

Particle production in relativistic nuclear collisions and the phase structure of QCD

- introduction and perspective
- hadron production, Lattice QCD and the QCD phase structure
- remarks on fluctuations at LHC energy
- quarkonia and heavy quark hadrons
- outlook

INPC 2016
Adelaide, Australia
Sep. 12, 2016



phenomenology results obtained in collaboration with
Anton Andronic,
Krzysztof Redlich, and Johanna Stachel

hadron production data from the ALICE collaboration
at the CERN LHC
see, e.g., M. Floris,
Nucl.Phys. A931 (2014) 103-112
and references there

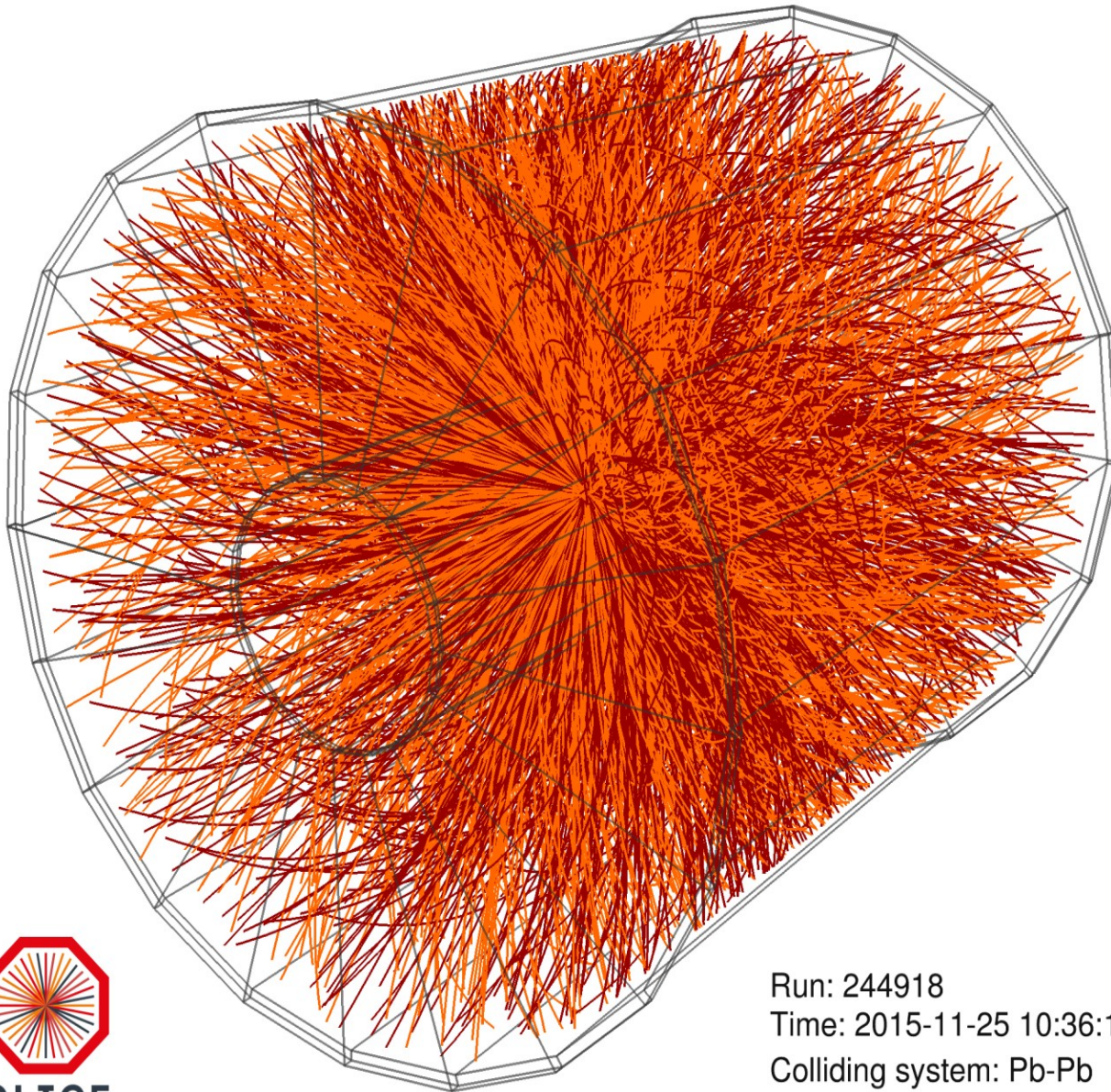
first PbPb collisions at LHC at $\sqrt{s} = 5.02$ A TeV

Run1: 3 data taking campaigns
pp, pPb, Pb—Pb
> 135 publications

Run2 has started with 13 TeV pp
Pb—Pb run
in November 2015

Now running with 13 TeV pp

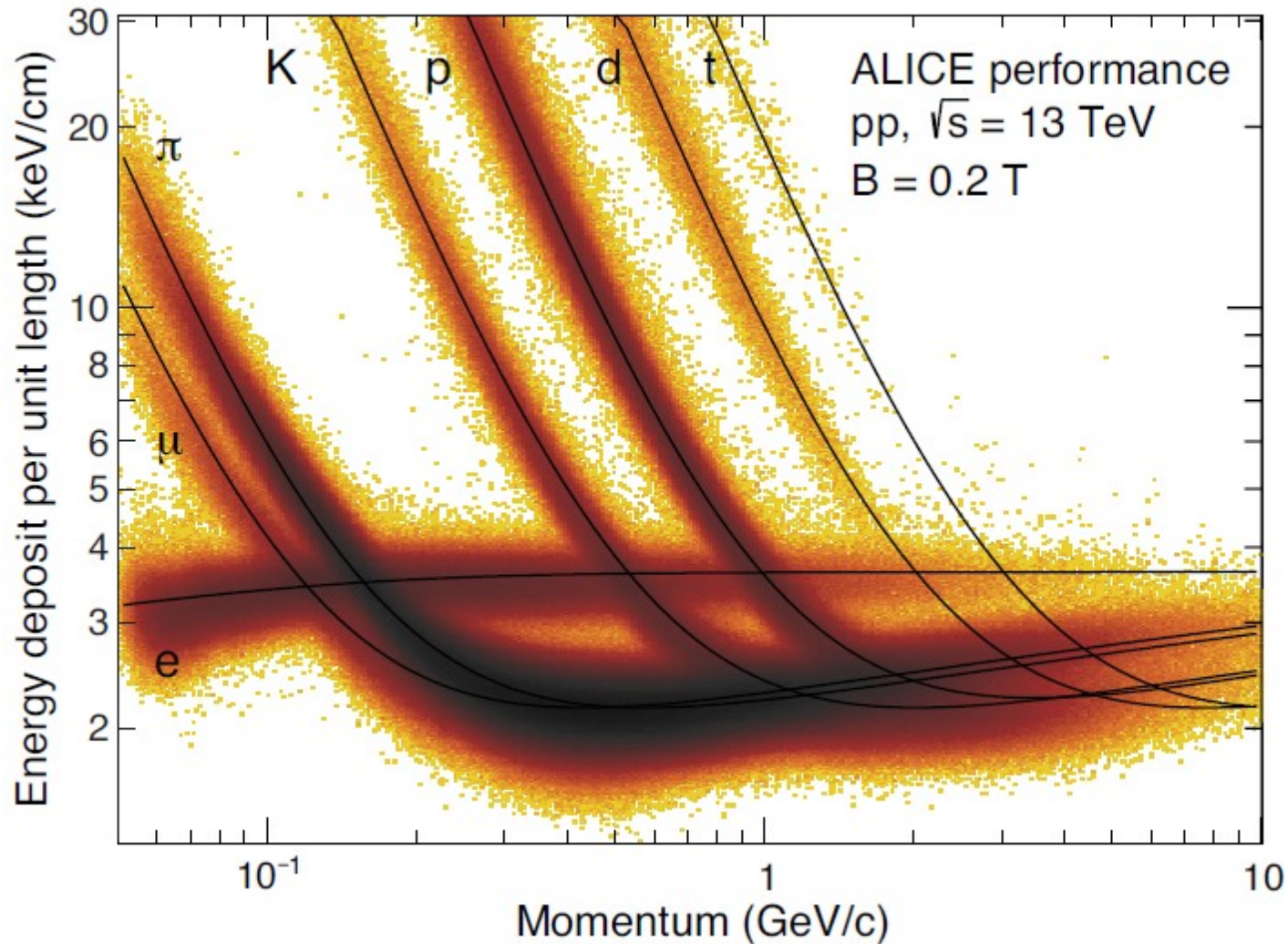
Nov. 2016: pPb 5 TeV



Run: 244918
Time: 2015-11-25 10:36:18
Colliding system: Pb-Pb
Collision energy: 5.02 TeV

particle identification with the ALICE TPC

from 50 MeV to 50 GeV



hadron production and the QCD phase boundary

duality between hadrons and quarks/gluons (I)

Z: full QCD partition function

all thermodynamic quantities derive from QCD partition functions

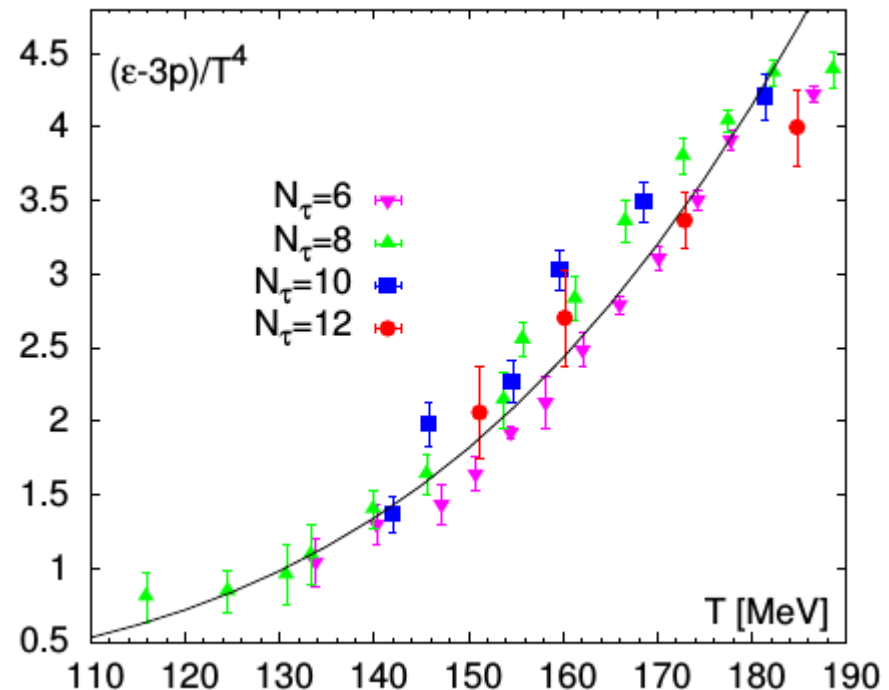
for the pressure we get:

$$\frac{p}{T^4} \equiv \frac{1}{VT^3} \ln Z(V, T, \mu)$$

comparison of trace anomaly from LQCD
Phys.Rev. D90 (2014) 094503
HOTQCD coll.

with hadron resonance gas prediction
(solid line)

LQCD: full dynamical quarks with realistic
pion mass



duality between hadrons and quarks/gluons (III)

in the dilute limit $T < 165$ MeV:

$$\ln Z(T, V, \mu) \approx \sum_{i \in \text{mesons}} \ln \mathcal{Z}_{M_i}^M(T, V, \mu_Q, \mu_S) + \sum_{i \in \text{baryons}} \ln \mathcal{Z}_{M_i}^B(T, V, \mu_b, \mu_Q, \mu_S)$$

where the partition function of the hadron resonance model is expressed in mesonic and baryonic components. The chemical potential μ reflects then the baryonic, charge, and strangeness components $\mu = (\mu_b, \mu_Q, \mu_S)$.

duality between hadrons and quarks/gluons (II)

comparison of equation of state from
LQCD

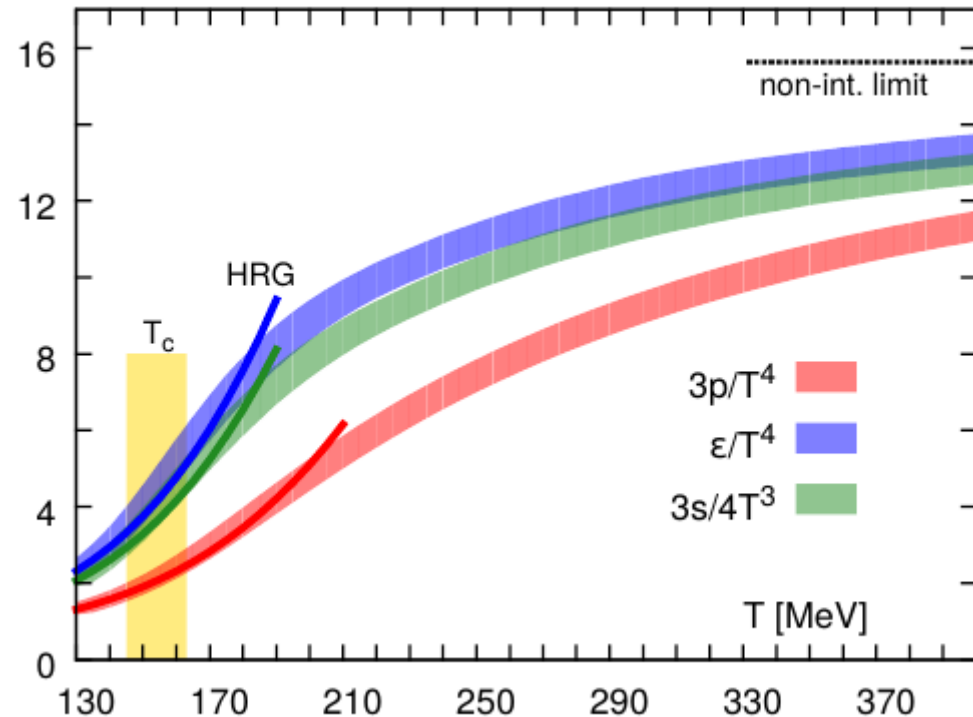
Phys.Rev. D90 (2014) 094503

HOTQCD coll.

with hadron resonance gas predictions
(colored lines)

essentially the same results also from
Wuppertal-Budapest coll.

