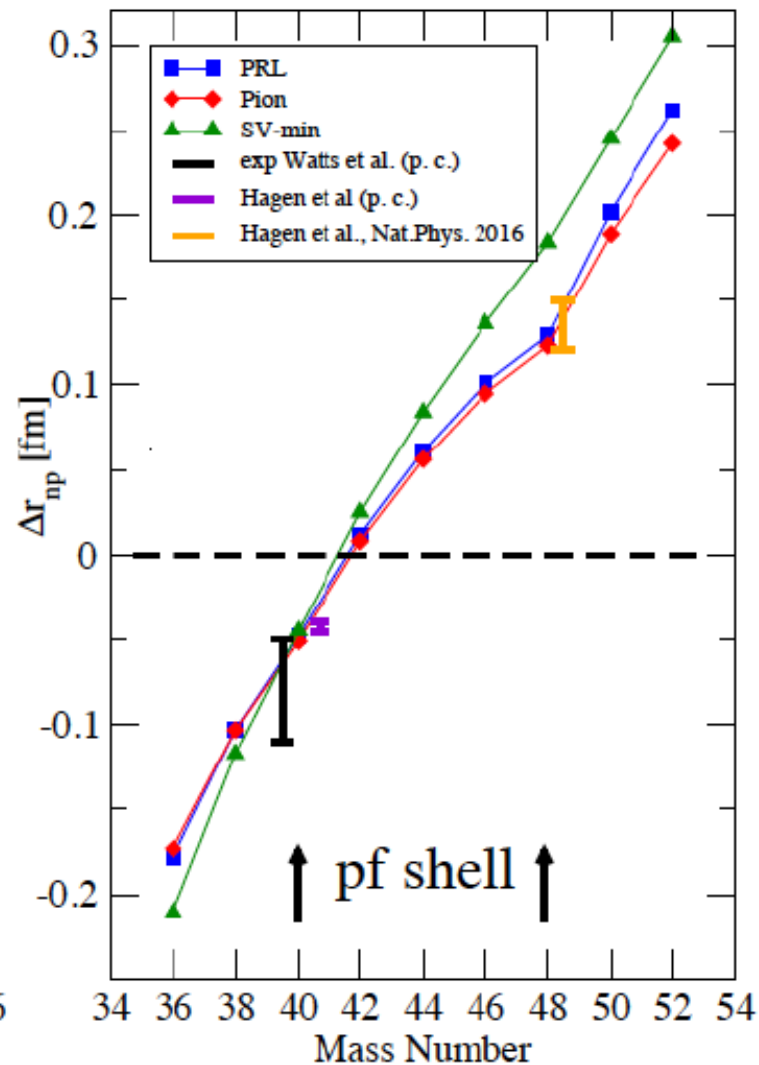


# More on addition of pion

Closer look near drip line

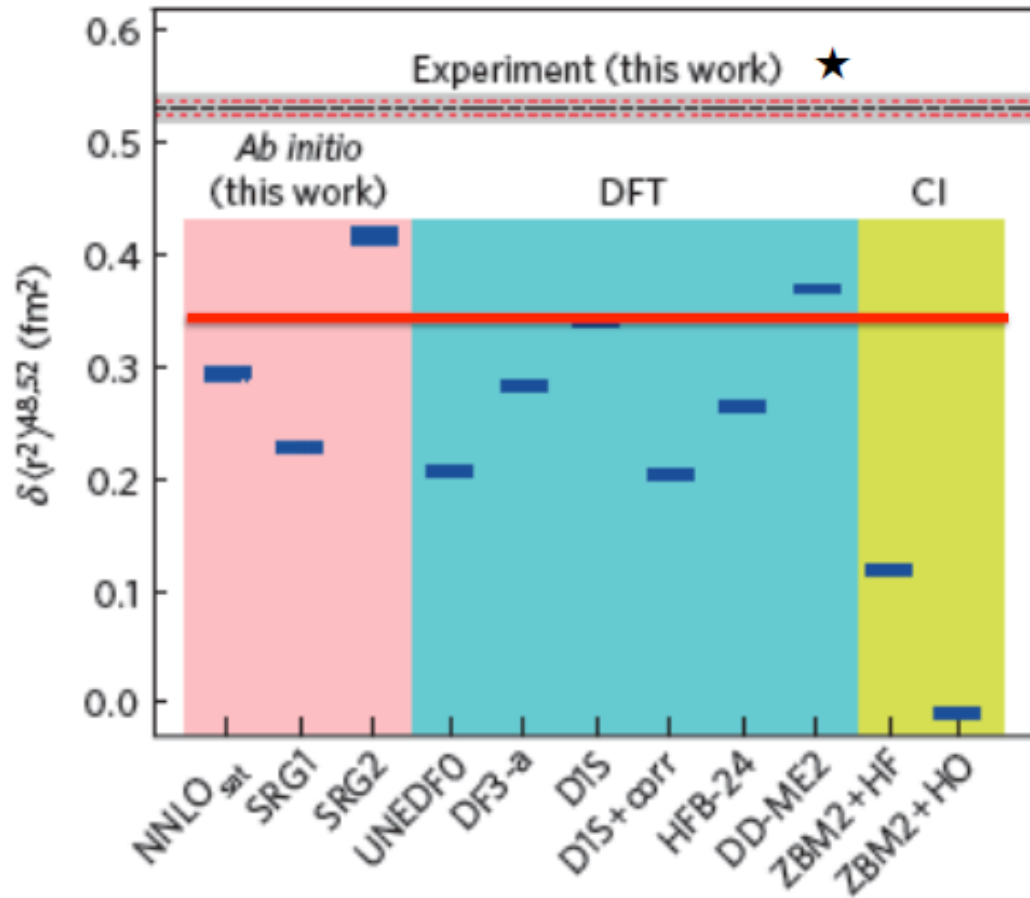


n-skin of  $^{48}\text{Ca}$  good



# Sudden size increase above $^{48}\text{Ca}$

Isotope shift



$$\delta \langle r_{ch}^2 \rangle^{48,52}$$

0.530(5) fm<sup>2</sup> exp

0.340 fm<sup>2</sup> QMC(π)

0.192 fm<sup>2</sup> SV-min

$$\delta \langle r_{ch}^2 \rangle^{48,50}$$

0.293(37) fm<sup>2</sup> exp

0.169 fm<sup>2</sup> QMC(π)

0.107 fm<sup>2</sup> SV-min

★ Garcia Ruiz et al., Nat. Phys. 12, 594 (2016)

# Summary: Finite Nuclei

- The effective force was *derived* at the quark level *based upon changing structure of bound nucleon*
- Has many less parameters but reproduces nuclear properties at a level comparable with the best phenomenological Skyrme forces
- Looks like standard nuclear force
- BUT underlying theory also predicts modified internal structure and hence modified
  - DIS structure functions
  - elastic form factors.....

# Nuclear DIS Structure Functions

**To address questions like this one MUST start with a theory that quantitatively describes nuclear structure – very, very few examples.....**

# EMC Calculations for Finite Nuclei

(Spin dependent EMC effect TWICE as large as unpolarized)

