

Correlated-basis approach to nuclear four- and five-body problems

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1. Correlated-basis approach
2. Electroweak response functions of ${}^4\text{He}$
Resonance, Tensor force
3. ${}^{16}\text{O}$ as a ${}^{12}\text{C}+n+n+p+p$ model
Clustering

Variational calculation for many-body quantum system

- Many-body wave function Ψ has all information of the system
- Solve many-body Schrödinger equation
⇔ Eigenvalue problem with Hamiltonian matrix
- Variational principle $\langle \Psi | H | \Psi \rangle = E \geq E_0$ (“Exact” energy)
(Equal holds if Ψ is the “exact” solution)
- Expand the wave function in the explicitly correlated Gaussian functions

$$\Psi = \sum_k c_k \exp\{-\sum_{i,j} \beta_{ij}^k (r_i - r_j)^2\}$$

- Optimum parameters β_{ij}^k are selected stochastically

Stochastic Variational Method

K. Varga and Y. Suzuki, PRC52, 2885 (1995).

1. Randomly generate candidates
2. Calculate energy for each candidate
3. Select the basis which gives the lowest energy among them
4. Increase the basis size
5. Return to 1. and repeat the procedure until energy is converged

→ accurate solution can be obtained with a small basis size

Explicitly correlated basis approach

Correlated Gaussian with two global vectors

Y. Suzuki, [W.H.](#), M. Orabi, K. Arai, FBS42, 33-72 (2008)

$$\phi_{(L_1 L_2) L M_L}^{\pi}(A, u_1, u_2) = \exp(-\tilde{\mathbf{x}} A \mathbf{x}) [\mathcal{Y}_{L_1}(\tilde{u}_1 \mathbf{x}) \mathcal{Y}_{L_2}(\tilde{u}_2 \mathbf{x})]_{L M_L}$$

\mathbf{x} : any relative coordinates (cf. Jacobi)

$$\mathcal{Y}_{\ell}(\mathbf{r}) = r^{\ell} Y_{\ell}(\hat{\mathbf{r}})$$

$$\tilde{\mathbf{x}} A \mathbf{x} = \sum_{i,j=1}^{N-1} A_{ij} \mathbf{x}_i \cdot \mathbf{x}_j$$

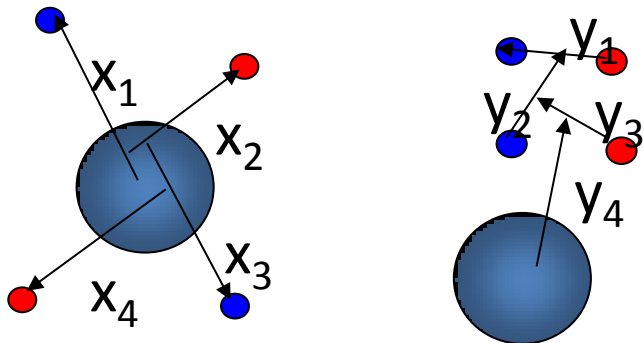
$$\tilde{u}_i \mathbf{x} = \sum_{k=1}^{N-1} (u_i)_k \mathbf{x}_k$$

Formulation for N-particle system
Analytical expression for matrix elements

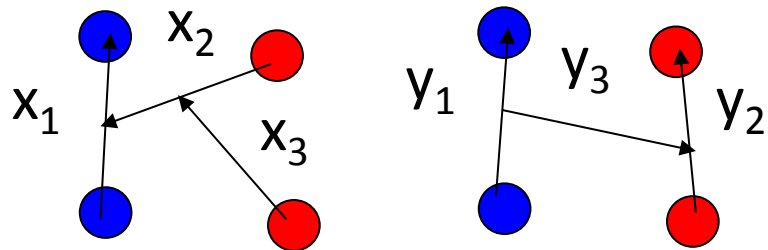
Functional form does not change under any coordinate transformation

$$\mathbf{y} = T \mathbf{x} \implies \tilde{\mathbf{y}} B \mathbf{y} = \tilde{\mathbf{x}} \tilde{T} B T \mathbf{x} \qquad \tilde{\mathbf{v}} \mathbf{y} = \tilde{T} \tilde{\mathbf{v}} \mathbf{x}$$