Phys.Lett. B730 (2014) 99-104



↑ pseudo-critical
temperature

$$T_c = (154 \pm 9) \text{ MeV}$$

$$\epsilon_{\text{crit}} = (340 \pm 45) \text{ MeV/fm}^3$$

$$\epsilon_{\text{nuc1}} = 450 \text{ MeV/fm}^3$$

thermal model of particle production and QCD

partition function $Z(T,V)$ contains sum over the full hadronic mass spectrum and is fully calculable in QCD

for each particle i , the statistical operator is:

$$\ln Z_i = \frac{V g_i}{2\pi^2} \int_0^\infty \pm p^2 dp \ln[1 \pm \exp(-(E_i - \mu_i)/T)]$$

particle densities are then calculated according to:

$$n_i = N_i/V = -\frac{T}{V} \frac{\partial \ln Z_i}{\partial \mu} = \frac{g_i}{2\pi^2} \int_0^\infty \frac{p^2 dp}{\exp[(E_i - \mu_i)/T] \pm 1}$$

from analysis of all available nuclear collision data we now know the energy dependence of the parameters T , μ_b , and V over an energy range from threshold to LHC energy and can confidently extrapolate to even higher energies

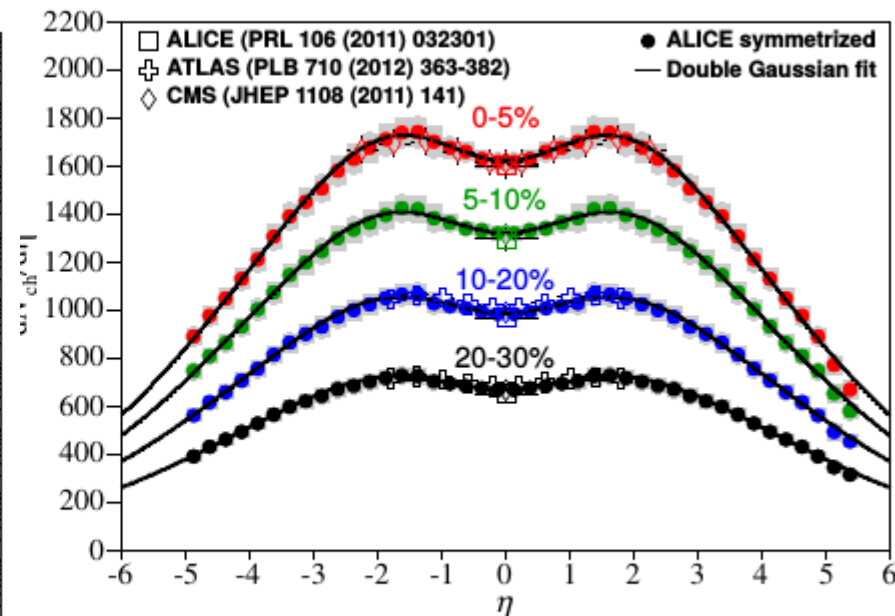
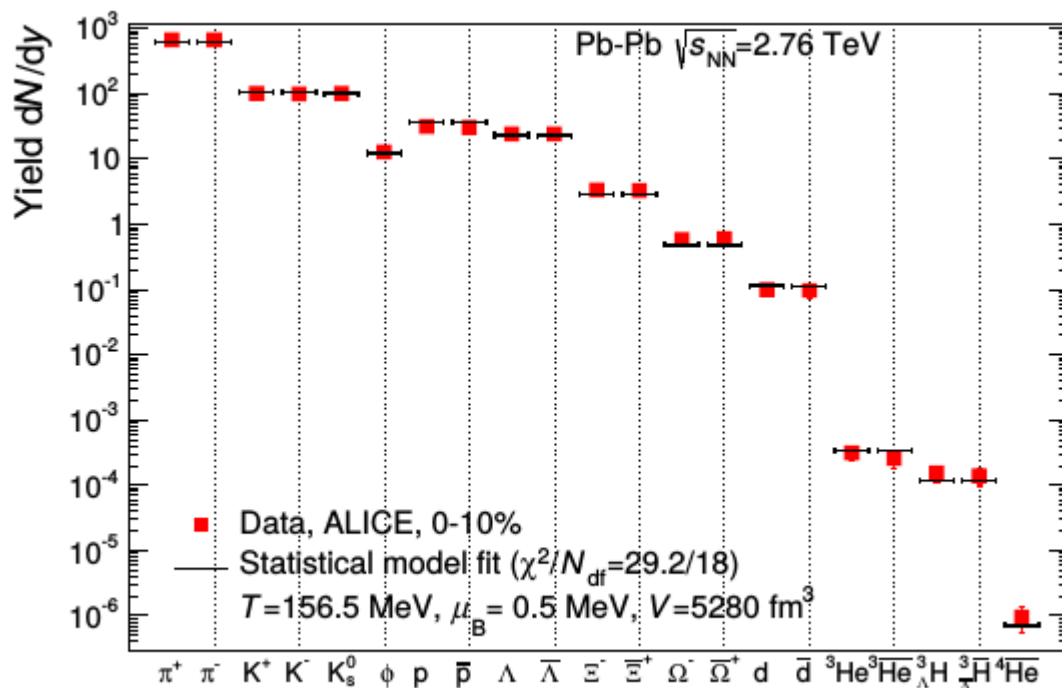
in practice, we use the full experimental hadronic mass spectrum from the PDG compilation (vacuum masses) to compute the 'primordial yield'

comparison with measured hadron yields needs evaluation of all strong decays

May 2016 update: excellent description of ALICE@LHC data

$T, V(\Delta y = 1)$ from thermal fit

$dN_{ch}/d\eta$ data



ALICE, PLB 726 (2013) 610

proton discrepancy 2.8 sigma

fit includes loosely bound systems such as deuteron and hypertriton
hypertriton is bound-state of (Λ ,p,n), Λ separation energy about 130 keV
size about 10 fm, the **ultimate halo nucleus**,
produced at $T=156$ MeV. close to an Efimov state

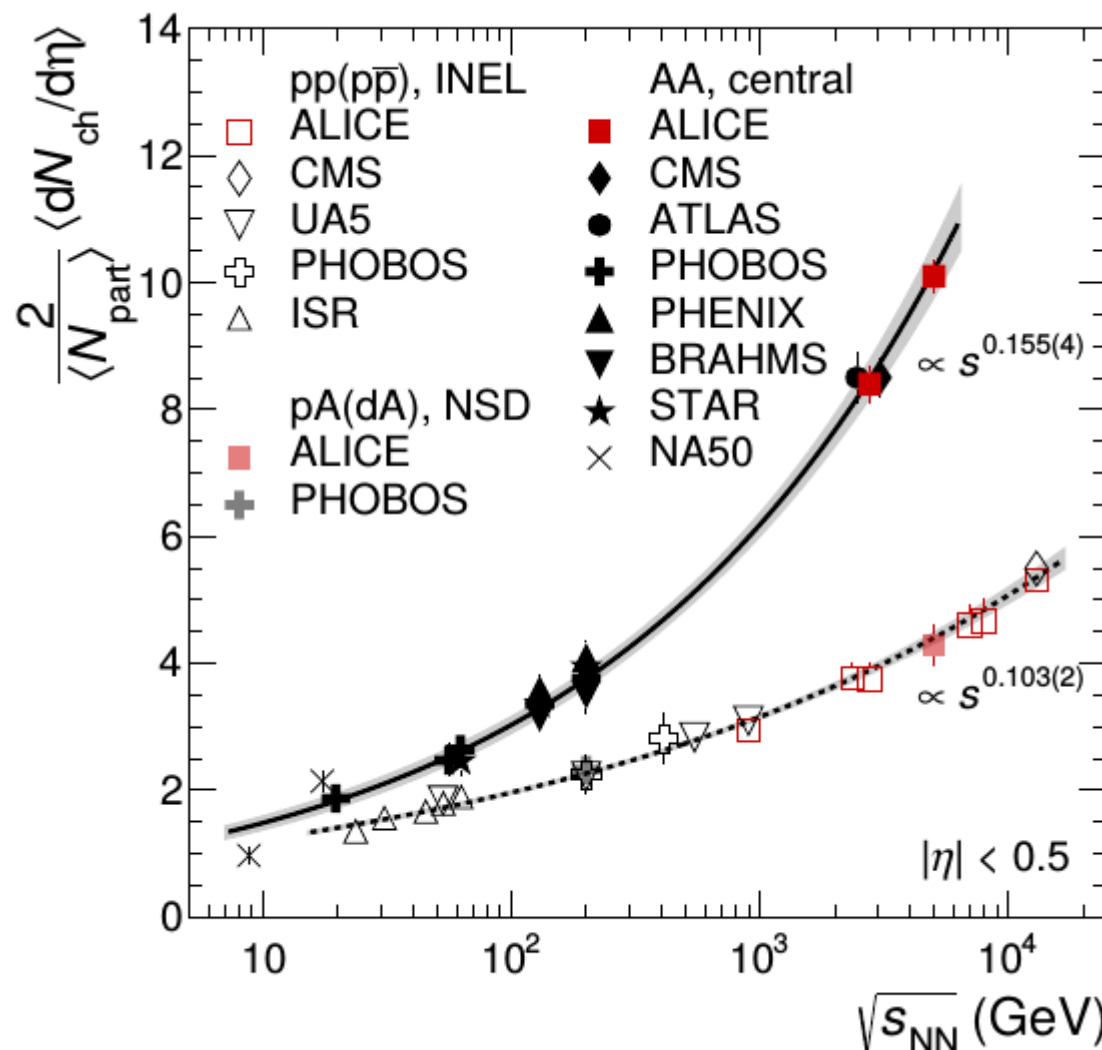
J. Stachel, A. Andronic, P. Braun-Munzinger and K. Redlich, Confronting LHC data with the statistical hadronization model, J.Phys.Conf.Ser.**509** (2014) 012019, arXiv:1311.4662 [nucl-th].

energy dependence of hadron production in central Pb-Pb (Au-Au) collisions

total number of hadrons produced

2.76 TeV $N_{\text{had}} = 25800$

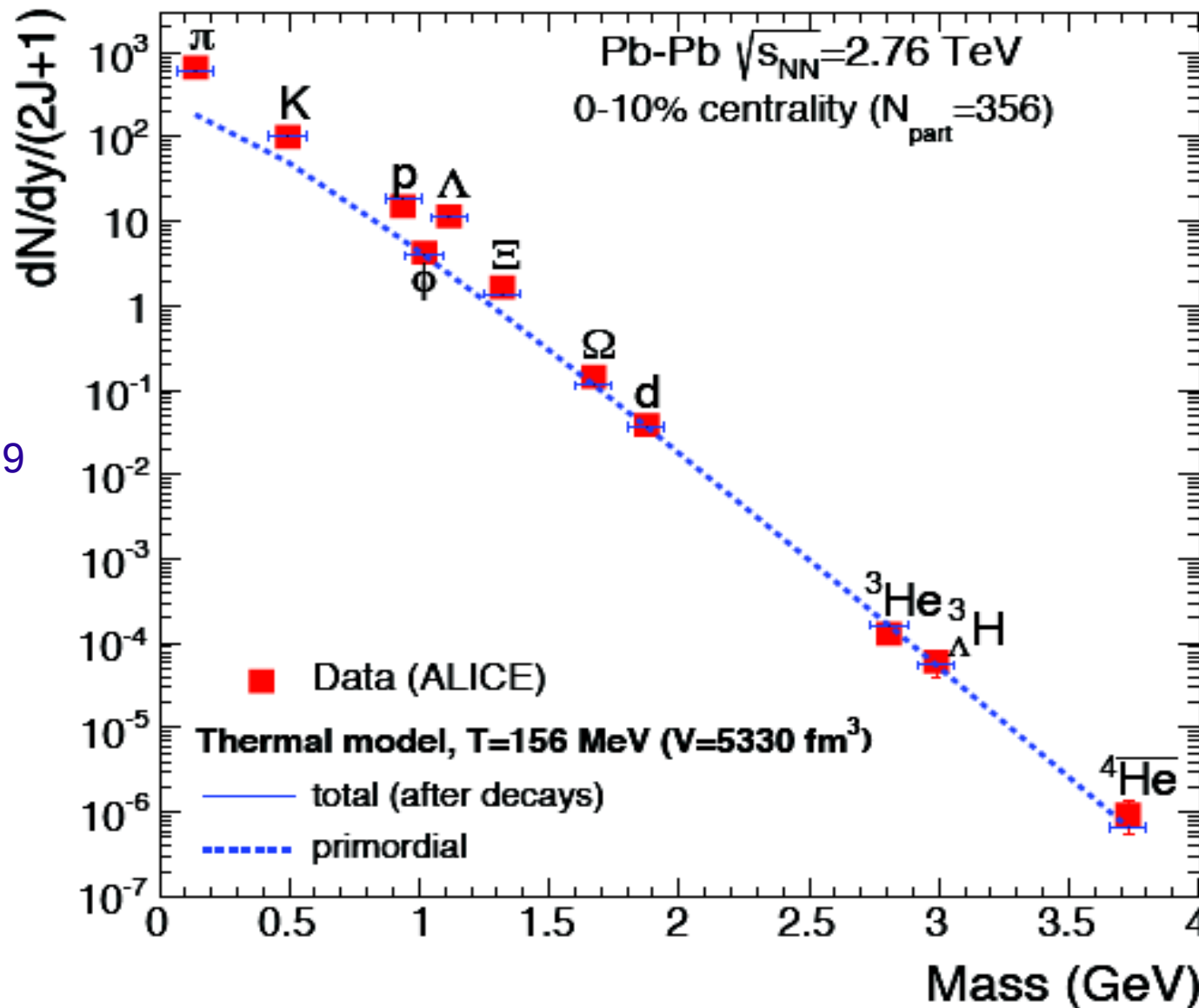
5.02 TeV $N_{\text{had}} = 32300$



data from LHC run1 and run2

ALICE coll., Phys.Rev.Lett. 116 (2016) no.22, 222302

excellent agreement over 9 orders of magnitude



agreement over 9
orders of
magnitude with
QCD statistical
operator
prediction

yield of light nuclei predicted in: pbm, J. Stachel, J.Phys. G28 (2002) 1971-1976,
J.Phys. G21 (1995) L17-L20

a note on the chemical freeze-out temperature

$$T_{\text{chem}} = 156.5 \pm 1.5 \text{ MeV from fit to all particles}$$

there is an additional uncertainty because of the poorly known hadronic mass spectrum for masses $> 2 \text{ GeV}$