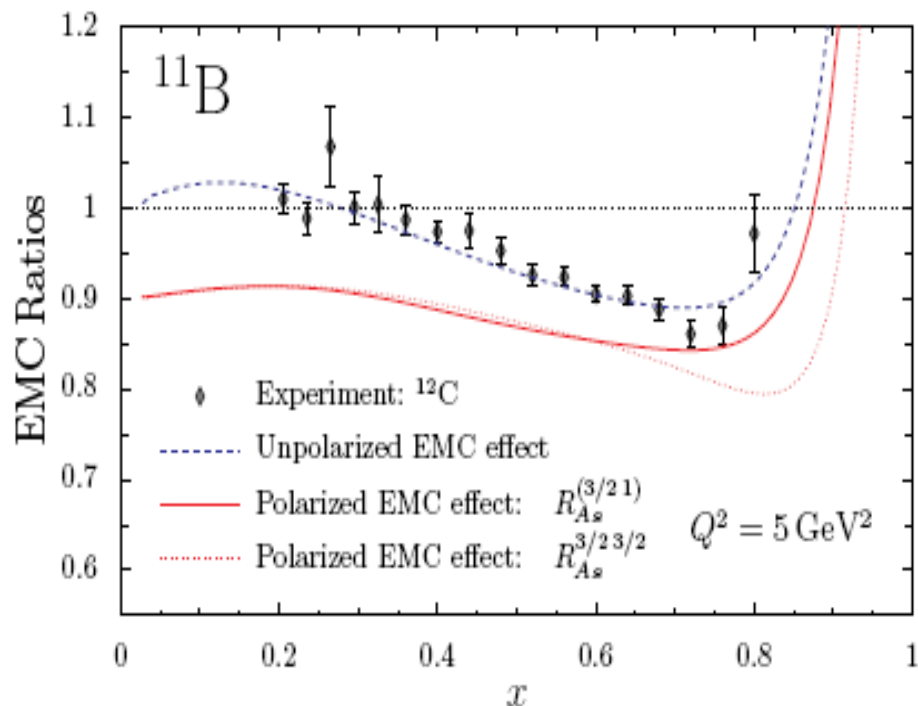


FIG. 7: The EMC and polarized EMC effect in  $^{11}\text{B}$ . The empirical data is from Ref. [31].

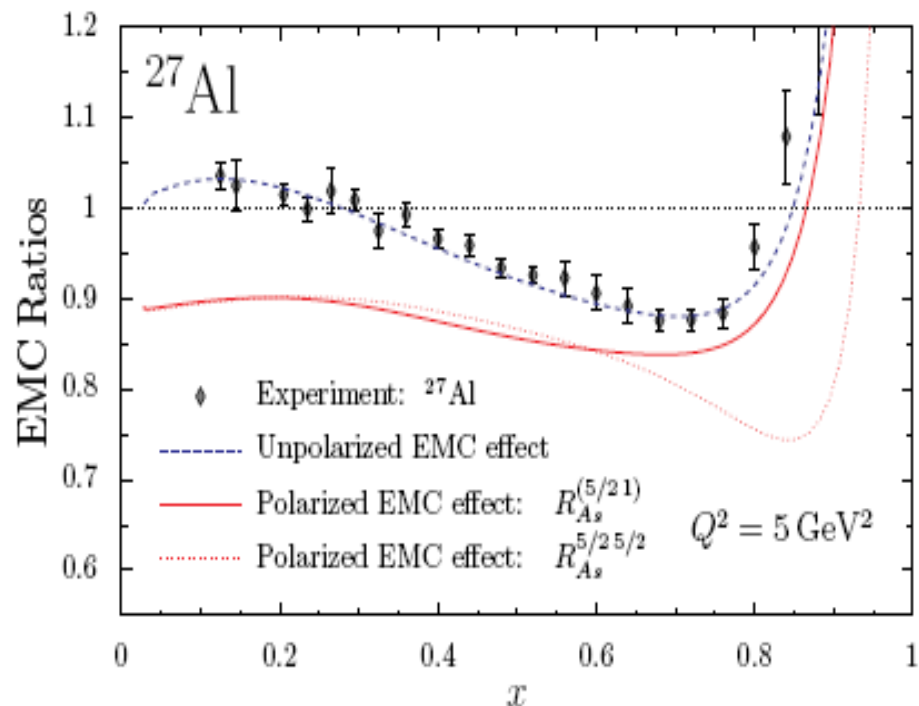
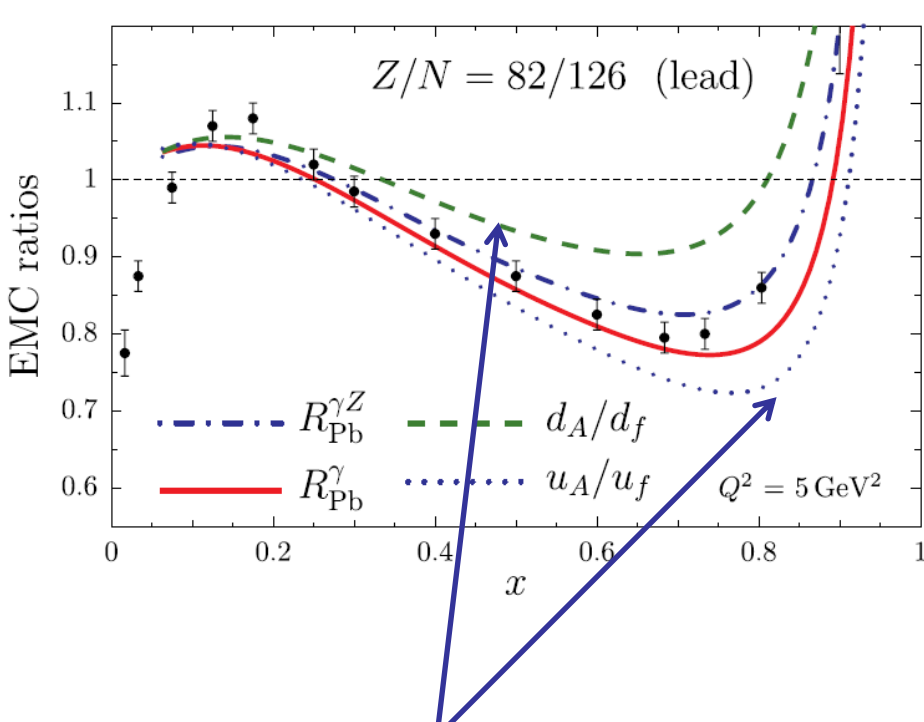