Shell and cluster structure

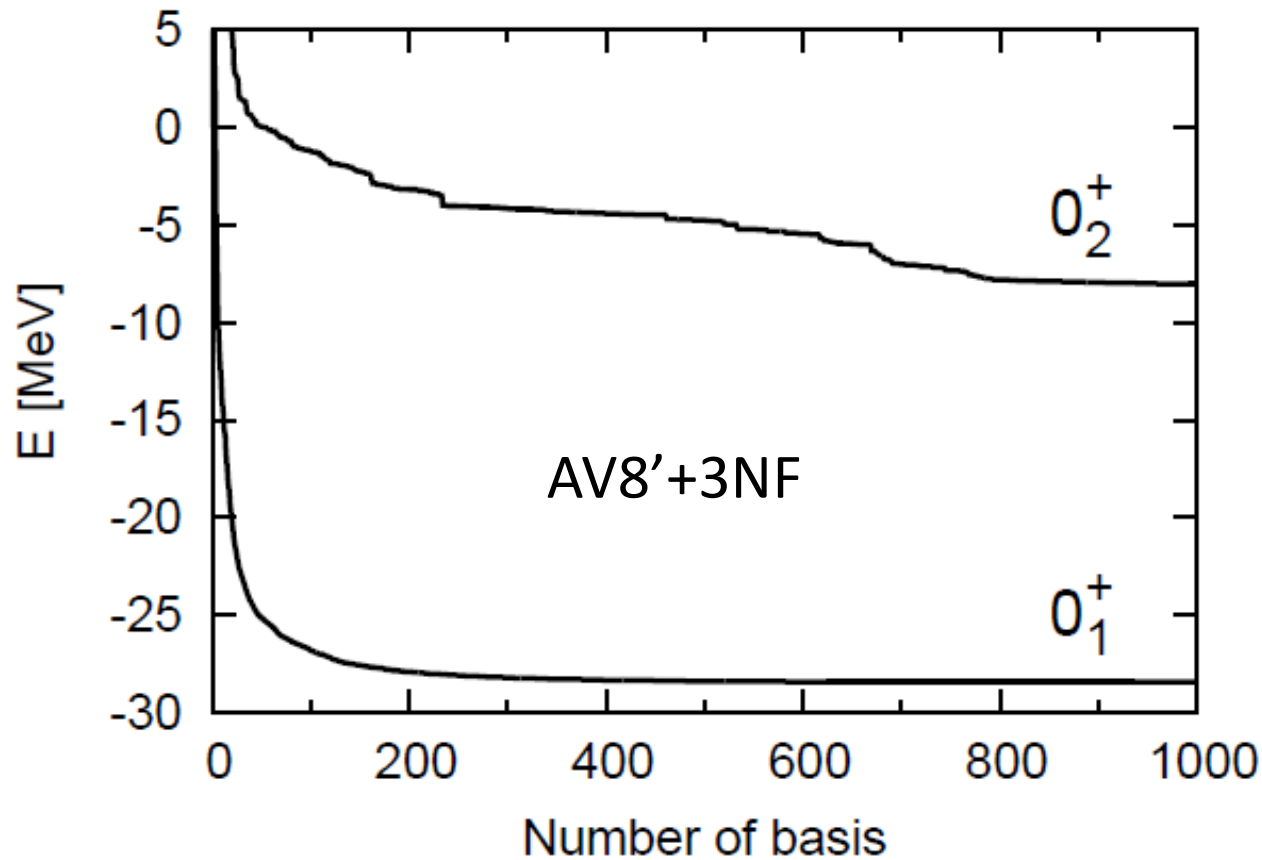


Four particle rearrangement



See Recent Review: J. Mitroy et al., Rev. Mod. Phys. 85, 693 (2013)

Energy convergence of ${}^4\text{He}$



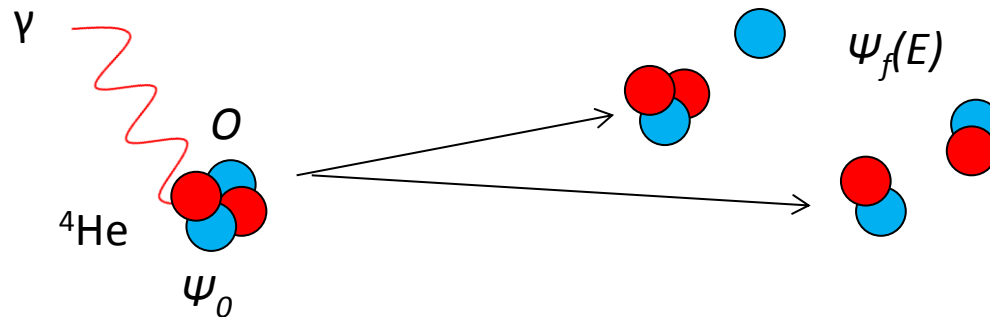
The method can be “*Ab initio*” ; Ground state energy agrees with the other accurate methods (FY, GFMC, NCSM,...)

H. Kamada et al., PRC64, 044001 (2001)

Electroweak response functions

- Response (strength) function
 - Resonant and continuum structure
 - The ground state properties and interactions

$$S(E) = \mathcal{S}_{f\mu} |\langle \Psi_f | \mathcal{O}_{\lambda\mu} | \Psi_0 \rangle|^2 \delta(E_f - E_0 - E)$$



- Evaluate $S(E)$ with *ab initio* theoretical model
 - Nucleon (proton and neutron) degrees of freedom
 - Realistic nuclear force (NN scattering, ${}^2\text{H}$ properties)
 - No specific model assumption

Ab initio calculation for ^4He

- ^4He nucleus:
 - Ideal example of investigating the nuclear interaction**
 - The lightest doubly closed nucleus
 - Mostly $(0s)^4$ but having approximately 14% D-state ($L=2, S=2$) due to tensor component of the nuclear force
 - **Converged *Ab initio* calculation can be done for the ground state**
- Electroweak responses of ^4He
 - Electromagnetic (EM) interaction: EM decay, electron scattering, ...
Photoabsorption reaction → Electric dipole (E1) transition
 - Weak interaction: beta decay, **neutrino-nucleus reactions**, ...
Spin-dipole (SD) transition: similar to the E1 but flips the spin
Advantageous to probe the tensor correlation?