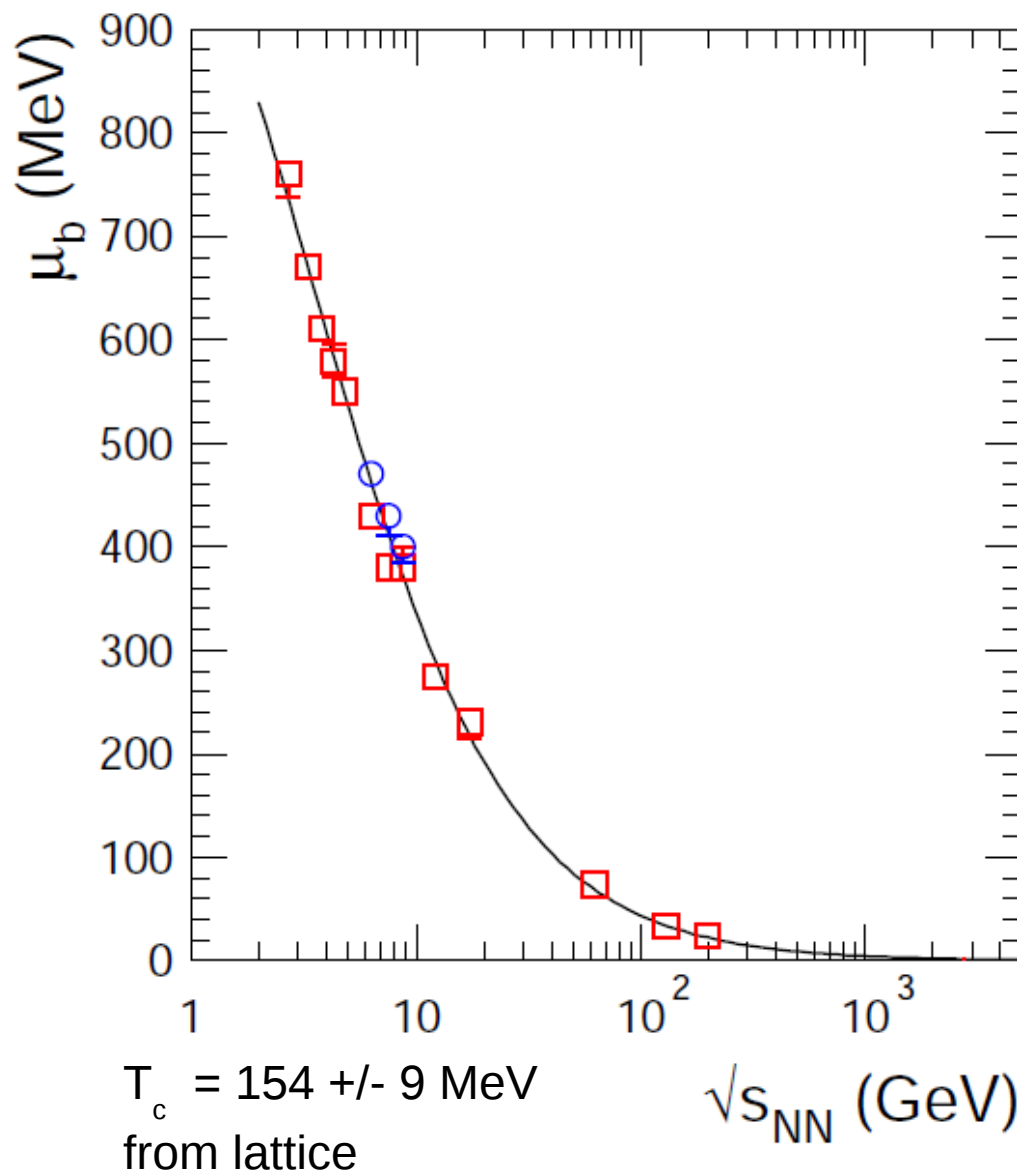
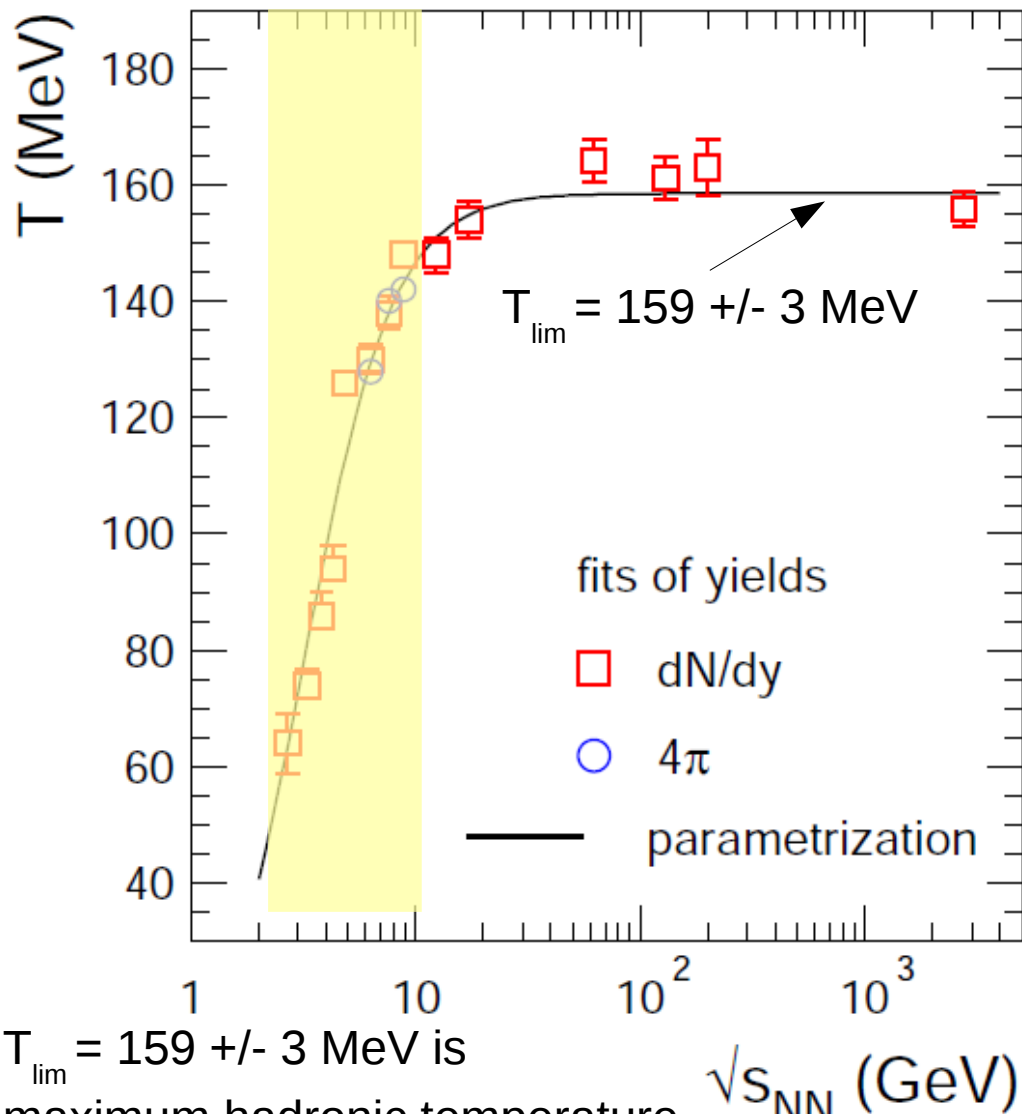
for d, ^3He , hypertriton and alpha, there is very little feeding from heavier states and none from high mass states in the hadronic mass spectrum, for these particles the temperature T_{nuc} can be determined 'on the back of an envelope' :

$$T_{\text{nuc}} = 154 \pm 5 \text{ MeV, independent of hadronic mass spectrum}$$

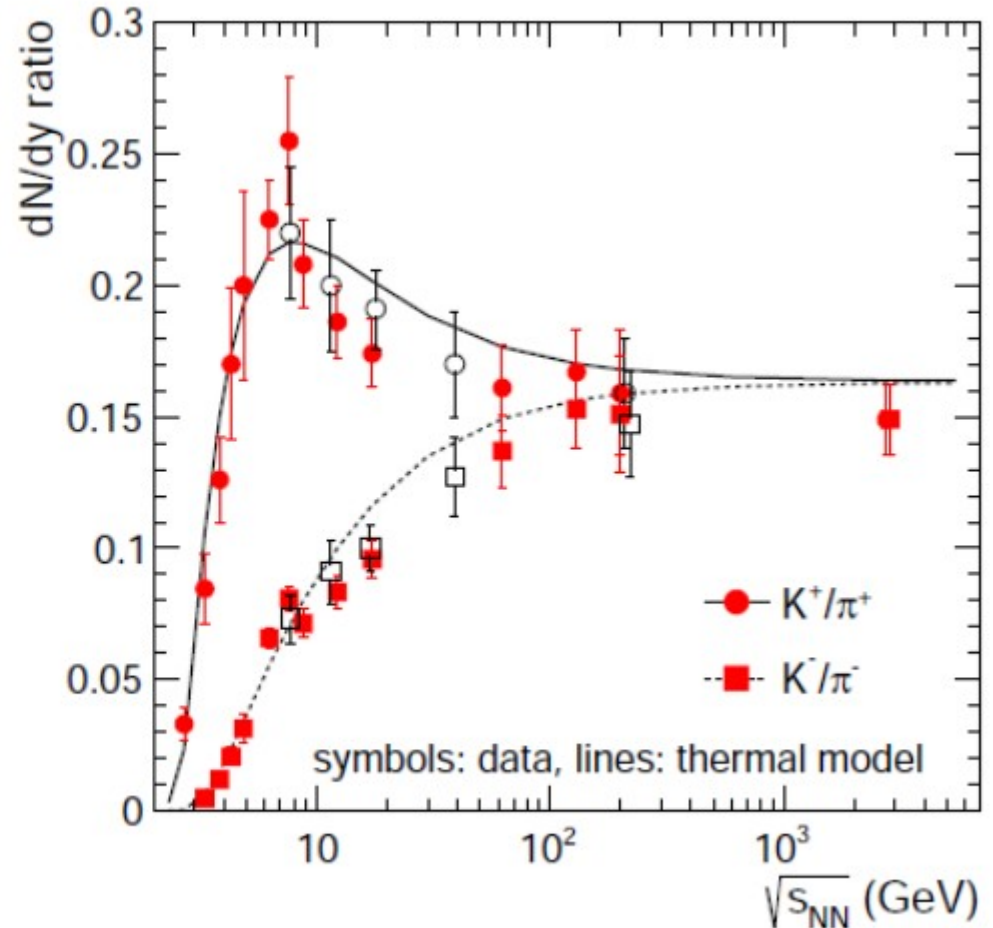
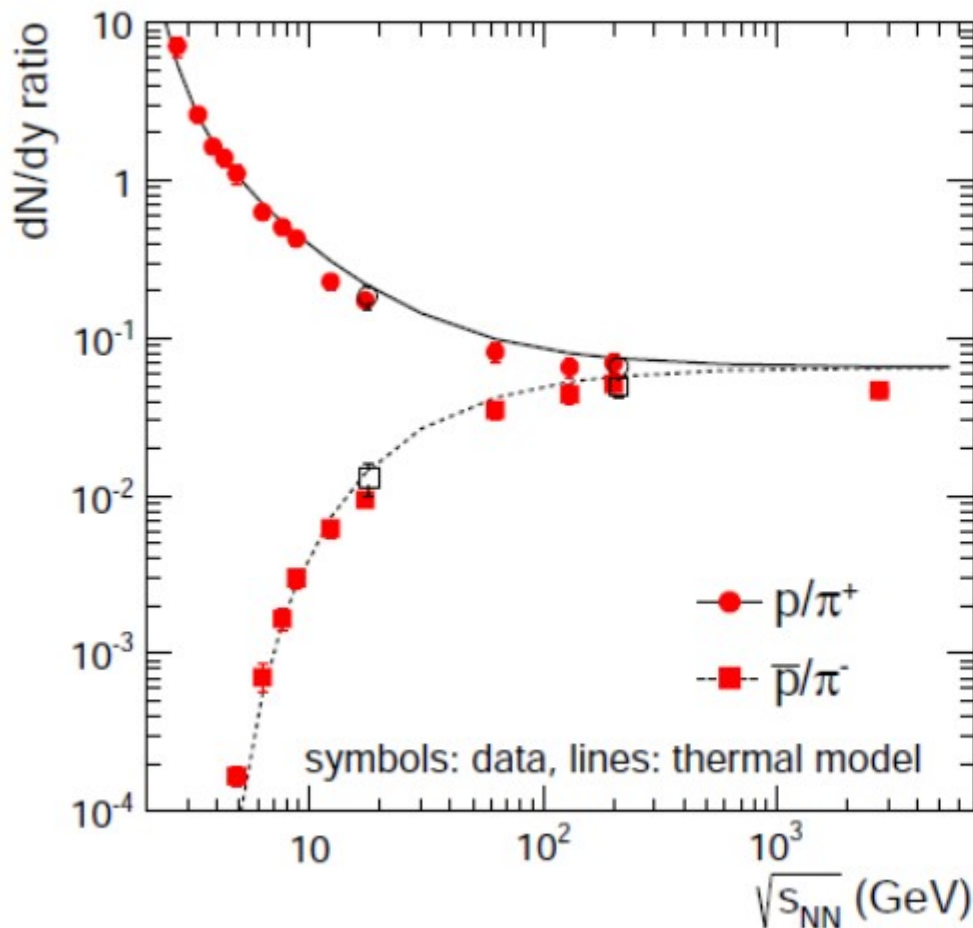
energy dependence of temperature and baryo-chemical potential

energy range from SPS down to threshold

is phase boundary ever reached
for $\sqrt{s}_{NN} < 10$ GeV?