FIG. 9: The EMC and polarized EMC effect in  $^{27}\text{Al}$ . The empirical data is from Ref. [31].

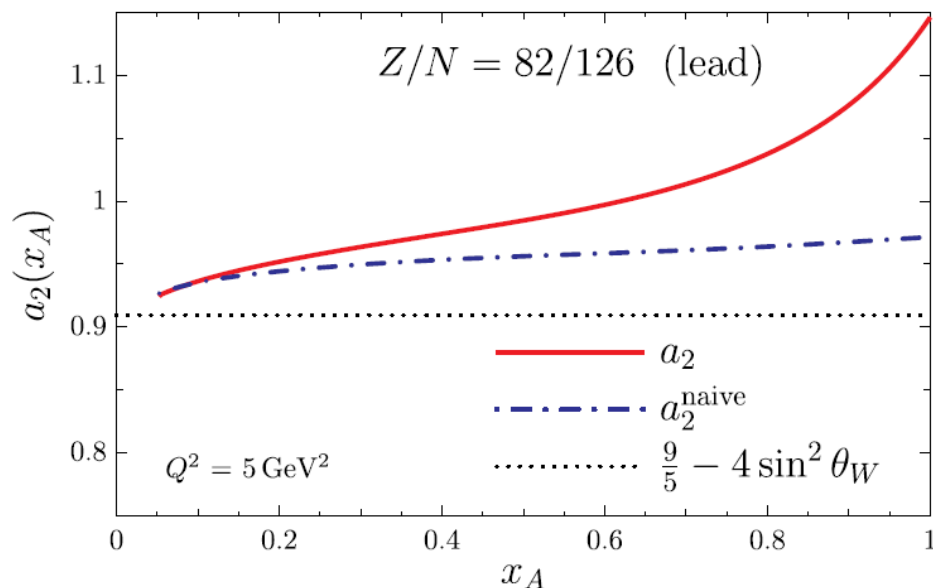
Cloët, Bentz & Thomas, Phys. Lett. B642 (2006) 210 (nucl-th/0605061)

# Parity-Violating Deep Inelastic Scattering and the Flavor Dependence of the EMC Effect

I. C. Cloët,<sup>1</sup> W. Bentz,<sup>2</sup> and A. W. Thomas<sup>1</sup>



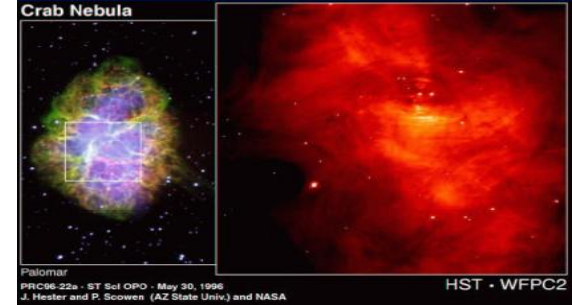
$$A_{\text{PV}} = \frac{G_F Q^2}{4\sqrt{2}\pi\alpha_{\text{em}}} \left[ a_2(x_A) + \frac{1 - (1-y)^2}{1 + (1-y)^2} a_3(x_A) \right]$$