Variational calculation for many-body system

Hamiltonian
$$H = \sum_{i=1}^A T_i - T_{\text{cm}} + \sum_{i<j}^A v_{ij} + \sum_{i<j<k}^A v_{ijk}$$

$$v_{12} = V_c(r) + V_{\text{Coul.}}(r)P_{1\pi}P_{2\pi} + V_t(r)S_{12} + V_b(r)\mathbf{L} \cdot \mathbf{S}$$

- Argonne v8 type interactions (AV8' , G3RS); **“bare” interaction**
central, tensor, spin-orbit
- Three-nucleon force (3NF) E. Hiyama et al. PRC70, 031001(R) (2002)
→ reproduce inelastic form factor of the first excited state of ${}^4\text{He}$.

Basis function

$$\Psi_{(LS)JM_JTM_T} = \mathcal{A} \left\{ \left[\psi_L^{(\text{space})} \psi_S^{(\text{spin})} \right]_{JM_J} \psi_{TM_T}^{(\text{isospin})} \right\}$$

$$\psi_{SM_S}^{(\text{spin})} = \left| \left[\cdots \left[\left[\left[\frac{1}{2} \frac{1}{2} \right]_{S_{12}} \frac{1}{2} \right]_{S_{123}} \right] \cdots \right]_{SM_S} \right\rangle$$

$\psi_{LM}^{(\text{space})}$: correlated Gaussian combined with two global vectors

Y. Suzuki, [W.H.](#), M. Orabi, K. Arai, FBS42, 33-72 (2008)

$$F_{(L_1L_2)LM}(u_1, u_2, A, \mathbf{x}) = \exp \left(-\frac{1}{2} \tilde{\mathbf{x}} A \mathbf{x} \right) [\mathcal{Y}_{L_1}(\tilde{u}_1 \mathbf{x}) \mathcal{Y}_{L_2}(\tilde{u}_2 \mathbf{x})]_{LM}$$

Description of four-body continuum

- Excited states of ${}^4\text{He}$ are all in continuum
 - Gaussian is a square integrable function
 - Boundary condition is not satisfied
 - Bound state approximation is not suitable for quantitative description
 - Decay widths: 0.5-15 MeV
 - Many decay channels
 - Two- ($3N+N$) and three- ($d+p+n$), etc. thresholds open

Complex scaling method (CSM)

Rotated in complex plane
→ outgoing-wave B.C.

$$\mathbf{r}_j \rightarrow \mathbf{r}_j e^{i\theta}, \quad \mathbf{p}_j \rightarrow \mathbf{p}_j e^{-i\theta}$$

Unifying bound and continuum states

Total photoabsorption cross section

Photoabsorption cross section

$$\sigma_{\gamma}(E_{\gamma}) = \frac{4\pi^2}{\hbar c} E_{\gamma} \frac{1}{3} S(E_{\gamma})$$

Interaction: AV8'+3NF, G3RS+3NF
3NF: E. Hiyama et al., PRC70, 031001(2004).

Final state interactions of two and three-body decay channels are explicitly taken into account.