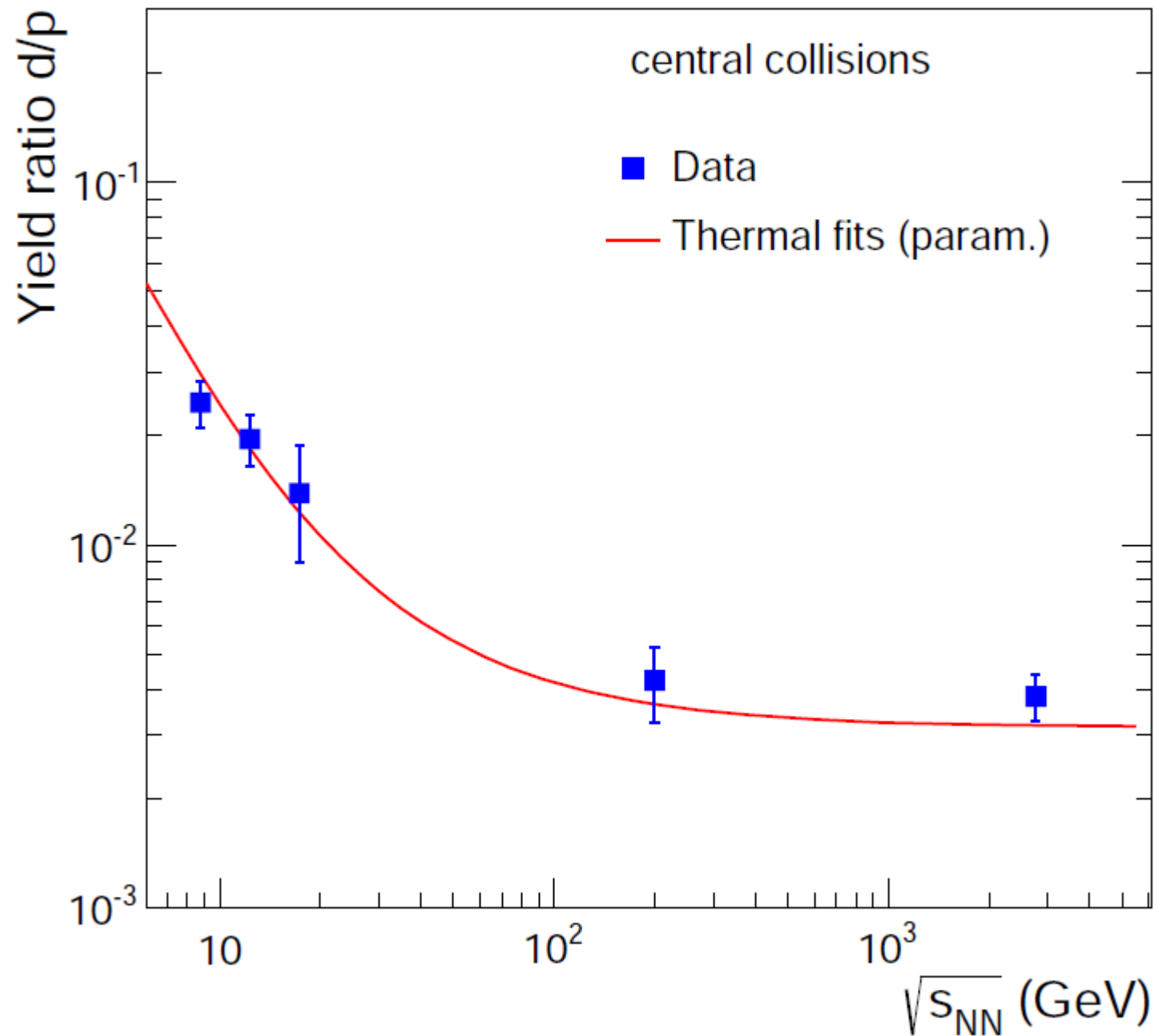
energy dependence of hadron production described quantitatively



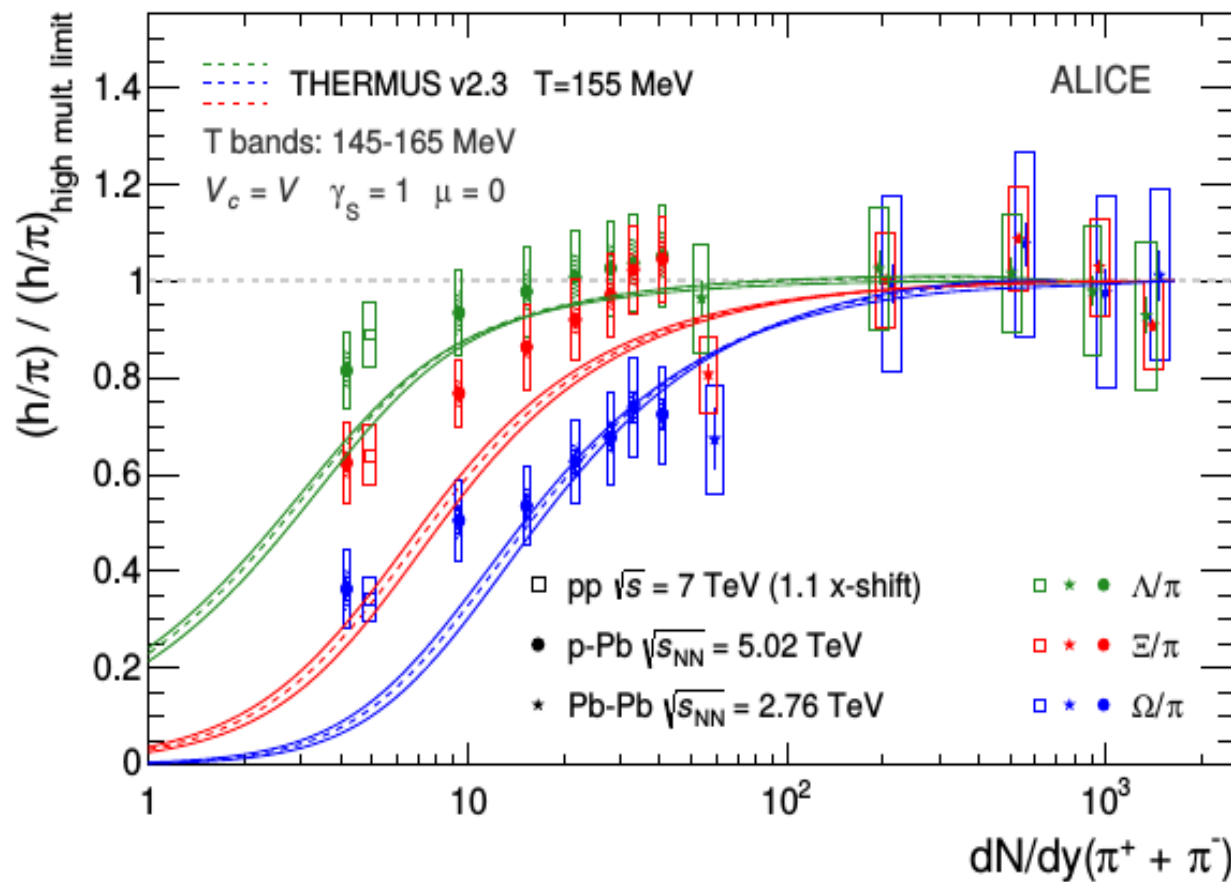
together with known energy dependence of charged hadron production in Pb-Pb collisions we can predict yield of all hadrons at all energies with < 10% accuracy

no new physics needed to describe K^+/π^+ ratio including the 'horn'

d/p ratio as function of energy – Pb—Pb collisions

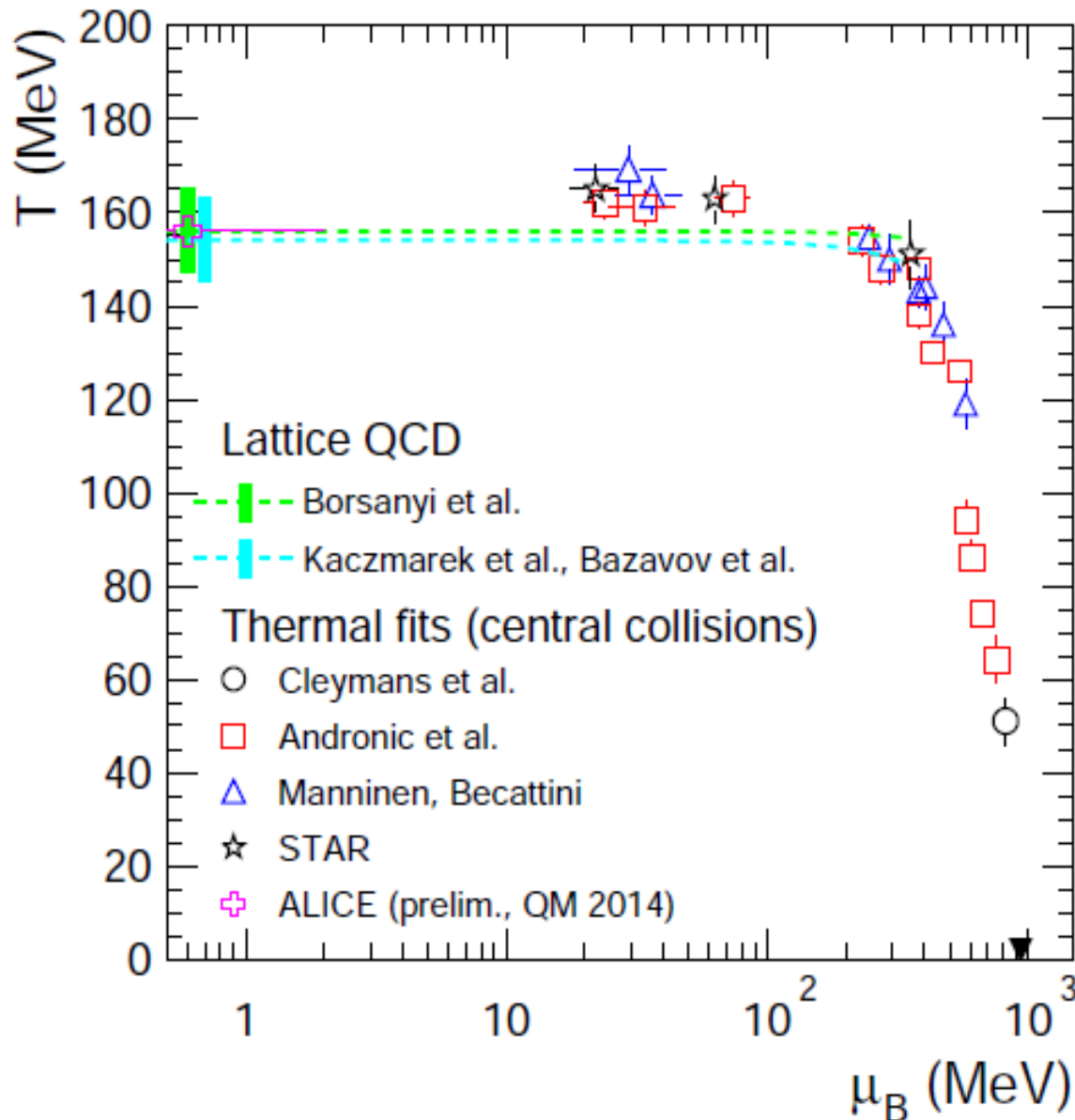


is multiplicity dependence described by canonical thermodynamics?



main features, but not details, are captured well – needs further study
arXiv:1512.07227 ALICE

the QGP phase diagram, LQCD, and hadron production data



quantitative agreement of
chemical freeze-out parameters
with LQCD predictions for baryo-
chemical potential < 300 MeV

lattice QCD, net 'charges', susceptibilities, and ALICE data

main idea: at LHC energy, $\mu_b = 0$, no sign problem, LQCD approach reliable

in a thermal medium, fluctuations or correlations of net 'charges' N are expressed in terms of susceptibilities as:

$$\hat{\chi}_N \equiv \frac{\chi_N}{T^2} = \frac{\partial^2 \hat{P}}{\partial \hat{\mu}_N^2} \quad \hat{\chi}_{NM} \equiv \frac{\chi_{NM}}{T^2} = \frac{\partial^2 \hat{P}}{\partial \hat{\mu}_N \partial \hat{\mu}_M}$$

here, the reduced pressure and chemical potential are, with $N, M = (B, S, Q)$:

$$\hat{P} = P/T^4 \quad \hat{\mu}_N = \mu_N/T$$

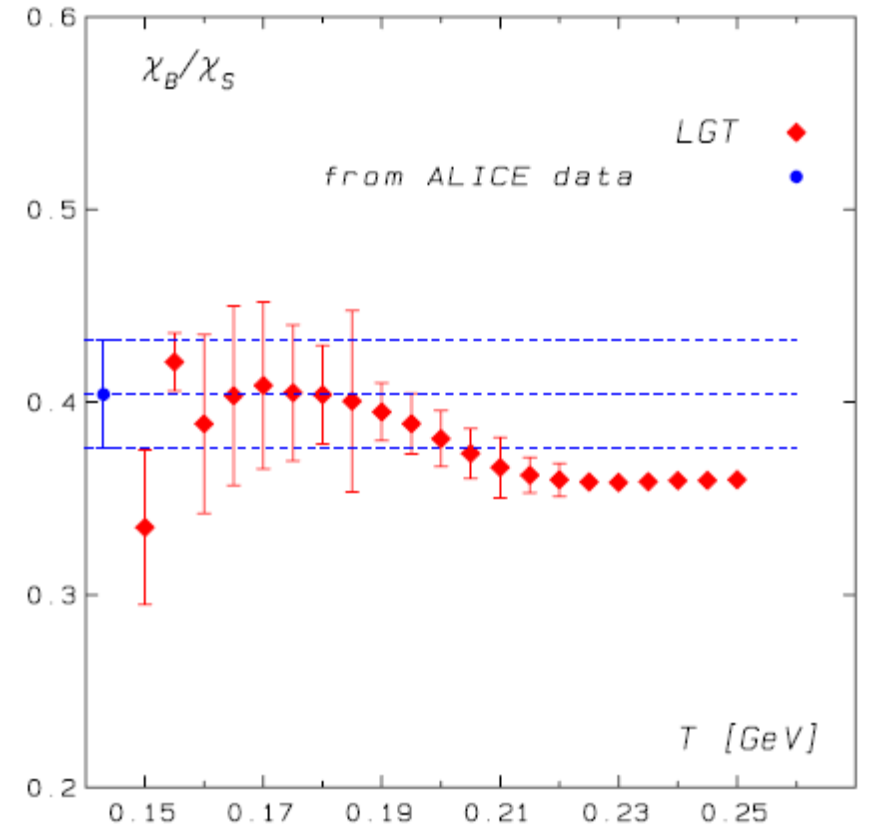
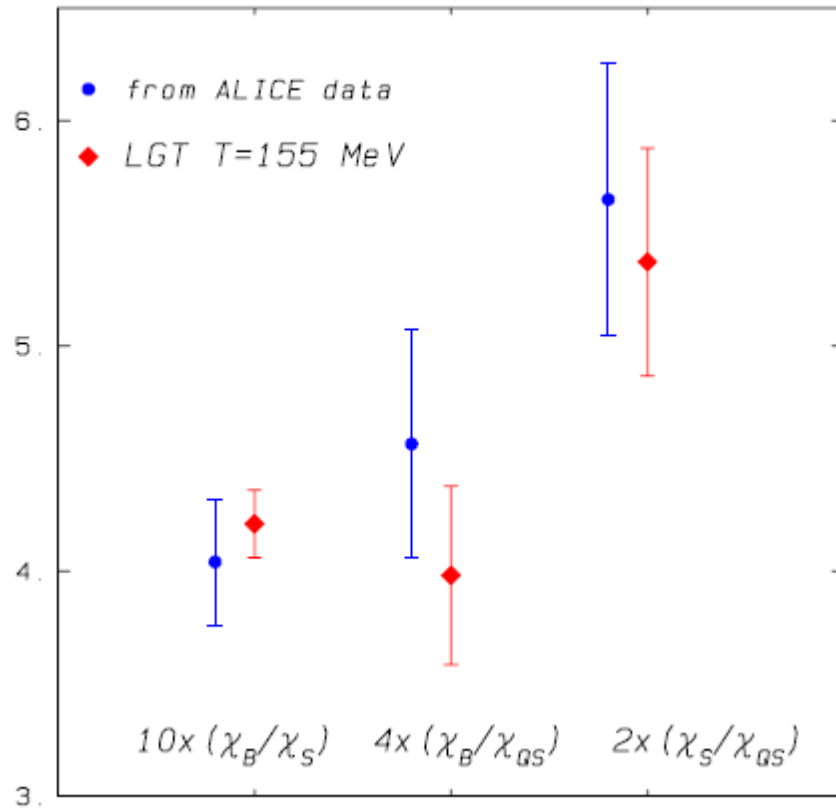
thermodynamically, the susceptibility for the conserved charge N is related to its variance via:

$$\hat{\chi}_N = \frac{1}{VT^3} (\langle N^2 \rangle - \langle N \rangle^2)$$

work based on arXiv:1412.8614, Phys. Lett. B747 (2015) 292,
pbm, A. Kalweit, K. Redlich, J. Stachel

expressed in terms of measurable quantities assuming a Skellam distribution for 2nd moments:

$$\begin{aligned}\frac{\chi_B}{T^2} &= \frac{1}{VT^3} [\langle p \rangle + \langle N \rangle + \langle \Lambda + \Sigma^0 \rangle + \langle \Sigma^+ \rangle + \langle \Sigma^- \rangle \\ &\quad + \langle \Xi^- \rangle + \langle \Xi^0 \rangle + \langle \Omega^- \rangle + \text{antiparticles}], \\ \frac{\chi_S}{T^2} &\simeq \frac{1}{VT^3} [(\langle K^+ \rangle + \langle K^0 \rangle + \langle \Lambda + \Sigma^0 \rangle + \langle \Sigma^+ \rangle \\ &\quad + \langle \Sigma^- \rangle + 4\langle \Xi^- \rangle + 4\langle \Xi^0 \rangle + 9\langle \Omega^- \rangle + \text{antiparticles}) \\ &\quad - (\Gamma_{\phi \rightarrow K^+} + \Gamma_{\phi \rightarrow K^-} + \Gamma_{\phi \rightarrow K^0} + \Gamma_{\phi \rightarrow \bar{K}^0}) \langle \phi \rangle].\end{aligned}\quad (9)$$



from the above figures, one concludes that LQCD predictions and data agree for (pseudo-)critical temperatures $T > 150$ MeV.