**Ideally tested at EIC with CC reactions**

**Parity violating EMC will test this at JLab 12 GeV**

# Summary



- Intermediate range NN attraction is **STRONG Lorentz scalar**
- This modifies the intrinsic structure of the bound nucleon
  - profound change in shell model :  
what occupies shell model states are **NOT** free nucleons
- Scalar polarizability is a natural source of three-body force/ density dependence of effective forces
  - clear physical interpretation
- Derived, density-dependent effective force gives results better than most phenomenological Skyrme forces

# Summary

- **Initial systematic study of finite nuclei very promising**
  - Binding energies typically within 0.3% across periodic table
- **Super-heavies ( $Z > 100$ ) especially good (average difference 0.1%)**
- **Deformation, spin-orbit splitting and charge distributions all look good)**
- **BUT need empirical confirmation:**
  - Response Functions & Coulomb sum rule (soon)
  - Isovector EMC effect; spin EMC
  - Your idea here.....



# Special Mentions.....



**Guichon**



**Tsushima**



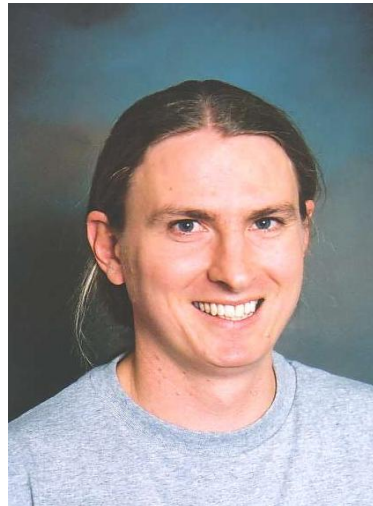
**Saito**



**Stone**



**Bentz**



**Cloët**



**Whittenbury**



# Key papers on QMC

- **Two major, recent papers:**

1. Guichon, Matevosyan, Sandulescu, Thomas, Nucl. Phys. A772 (2006) 1.
2. Guichon and Thomas, Phys. Rev. Lett. 93 (2004) 132502

- **Built on earlier work on QMC: e.g.**

3. Guichon, Phys. Lett. B200 (1988) 235
4. Guichon, Saito, Rodionov, Thomas, Nucl. Phys. A601 (1996) 349

- **Major review of applications of QMC to many nuclear systems:**

5. Saito, Tsushima, Thomas, Prog. Part. Nucl. Phys. 58 (2007) 1-167 (hep-ph/0506314)

# References to: Covariant Version of QMC

- **Basic Model: (Covariant, chiral, confining version of NJL)**
- **Bentz & Thomas, Nucl. Phys. A696 (2001) 138**
- **Bentz, Horikawa, Ishii, Thomas, Nucl. Phys. A720 (2003) 95**
- **Applications to DIS:**
- **Cloet, Bentz, Thomas, Phys. Rev. Lett. 95 (2005) 052302**
- **Cloet, Bentz, Thomas, Phys. Lett. B642 (2006) 210**
- **Applications to neutron stars – including SQM:**
- **Lawley, Bentz, Thomas, Phys. Lett. B632 (2006) 495**
- **Lawley, Bentz, Thomas, J. Phys. G32 (2006) 667**