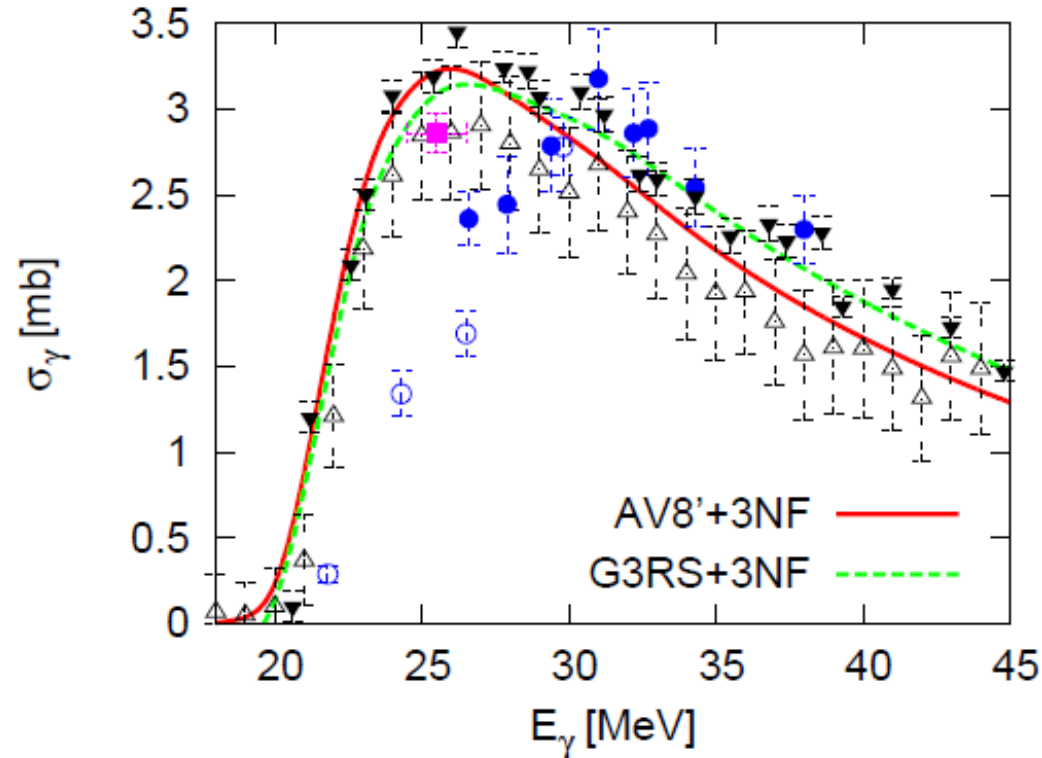
Non energy-weighted sum rule
99.6 %

Comparison with the measurements

→ **good agreement above 30 MeV**

Disagree at the low energy with the data by Shima et al.

WH and Y. Suzuki, Phys. Rev. C 87, 034001 (2013)

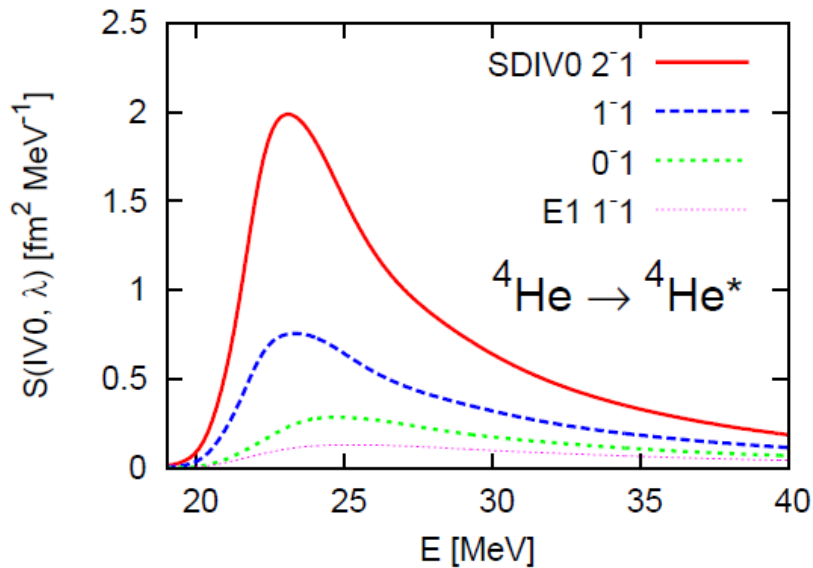


- △ S. Nakayama et al., (2007)
- D.P. Wells et al. (1992)
- ▼ Y. M. Arkatov et al.,(1974).
- T. Shima et al., (2005).
- T. Shima et al., new measurement

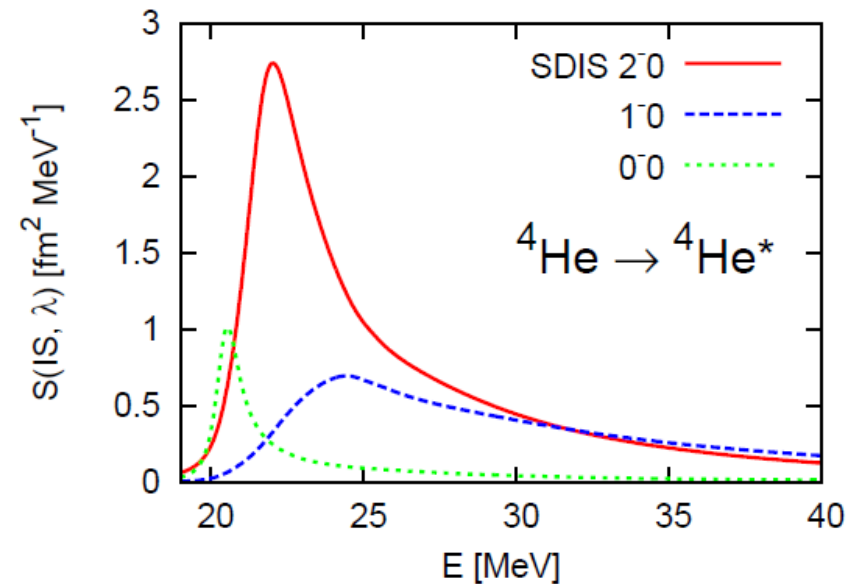
Spin-dipole strength function

Spin-dipole operator
$$\mathcal{O}_{\lambda\mu}^P = \sum_{i=1}^4 [(r_i - x_4) \times \sigma_i]_{\lambda\mu} \tau_{3i}^P$$