however, as shown in [F. Karsch, Acta Phys. Polon. Supp. 7, no. 1, 117 \(2014\)](#)

LQCD results cannot be described by hadronic degrees of freedom for $T > 163$ MeV.

hence we conclude that

$$150 < T < 163 \text{ MeV}$$

from the comparison of ALICE hadron yields with LQCD predictions, completely consistent with the chemical freeze-out analysis

charmonium as a probe for the properties of the QGP

the original idea: (Matsui and Satz 1986) implant charmonia into the QGP and observe their modification, in terms of suppressed production in nucleus-nucleus collisions with or without plasma formation – sequential melting

new insight (pbm, Stachel 2000) QGP screens all charmonia, but charmonium production takes place at the phase boundary, enhanced production at colliders – signal for deconfined, thermalized charm quarks production probability scales with $N(c\bar{c})^2$

reviews: L. Kluberg and H. Satz, arXiv:0901.3831

pbm and J. Stachel, arXiv:0901.2500

both published in Landolt-Boernstein Review, R. Stock, editor, Springer 2010

nearly simultaneous: Thews, Schroeder, Rafelski 2001

formation and destruction of charmonia inside the QGP

n.b. at collider energies there is a complete separation of time scales

$$t_{\text{coll}} \ll t_{\text{QGP}} < t_{\text{Jpsi}}$$

implanting charmonia into QGP is an inappropriate notion

this issue was already anticipated by Blaizot and Ollitrault in 1988

the idea

heavy quarks are not thermally produced, since their mass $m \gg T$

at collider energies, heavy quarks are copiously produced through QCD hard scattering

the developing hot fireball formed in the collision thermalizes the heavy quarks

all charmed hadrons and charmonia are deconfined near T_c

the fireball expands and cools until it reaches the phase boundary

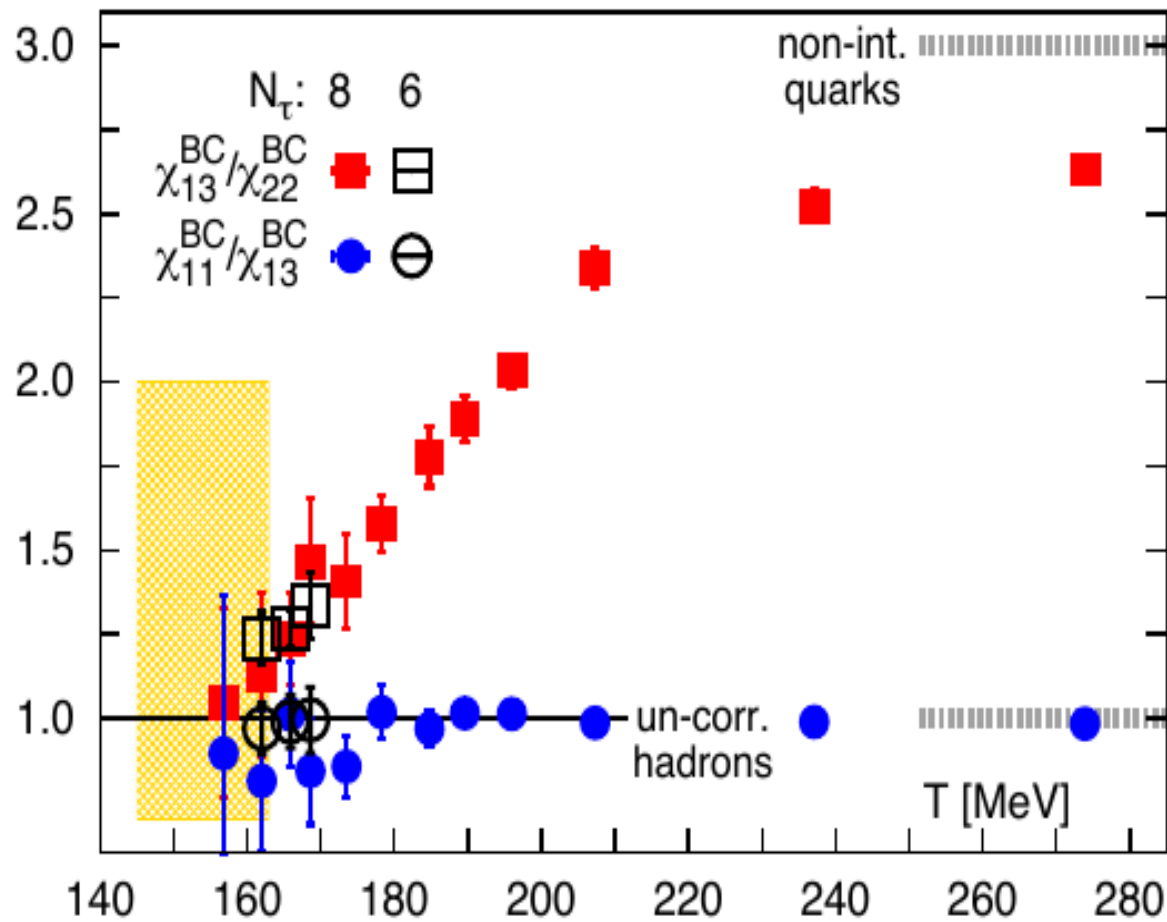
there, charmonia are formed with thermal/statistical weights

since charmonium formation scales with $N(c\bar{c})^2$ and since the charm cross section increases strongly with energy, we expect enhanced charmonium production at collider energy

this brings the thermal model into the heavy quark era with a large heavy quark fugacity

note: mass of charm quark is about 300 times heavier than mass of light quarks

from lattice: charmed hadrons deconfine near T_c



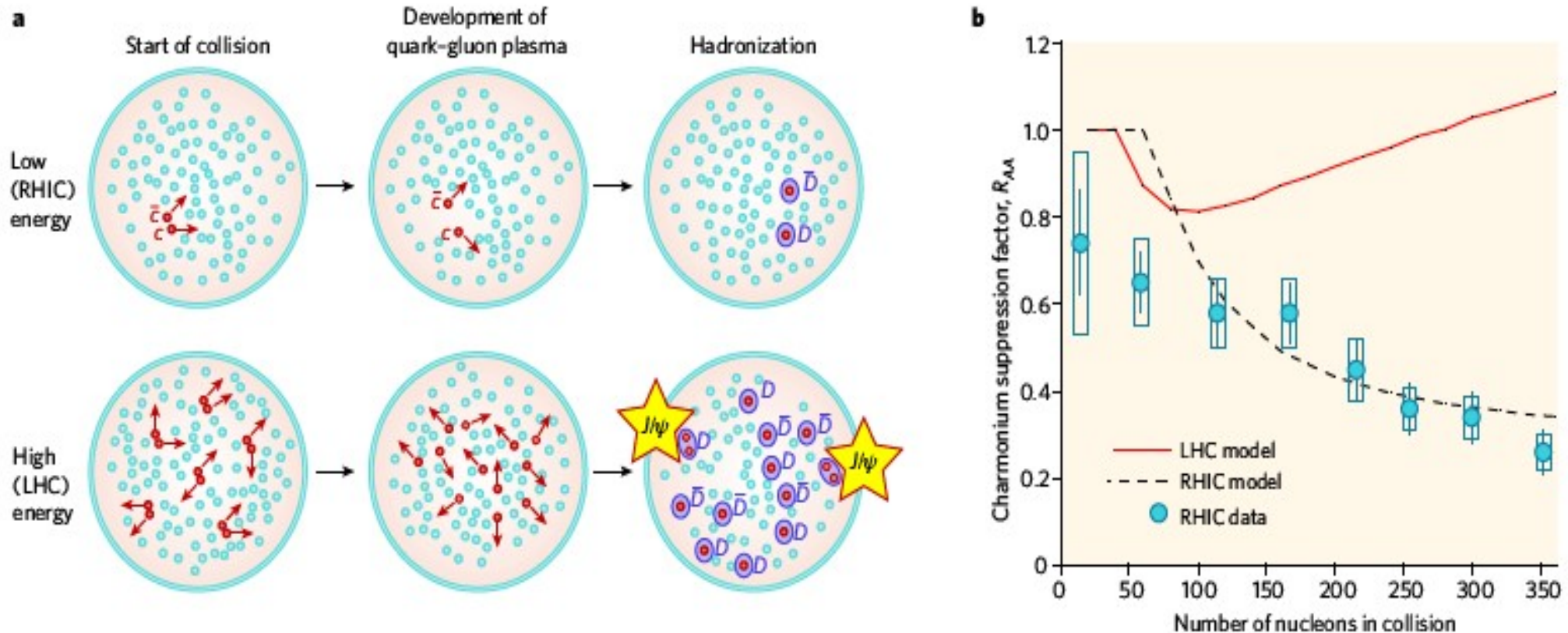
Bazavov et al, PLB 737 (2014) 210

figure courtesy Peter Petrezky

quarkonium as a probe for deconfinement at the LHC

the statistical (re-)generation picture

P. Braun-Munzinger, J. Stachel, The Quest for the Quark-Gluon Plasma, Nature 448 Issue 7151, (2007) 302-309.

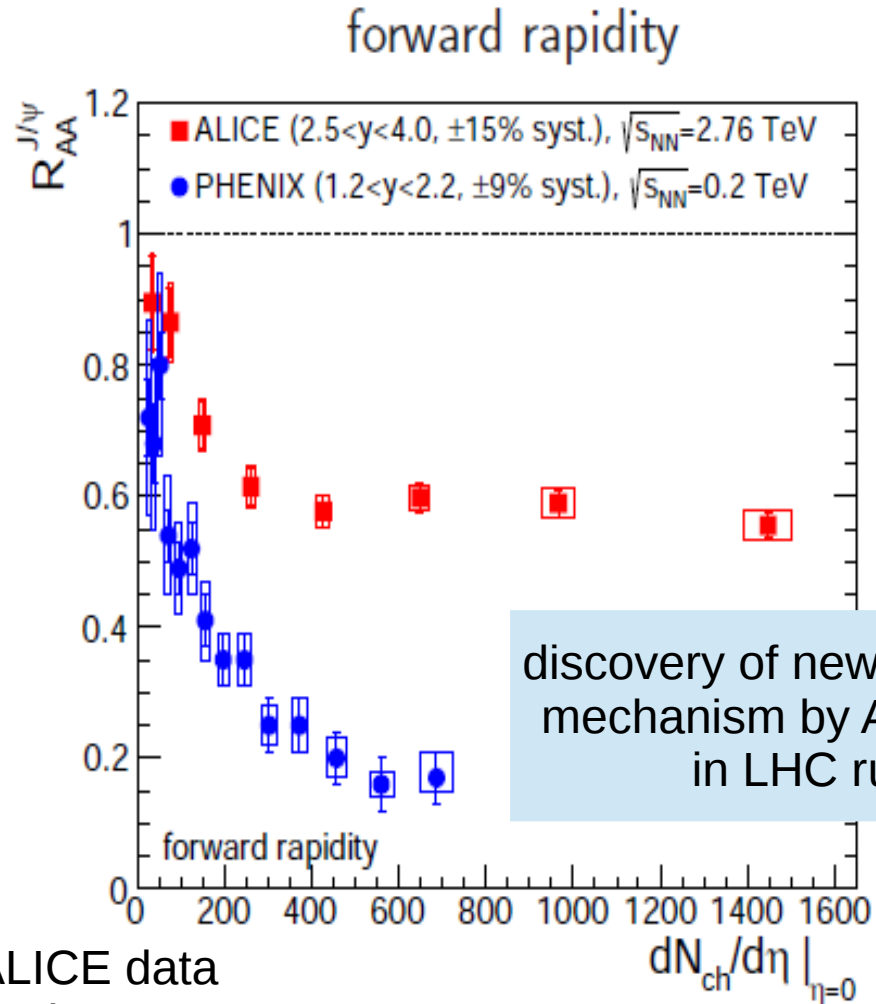
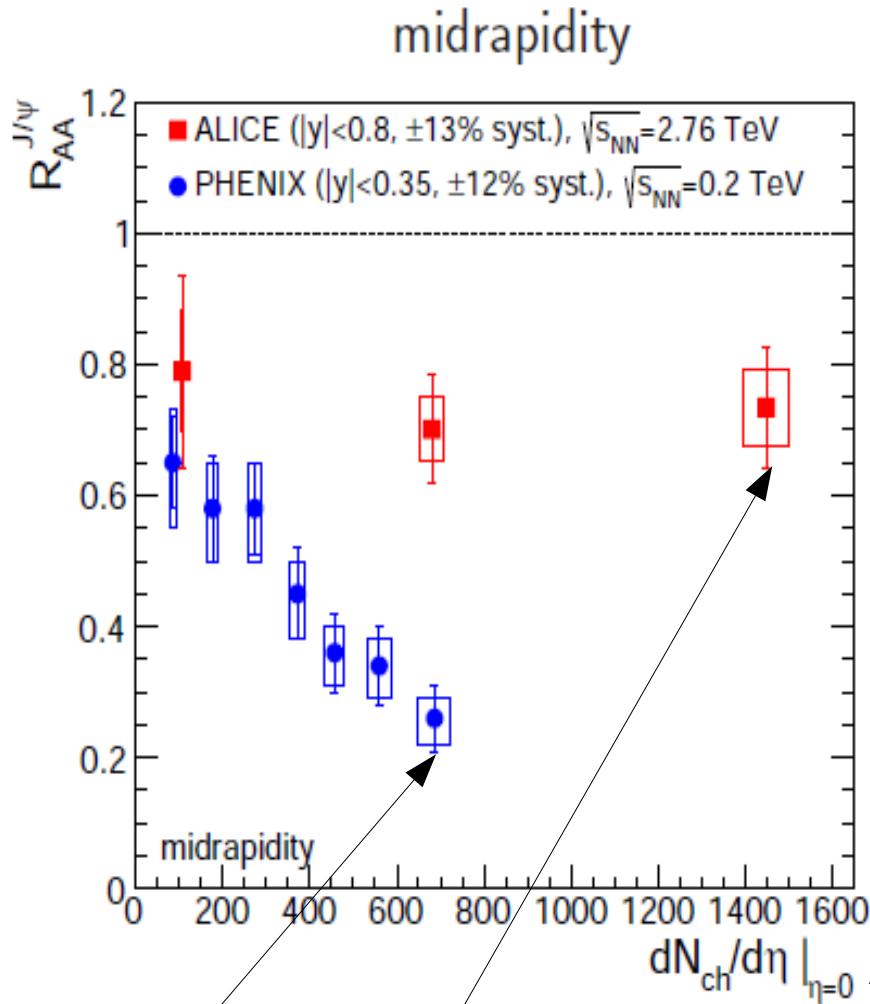