# Most recent studies

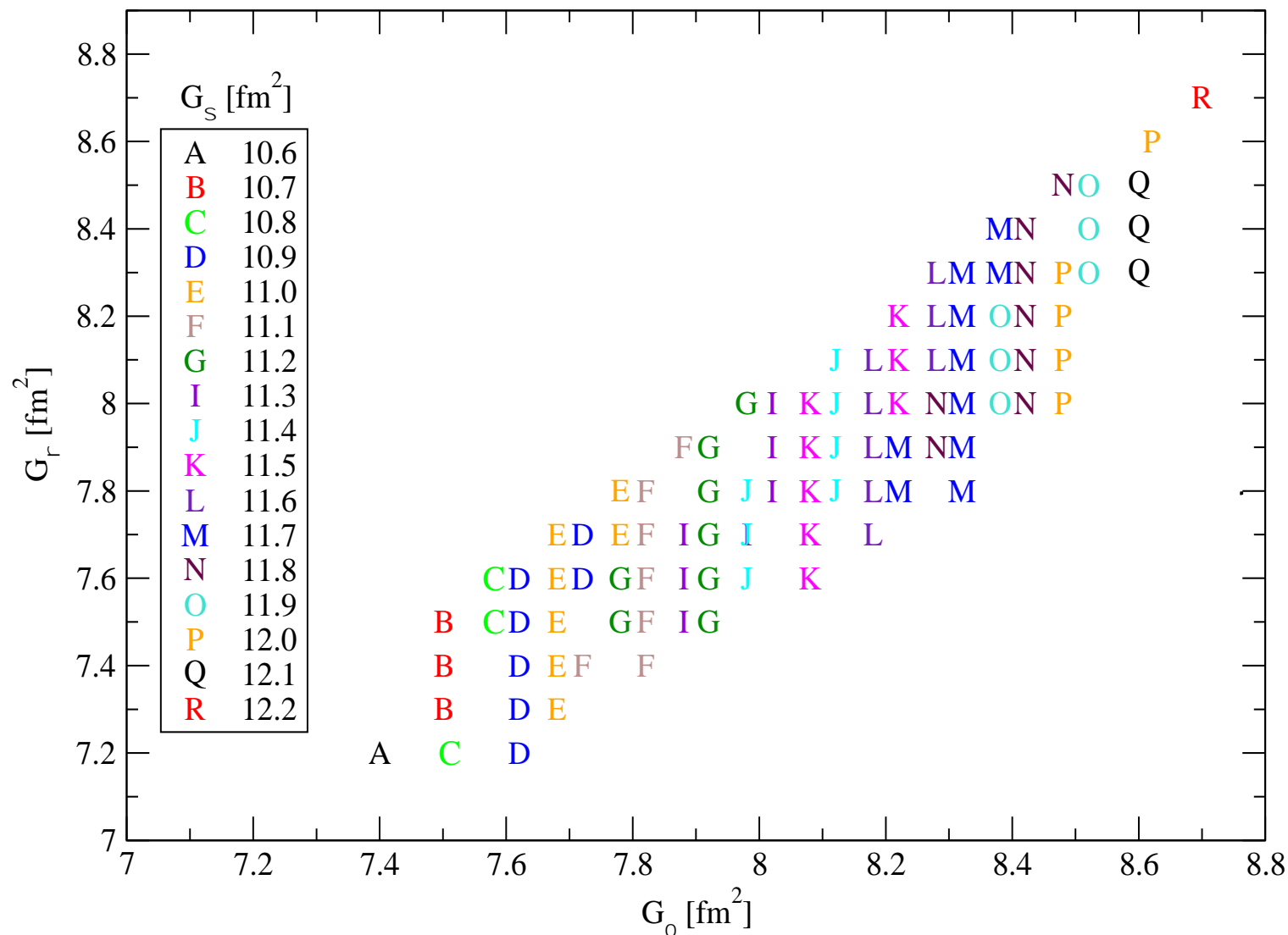
- Whittenbury, Carrillo-Serrano & Thomas, arXiv: 1606.03158
- Whittenbury, Matevosyan & Thomas, Phys. Rev. C93 (2016) 035807
- Whittenbury, Carroll, Thomas, Tsushima and Stone, Phys. Rev. C89 (2014) 065801