Isovector (IV0)



Isoscalar (IS)



- Relatively small decay widths of 0^-0 , 2^-0 (0.84, 2.01 MeV)
- Resonant structure \leftrightarrow Strength function

Peaks and decay widths are consistent with the observations (7 levels for ${}^4\text{He}$, 4 levels for ${}^4\text{H}$ and ${}^4\text{Li}$)

Non energy weighted spin-dipole sum rule

$$m_0(p, \lambda) = \int_0^\infty S(p, \lambda, E) dE = \sum_{\kappa=0}^2 U_{\lambda\kappa} \langle Q_{(\kappa)0}^p \rangle$$

$$Q_{(\kappa)0}^p = \sum_{i,j=1}^A ([\rho_i \times \rho_j]_{\kappa} \cdot [\sigma_i \times \sigma_j]_{\kappa}) T_i^{p\dagger} T_j^p, \quad (U_{\lambda\kappa}) = \begin{pmatrix} \frac{1}{3} & -\frac{1}{3} & \frac{1}{3} \\ 1 & -\frac{1}{2} & -\frac{1}{2} \\ \frac{5}{3} & \frac{5}{6} & \frac{1}{6} \end{pmatrix} \begin{matrix} \lambda=0 \\ =1 \\ =2 \end{matrix}$$

$$\rho_i = \mathbf{r}_i - \mathbf{x}_N$$

IV0	
$m_0(p, \lambda)$	SR
4.59	4.59
9.35	9.36
18.36	18.38

(0s)⁴ : Ratio of SD SR 1 : 3 : 5
 Cal (IV0): 1.0 : 2.0 : 4.0 ($\kappa=2$ contribution)

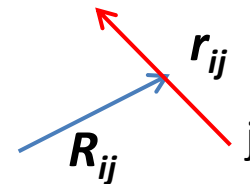
cf. $m_0(p, 2) = \frac{5}{3} m_0(p, 0) + \frac{1}{2} (5 \langle Q_{(1)0}^p \rangle - 3 \langle Q_{(2)0}^p \rangle)$
 $\approx \frac{5}{3} m_0(p, 0) - \frac{3}{2} \langle Q_{(2)0}^p \rangle.$

Strong evidence of the tensor correlation

Sum rule $\sim 100\%$

Two nucleon relative coordinates

$$\mathbf{r}_{ij} = \mathbf{r}_i - \mathbf{r}_j, \quad \mathbf{R}_{ij} = \frac{\mathbf{r}_i + \mathbf{r}_j}{2} - \mathbf{x}_N$$



$$[\rho_i \times \rho_j]_{\kappa\mu} = -\frac{1}{4} [\mathbf{r}_{ij} \times \mathbf{r}_{ij}]_{\kappa\mu} + [\mathbf{R}_{ij} \times \mathbf{R}_{ij}]_{\kappa\mu} + \frac{1}{2} (1 - (-1)^\kappa) [\mathbf{r}_{ij} \times \mathbf{R}_{ij}]_{\kappa\mu},$$

$\kappa=2$: tensor operator $([\mathbf{r}_{ij} \times \mathbf{r}_{ij}]_2 \cdot [\sigma_i \times \sigma_j]_2)$

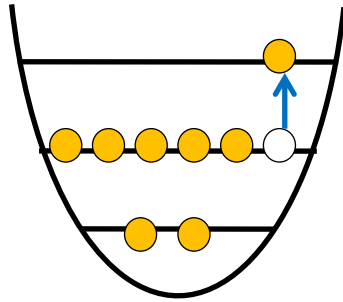
From measurement

$$\langle Q_{(\kappa)0}^p \rangle = \sum_{\lambda=0}^2 U_{\kappa\lambda}^{-1} m_0(p, \lambda)$$

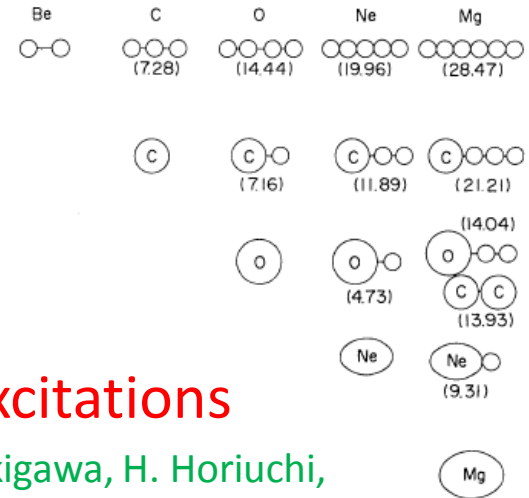
Summary (1)