charmonium enhancement as fingerprint of color screening and deconfinement at LHC energy

pbm, Stachel, Phys. Lett. B490 (2000) 196

Andronic, pbm, Redlich, Stachel, Phys. Lett. B652 (2007) 659

less suppression when increasing the energy density



ALICE data

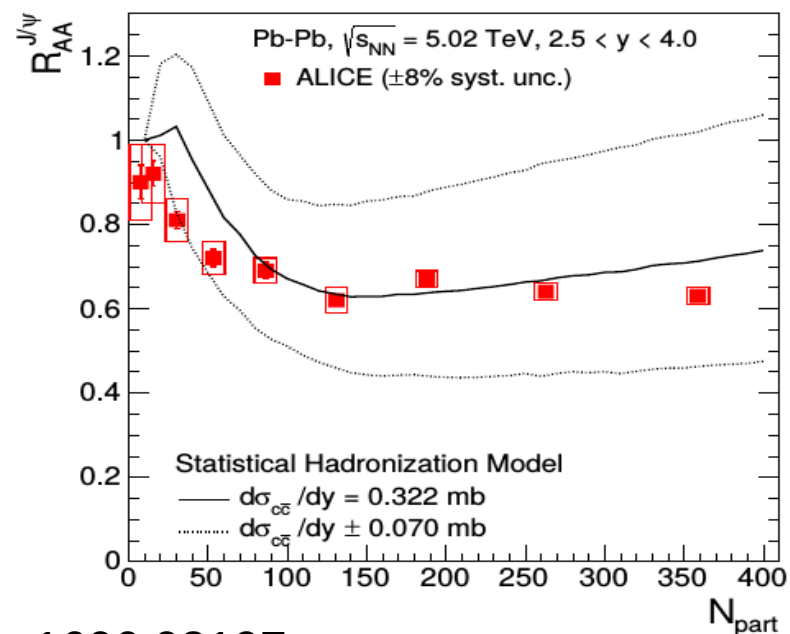
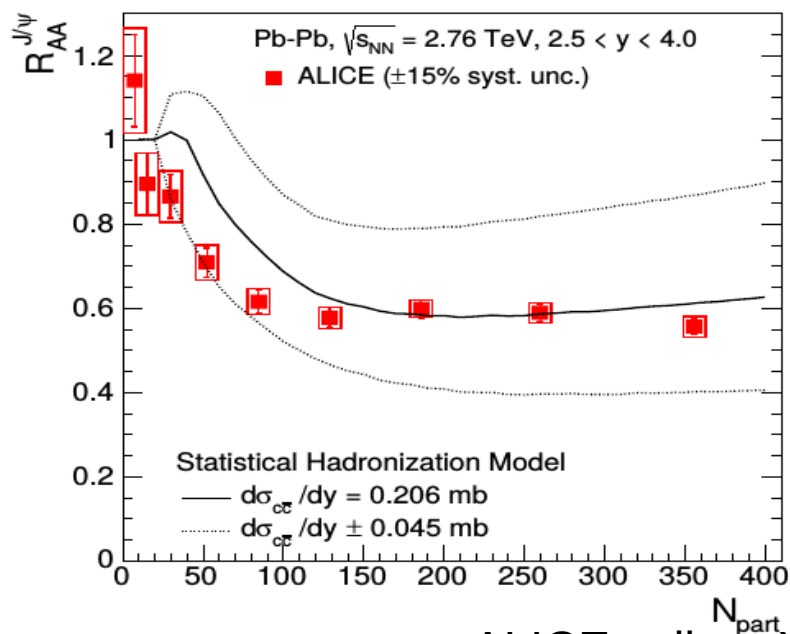
arXiv:1311.0214

Phys.Lett. B734 (2014) 314-327

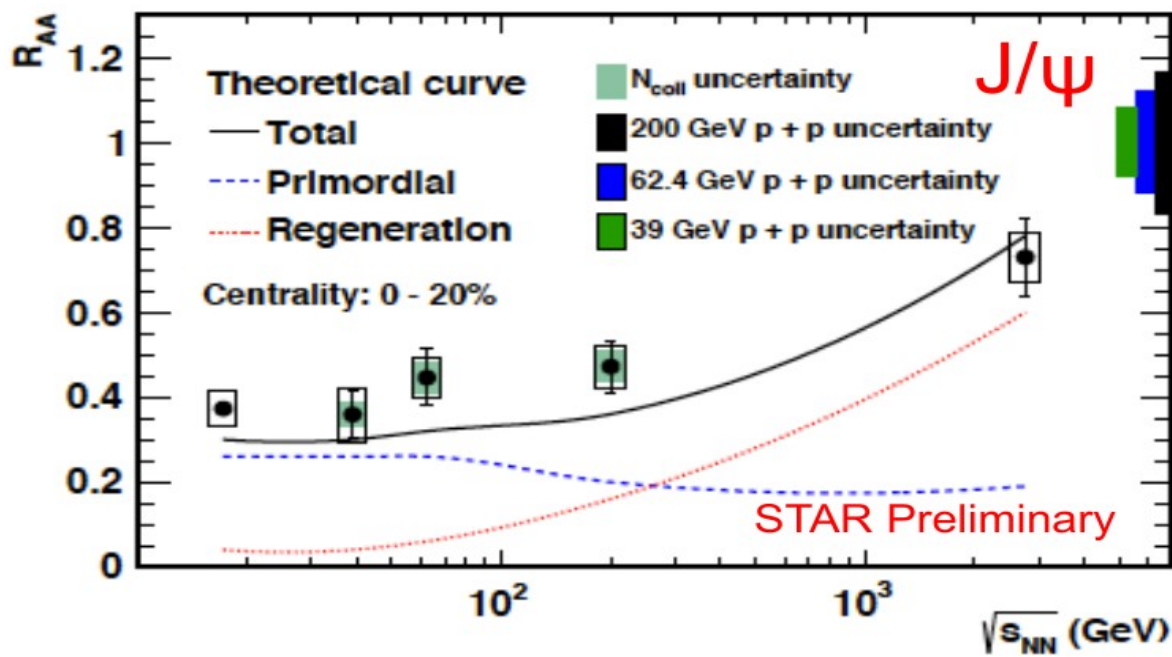
from here to here more than factor of 2 increase in energy density, but R_{AA} increases by more than a factor of 3

2007 prediction impressively confirmed by LHC data

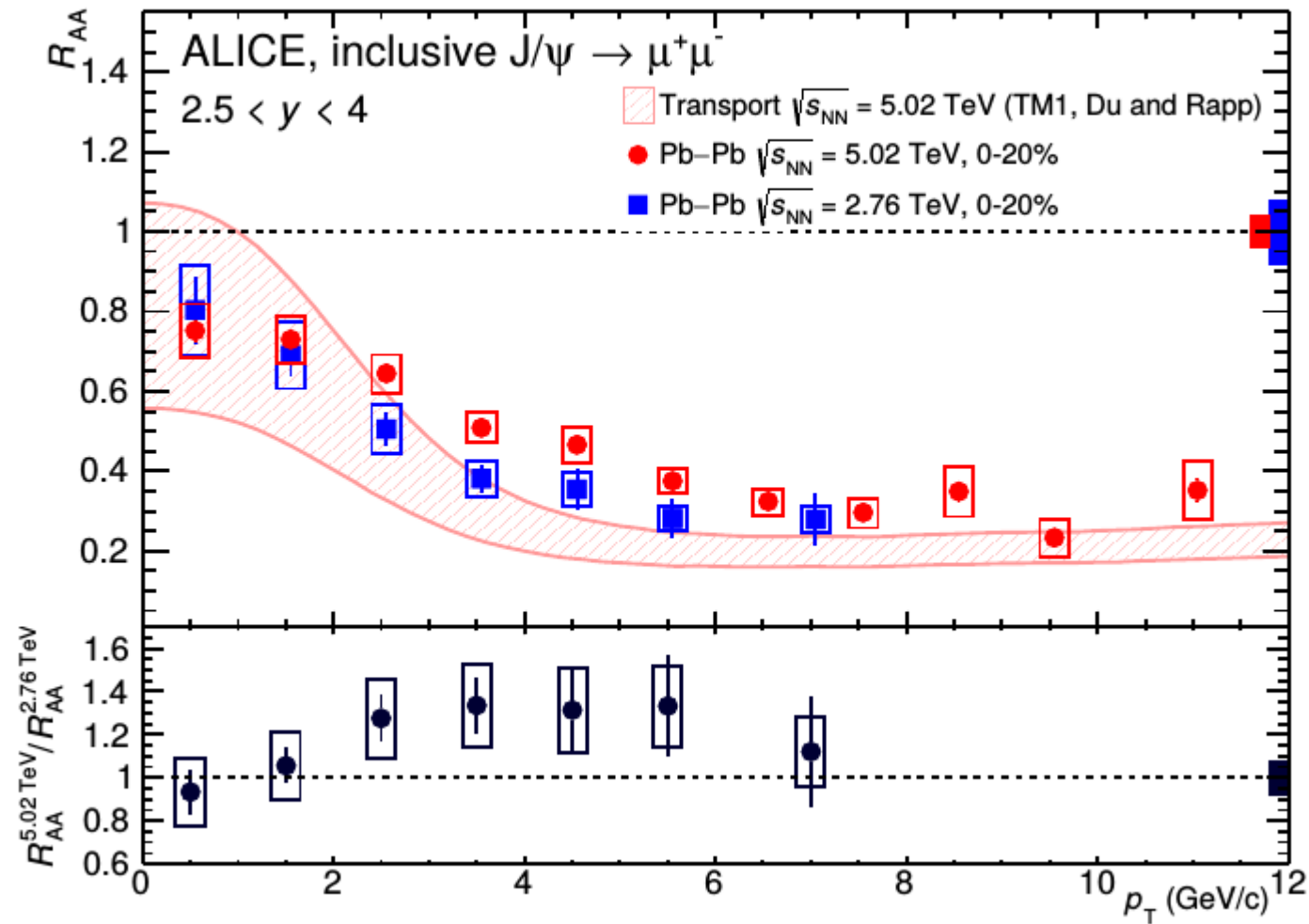
predictions from 2000/2007 beautifully confirmed by RHIC and LHC data



ALICE coll., arXiv:1606.08197



...and the dependence on transverse momentum



ALICE coll., arXiv:1606.08197

summary

overall the LHC data provide strong support for chemical freeze-out driven by the phase transition at $T_c = 156 \text{ MeV}$

the full QCD statistical operator is encoded in the nuclear collision data on hadron multiplicities

energy dependence of hadron yields including charmonia provides strong connection to fundamental QCD prediction of hadronic and quark-gluon matter at high temperature

success to describe also yields of loosely bound states provides evidence for isentropic expansion after chemical freeze-out

first results on 2nd moments consistent and encouraging

search for critical behavior near phase boundary at LHC energy is underway

connection between LQCD and data

additional slides

The Hypertriton

mass = 2.990 MeV

Lambda sep. energy. = 0.13 MeV

molecular structure: (p+n) + Lambda

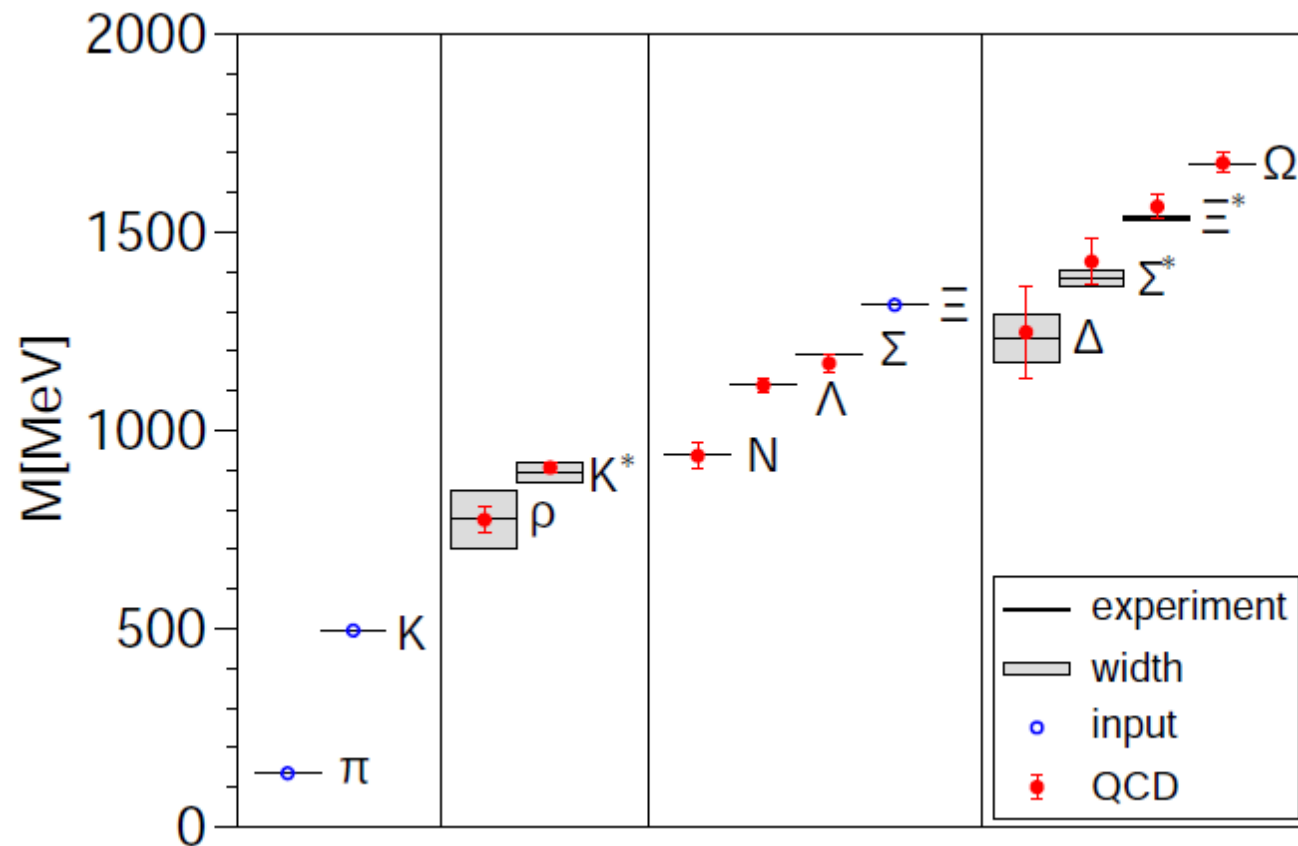
2-body threshold: (p+p+n) + pi- = ^3He + pi-

rms radius = $(4 \text{ B.E. } M_{\text{red}})^{-1/2} = 10.3 \text{ fm} =$
rms separation between d and Lambda

in that sense: hypertriton = (p n Lambda) =
(d Lambda) is the ultimate halo state