# Can we Measure Scalar Polarizability in Lattice QCD ?

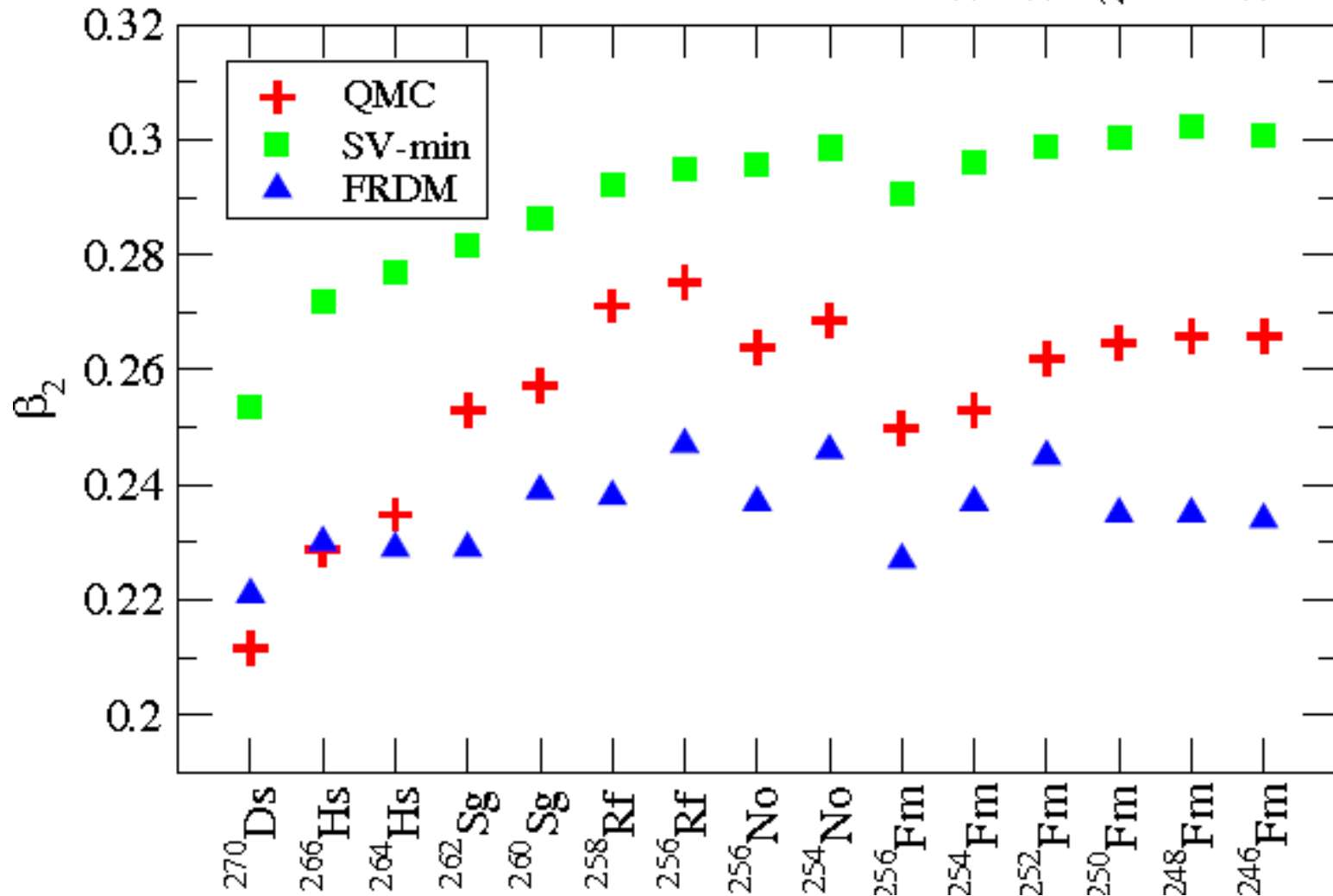
- IF we can, then in a real sense we would be linking nuclear structure to QCD itself, because scalar polarizability is sufficient in simplest, relativistic mean field theory to produce saturation
- Initial ideas on this published :  
the trick is to apply a chiral invariant scalar field  
– do indeed find polarizability opposing applied  $\sigma$  field

**18<sup>th</sup> Nishinomiya Symposium: nucl-th/0411014**  
– published in Prog. Theor. Phys.

# Constraints from nuclear matter



# Quadrupole Deformation of Superheavies



Stone et al., PRL (2016)