- Four-body calculations for electroweak strength functions of ${}^4\text{He}$
 - Explicitly correlated basis with complex scaling method
 - No specific model assumption
 - Bare realistic nuclear force
 - Unified description of bound and unbound states
 - Dipole type (E1, SD) electroweak strength functions
 - Non-energy-weighted sum rules are fully satisfied
 - Consistent agreement with experimental values
 - Photoabsorption reaction [WH, Y. Suzuki, K. Arai, Phys. Rev. C 85, 054002\(2012\)](#)
 - SD strength function and spectrum [WH, Y. Suzuki, Phys. Rev. C 87, 034001 \(2013\)](#)
- **Tensor correlation in the ground state**

Motivation: Coexistence of two aspects



Single particle excitations
(Shell model like)

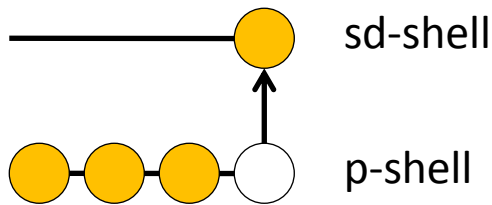


Cluster excitations

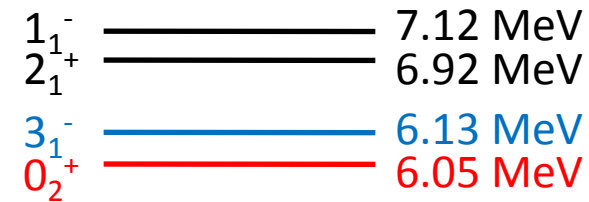
K. Ikeda, N. Takigawa, H. Horiuchi,
PTPS52 (1972)

Mysterious 0^+ state in ^{16}O

- Conventional 1p-1h **negative parity?**
- First excited state $\rightarrow 0^+$



Difficult to reproduce them even in modern
large scale calculations



Low energy spectrum of ^{16}O

0_{1}^{+} ————— G.S.

Low-lying spectra of $^{16}\text{O}:^{12}\text{C}+\alpha$ picture

$^{12}\text{C}+\alpha$ model: coupled channel OCM Y. Suzuki, PTP55 (1976) 1751.