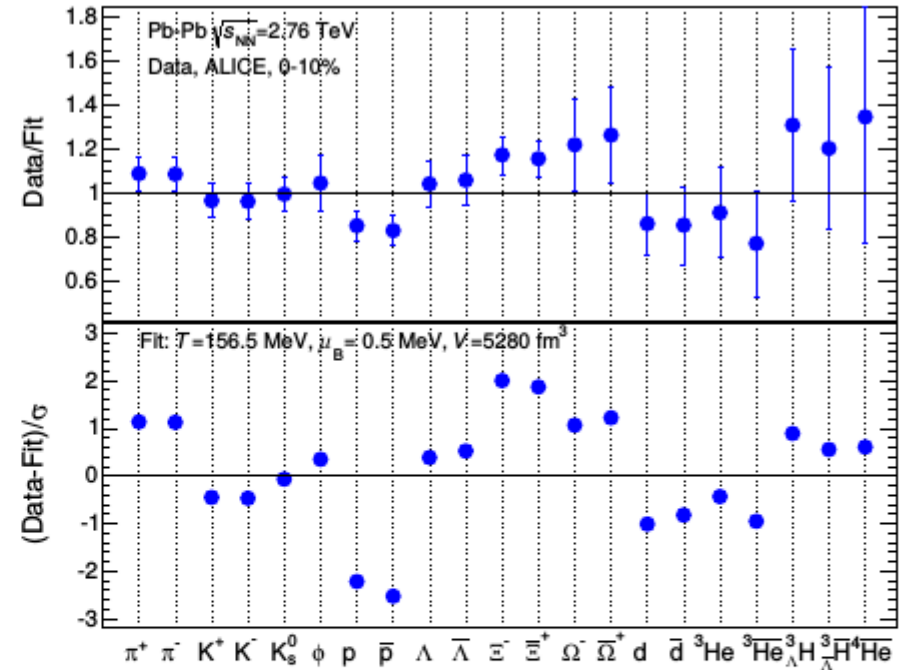
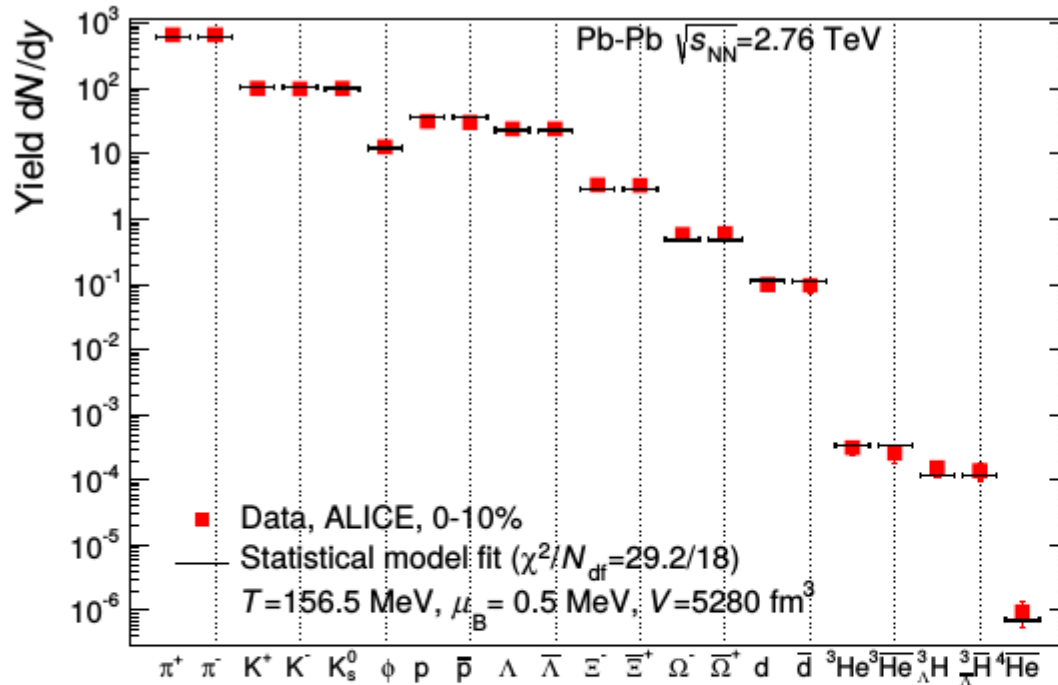
yet production yield is fixed at 156 MeV temperature
(about 1000 x separation energy.)

the hadron mass spectrum and lattice QCD



S. Duerr et al., Science 322 (2008) 1224-1227

details on thermal description

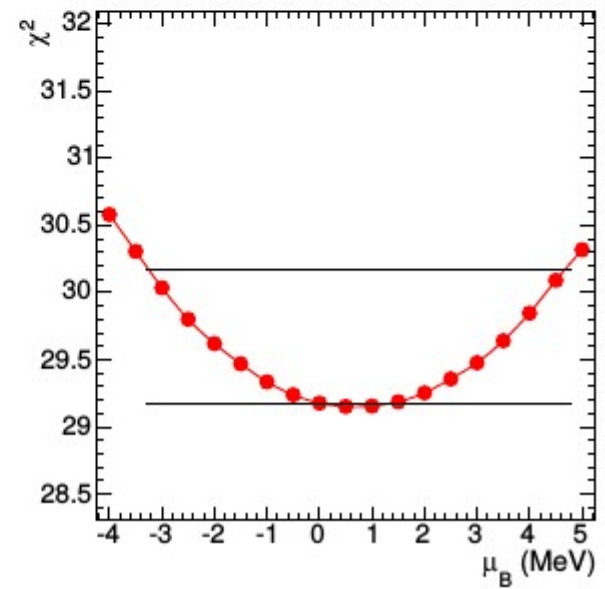
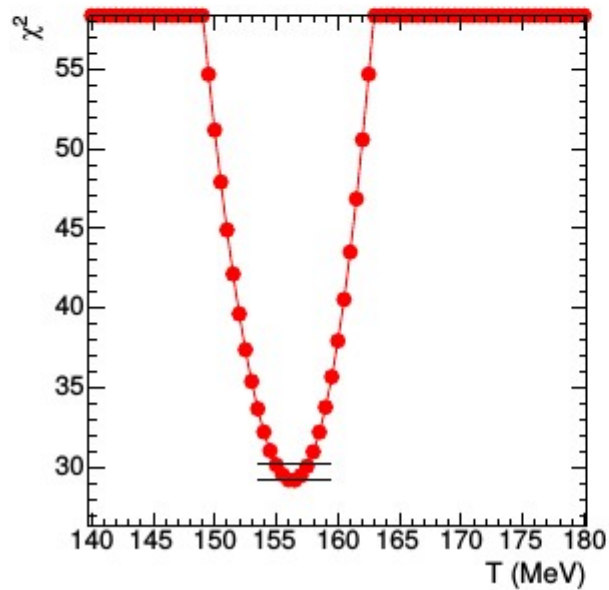
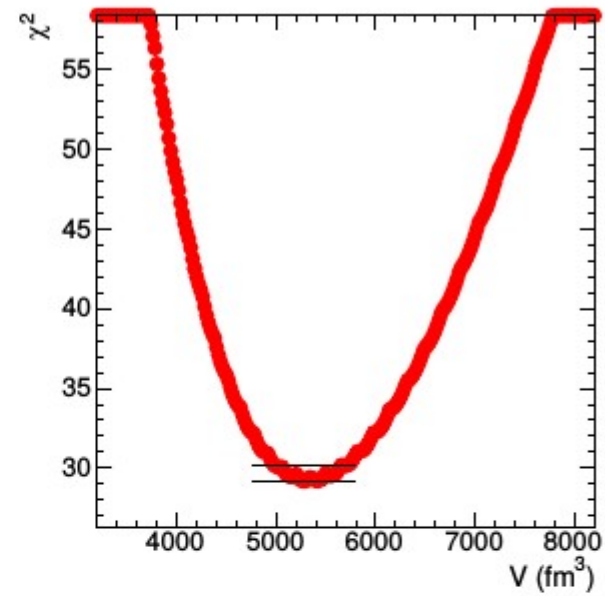
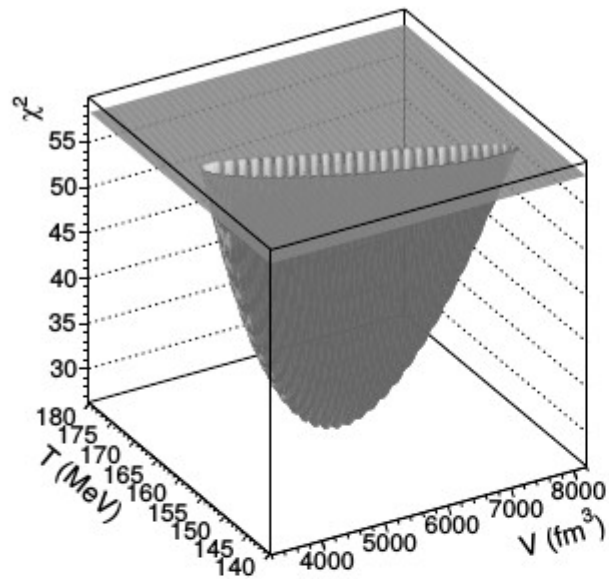


all species in fit

π , K^\pm , K^0 from charm included (0.7%, 2.9%, 3.1% for best fit)

$T = 156.5 \pm 1.5$ MeV, $\mu_B = 0.5 \pm 3.8$ MeV, $V = 5280 \pm 410$ fm³

χ^2 curves in (T,V) for fit



for the special case of uncorrelated emission (Skellam distribution) and net baryon number $N = B$, the susceptibility is related to the total mean number of baryons + anti-baryons via

$$\frac{\chi_N}{T^2} = \frac{1}{VT^3} (\langle N_q \rangle + \langle N_{-q} \rangle)$$

in this limit, we can make a direct comparison between the susceptibility from LQCD, and the experimentally measured total mean number of baryons and anti-baryons.

for $N =$ strangeness S or charge Q , similar expressions, with $|q| = (1,2)$ and $|q| = (1,2,3)$ hold:

$$\frac{\chi_N}{T^2} = \frac{1}{VT^3} \sum_{n=1}^{|q|} n^2 (\langle N_n \rangle + \langle N_{-n} \rangle)$$

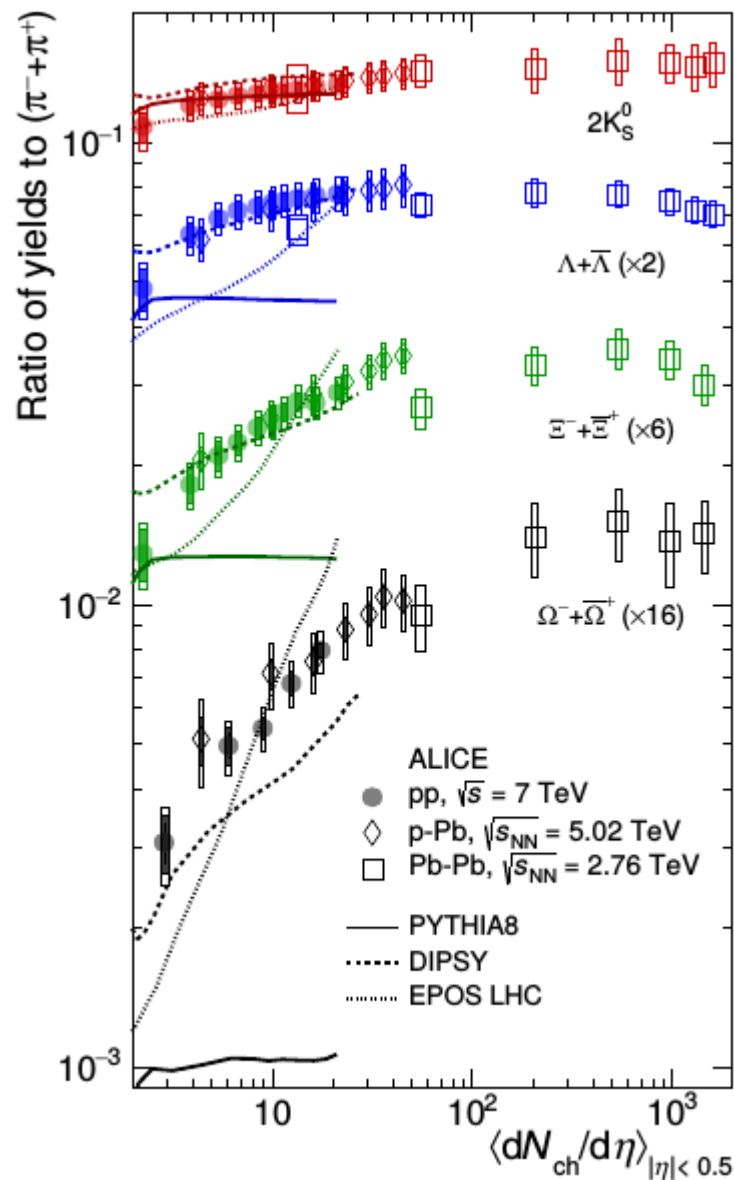
within this approach, a direct link between ALICE LHC data and LQCD predictions can be established

LQCD predictions from:

A. Bazavov *et al.* [HotQCD Collaboration], Phys. Rev. D **86**, 034509 (2012).

A. Bazavov, H.-T. Ding, P. Hegde, O. Kaczmarek, F. Karsch, E. Laermann, Y. Maezawa and S. Mukherjee, Phys. Rev. Lett. **113**, 072001 (2014).

multiplicity dependence of yield ratios approach to grand-canonical limit observed



← grand-canonical
Omega/pi

arXiv:1606.07424
ALICE

...more details

- $\bar{\Lambda}$ from S.Schuchmann, [PhD Thesis \(Jul.2015\)](#)

- fragments from ALICE, [arXiv:1506.08951](#)

derived anti-particles from published ratios:

$$d: (9.82 \pm 1.58) \times 10^{-2}, \bar{d}/d = 0.98 \pm 0.13 \rightarrow \bar{d}: (9.62 \pm 2.01) \times 10^{-2}$$

$${}^3\text{He}: \text{rescale from 0-20\% to 0-10\% using } d, \text{ factor } 1.127 \rightarrow (3.11 \pm 0.706) \times 10^{-4}$$

$${}^3\bar{\text{He}}/{}^3\text{He} = 0.83 \pm 0.08 \pm 0.16 \rightarrow {}^3\bar{\text{He}}: (2.58 \pm 0.81) \times 10^{-4}$$

excluded volume correction:

our standard case: $R_b = R_m = 0.3 \text{ fm}$

equilibration at the phase boundary

- statistical model analysis of (u,d,s) hadron production: an important test of equilibration of quark matter near the phase boundary, **no equilibrium → no QGP matter**
- no (strangeness) equilibration in hadronic phase
- present understanding: multi-hadron collisions near phase boundary bring hadrons close to equilibrium – supported by success of statistical model analysis
- this implies little energy dependence above RHIC energy
- analysis of hadron production → determination of T_c

pbm, Stachel, Wetterich,
Phys.Lett. B596 (2004) 61-69

at what energy is phase boundary reached?

a few remarks about analysis of higher moments of conserved charges

- already for second moments there is a delicate balance between influence of conservation laws (at large acceptance) and trivial fluctuations (at small acceptance)
- for small acceptance, $\Delta\eta \ll 1$, probability distributions become Poisson and are not sensitive to critical behavior.
in this limit all efficiencies are binomially distributed.
- for large acceptance, $\Delta\eta > 1$, effect of conservation laws becomes large. Efficiencies are not anymore binomially distributed. But data are sensitive to dynamical behavior.
- corrections for baryon number conservation become mandatory
- for large values of μ_B , impact parameter (volume) fluctuations become largest source of 'trivial' fluctuations, very unpleasant for search for critical endpoint (details see below)
- for higher moments, situation becomes more difficult.
- effect of purity in PID needs to be carefully studied, crucial for higher moment analysis

a few remarks about analysis of higher moments of conserved charges

- volume fluctuations
- independent source model:
- for N : total number of particles, N_s : number of sources, n : number of particles from a single source

$$c_2(N) = \langle N_s \rangle c_2(n) + \langle n \rangle^2 c_2(N_s)$$

- 2 limits:
 - (i) $\langle n \rangle = N_p$ low energy limit, fluctuations dominated by trivial volume fluctuations
 - (ii) $\langle n \rangle = \langle N_p - N_{pbar} \rangle = 0$ high energy (LHC) limit, volume fluctuations drop out

stay tuned for more results in Anar Rustamov's talk on Friday

also ALICE higher moments results soon

major advantage at LHC energy: EbE measurements of conserved quantities sensitive to dynamical fluctuations

quark-gluon plasma and hadron yields in central nuclear collisions

QCD implies duality between (quarks and gluons) – hadrons

hadron gas is equilibrated state of all known hadrons

QGP is equilibrated state of deconfined quarks and gluons

at a critical temperature T_c a hadronic system converts to QGP

consequence:

QGP in central nuclear collisions if:

1. all hadrons in **equilibrium state** at common temperature T
2. as function of cm energy the hadron state must reach a **limiting temperature** T_{lim}
3. all hadron yields must agree with predictions using the **full QCD partition function** at the QCD critical temperature $T_c = T_{\text{lim}}$

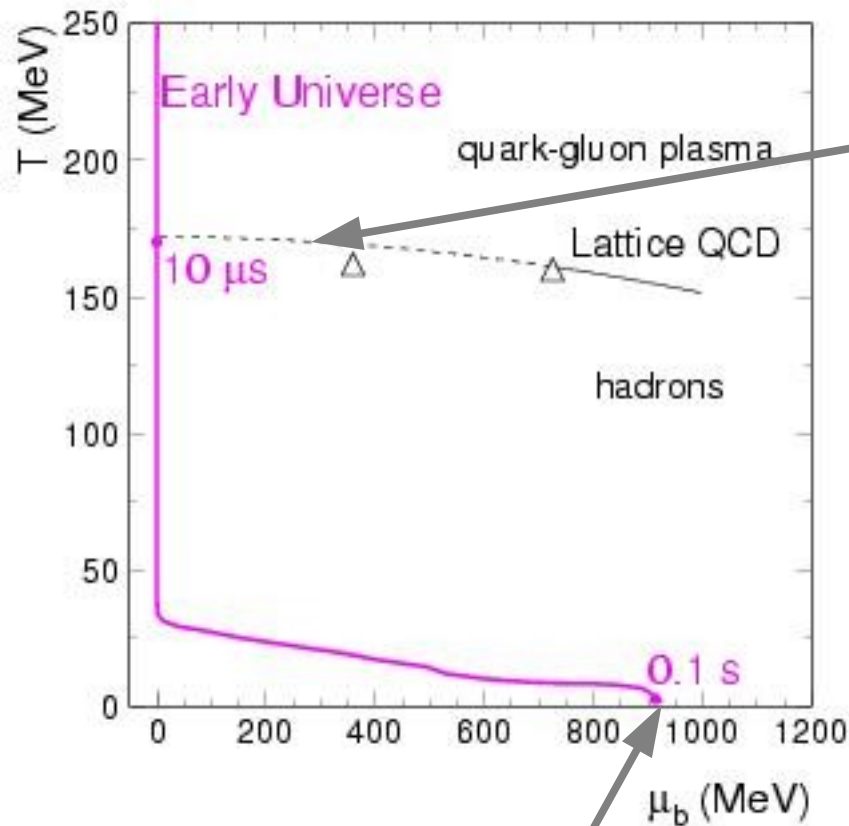
The size of loosely bound molecular objects

Examples: deuteron, hypertriton, XYZ 'charmonium states, molecules near Feshbach resonances in cold quantum gases

Quantum mechanics predicts that a bound state that is sufficiently close to a 2-body threshold and that couples to that threshold through a short-range S-wave interaction has universal properties that depend only on its binding energy. Such a bound state is necessarily a loosely-bound molecule in which the constituents are almost always separated by more than the range. One of the universal predictions is that the root-mean-square (rms) separation of the constituents is $(4\mu E_X)^{-1/2}$, where E_X is the binding energy of the resonance and μ is the reduced mass of the two constituents. As the binding energy is tuned to zero, the size of the molecule increases without bound. A classic example of a loosely-bound S-wave molecule is the deuteron, which is a bound state of the proton and neutron with binding energy 2.2 MeV. The proton and neutron are correctly predicted to have a large rms separation of about 3.1 fm.

Artoisenet and Braaten,
arXiv:1007.2868

evolution of the early universe and the QCD phase diagram



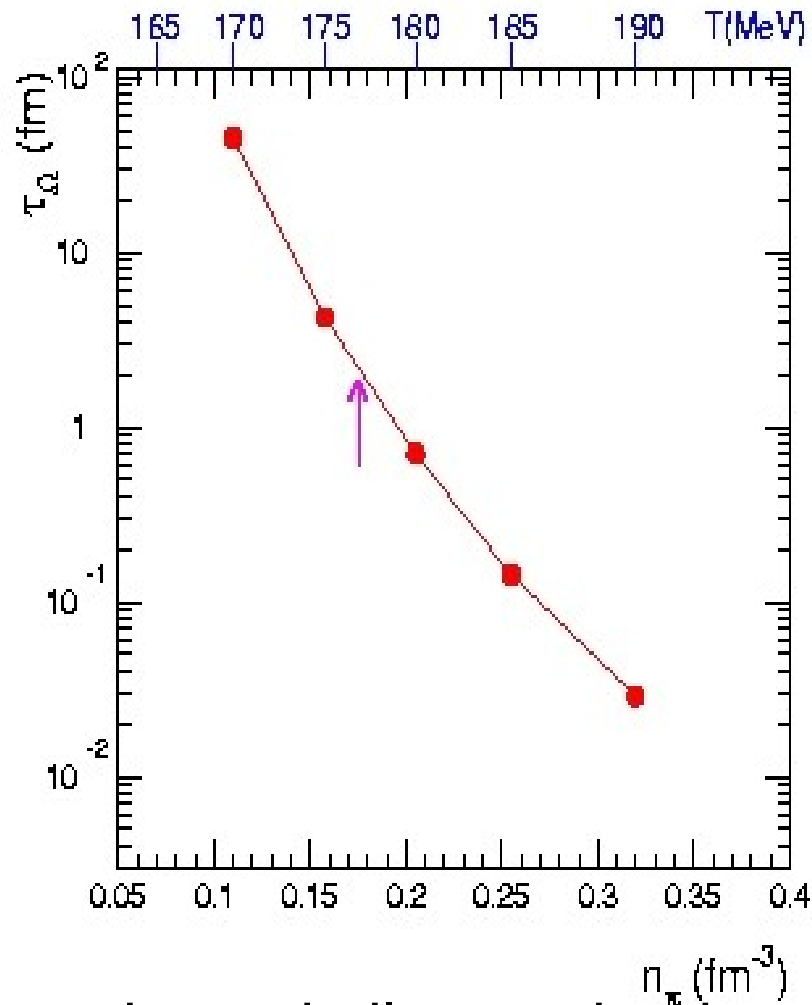
QCD phase boundary

homogeneous Universe in equilibrium, this matter can only be investigated in nuclear collisions

- charge neutrality
- net lepton number = net baryon number
- constant entropy/baryon

neutrinos decouple and light nuclei begin to be formed

The QGP phase transition drives chemical equilibration for small μ_b

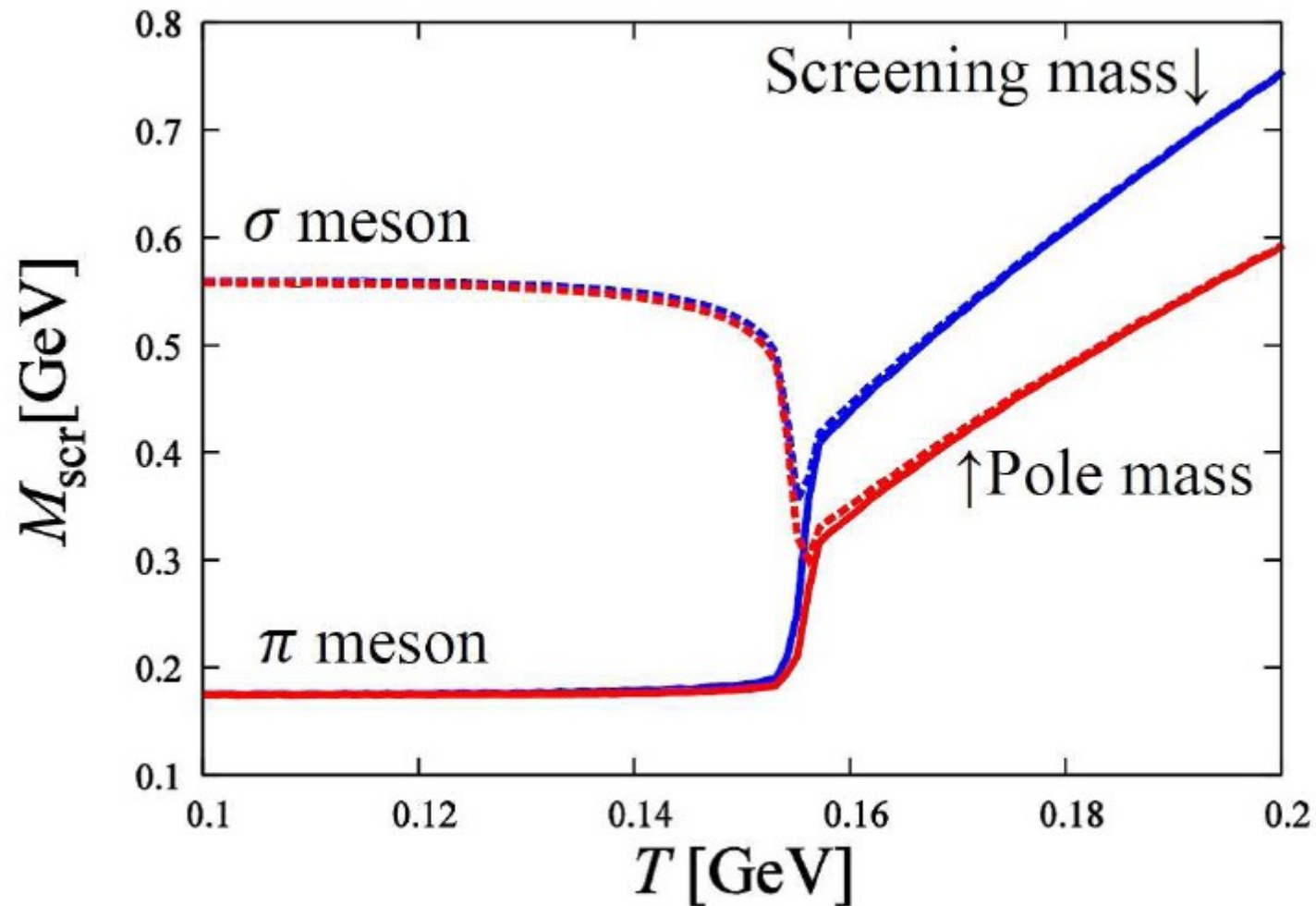


are there similar mechanisms for large μ_b ?

- Near phase transition particle density varies rapidly with T .
- For small μ_b , reactions such as $KKK\pi\pi \rightarrow \Omega N_{\text{bar}}$ bring multi-strange baryons close to equilibrium.
- Equilibration time $\tau \propto T^{-60}$!
- All particles freeze out within the same very narrow temperature window.

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Phys. Lett. B596 (2004) 61
nucl-th/0311005

temperature dependence of meson masses in a NJL model



Mesonic correlation functions at finite temperature and density in the Nambu-Jona-Lasinio model with a Polyakov loop

H. Hansen, W.M. Alberico (INFN, Turin & Turin U.), A. Beraudo (Saclay, SPHT), A. Molinari, M. Nardi (INFN, Turin & Turin U.), C. Ratti (ECT, Trento & INFN, Trento). Sep 2006. 26 pp.

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