→ Levels are reproduced very well including O_2^+

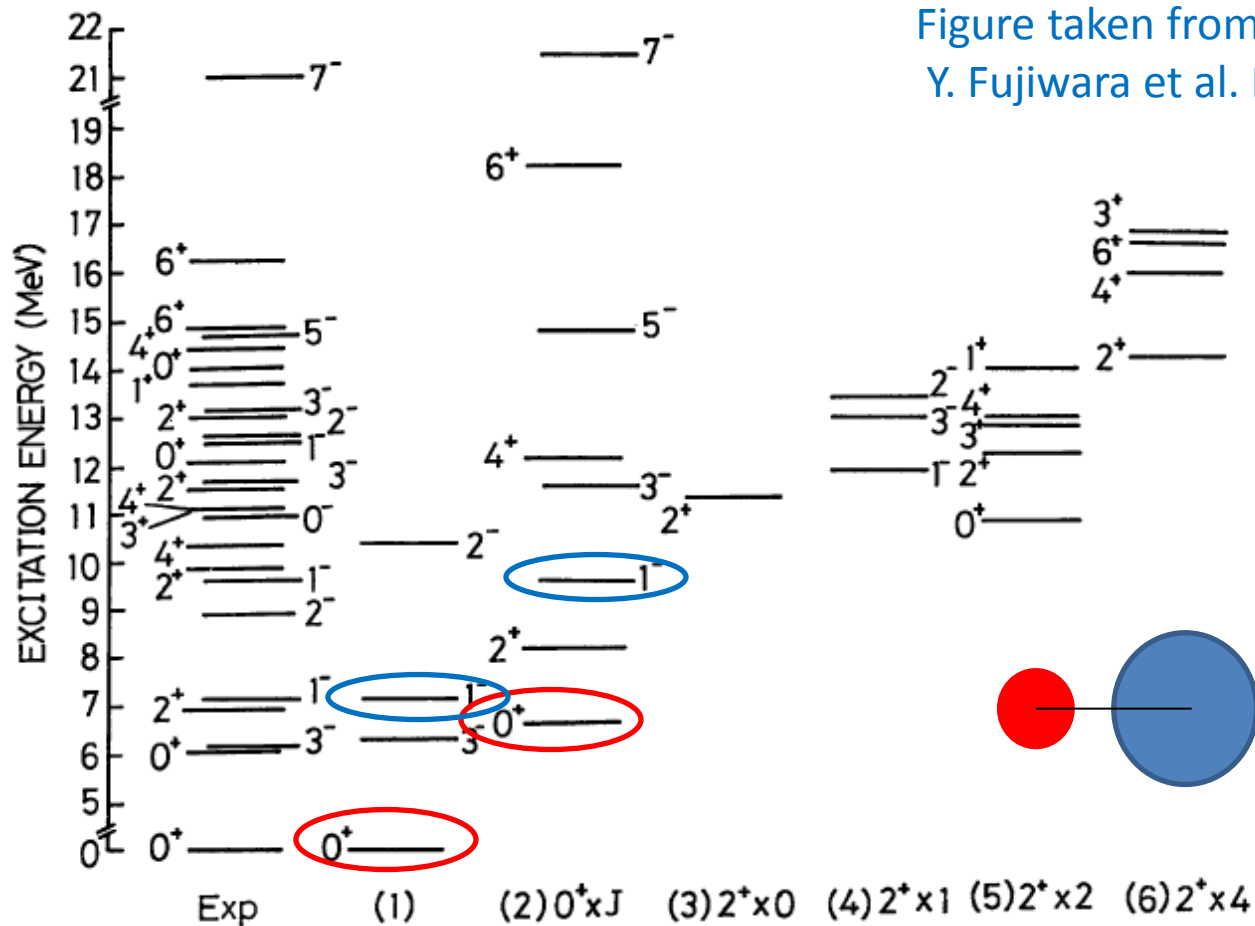


Figure taken from
Y. Fujiwara et al. PTPS 68(1980)

Towards resolving the ^{16}O problem

$^{12}\text{C}+p+p+n+n$ five body model

Hamiltonian
$$H = \sum_{i=1}^N T_i - T_{\text{cm}} + \sum_{i=1}^{N-1} U_i + \sum_{i<j} v_{ij} + \sum_{i=1}^{N-1} \Gamma_i$$

N-N potential
$$v_{ij} = v_c + v_{\text{Coul.}} P_{i\pi} P_{j\pi}$$
 Minnesota force

N- ^{12}C potential
$$U_i = U_c + U_{\text{Coul.}} P_{i\pi} + U_b \ell_i \cdot s_i$$

Woods-Saxon form, reproduce the levels 1/2-, 1/2+, 5/2+ of ^{13}C

Pauli constraint

$$\Gamma_i = \lambda \sum_{jm} |f_{jm}(i)\rangle \langle f_{jm}(i)|, \quad \lambda \rightarrow \infty$$

HO 0s1/2 and 0p3/2
Kukulin and Pomerantsev (1978)

- Four particles can occupy any states except for the occupied orbits in the core
- No assumption of alpha cluster nor shell model conf.

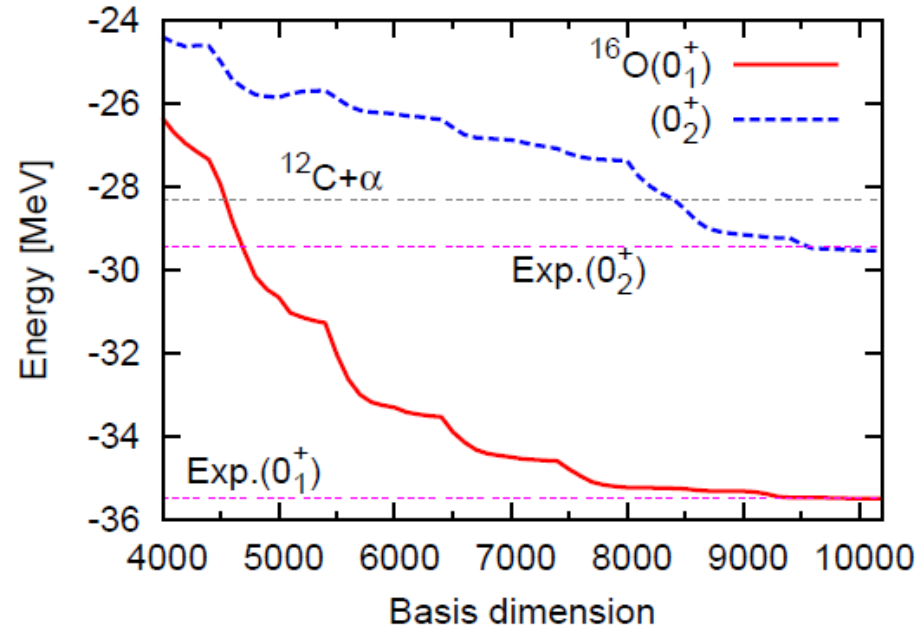
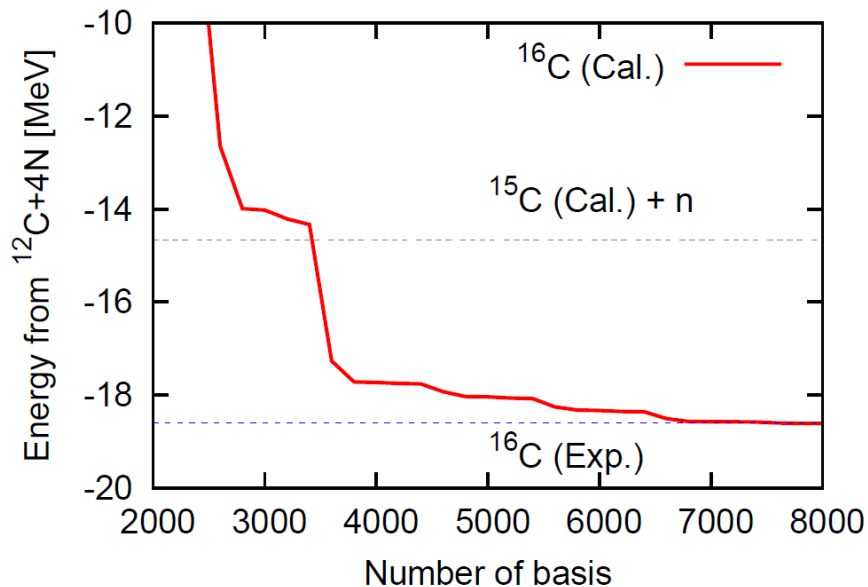
Basis function

$$\Phi = \mathcal{A} \left\{ \left[\left[\psi_L^{(\text{space})} \psi_S^{(\text{spin})} \right]_{\mathcal{J}} \phi_I(^{12}\text{C}) \right]_{JM} \psi_{TM_T}^{(\text{isospin})} \right\}$$

No core excitation (I=0)

$$\psi_{SM_S}^{(\text{spin})} = |[\cdots [[[\frac{1}{2} \frac{1}{2}]_{S_{12}} \frac{1}{2}]_{S_{123}}] \cdots]_{SM_S} \rangle$$

Energy curves of ^{16}C and ^{16}O



- ^{16}C : converged (7000 basis states)
 - Good agreement with experiment
- ^{16}O : converged at around 10000 basis states

Discretizing on grids \rightarrow Variational parameters $D \sim N^{10+4+4} \times 3 \times 2$

$$N=4, D \sim 4 \times 10^{11}$$

- Energies of the ground and first excited states are reproduced very well

Expectation values of potential terms

	$^{16}\text{C} (0_1^+)$	$^{16}\text{O} (0_1^+)$	$^{16}\text{O} (0_2^+)$	α
E	-18.47	-35.47	-29.52	-28.30
$E_{\text{exp.}}$	-18.59	-35.46	-29.41	-28.30
$\langle T_{cv} \rangle$	17.81	11.55	7.16	-
$\langle V_{cv} \rangle$	-82.49	-79.55	-29.22	-
$\langle T_v \rangle$	53.53	72.93	67.46	56.92
$\langle V_v \rangle$	-7.32	-40.41	-74.92	-85.22

N-N potential

$$v_{ij} = v_c + v_{\text{Coul.}} P_{i\pi} P_{j\pi}$$

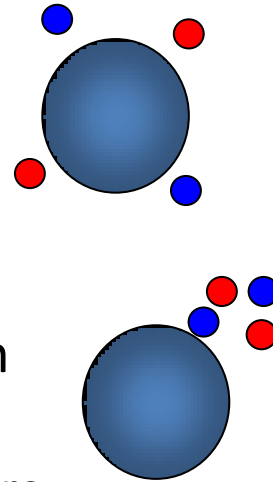
Core-N potential

$$U_i = U_c + U_{\text{Coul.}} P_{i\pi} + U_b \ell_i \cdot s_i$$

- ^{16}C : shell model like state
- $^{16}\text{O} (0_1^+)$: coexistence of shell and cluster states
 - α cluster is strongly distorted $\rightarrow \langle V_v \rangle \sim 1/2$ of free α
- $^{16}\text{O} (0_2^+)$: well developed $\alpha + ^{12}\text{C}$ cluster
 - $\langle V_{cv} \rangle ^{16}\text{O} (0_2^+) \sim \langle V_v \rangle ^4\text{He}$
 - $\sim \langle V_{cv} \rangle ^{16}\text{O}(0_1^+)$
 - Delicate balance of Core-N and N-N interactions

Density distributions of ^{12}C -4N and 4N

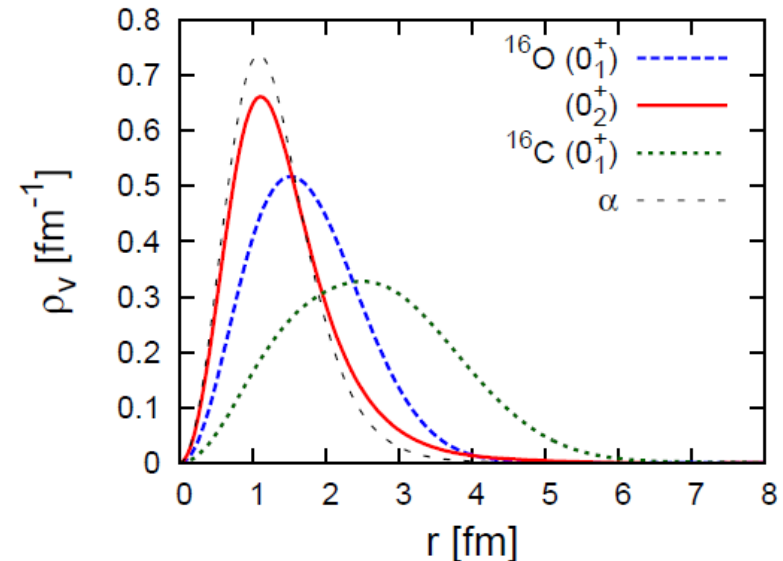
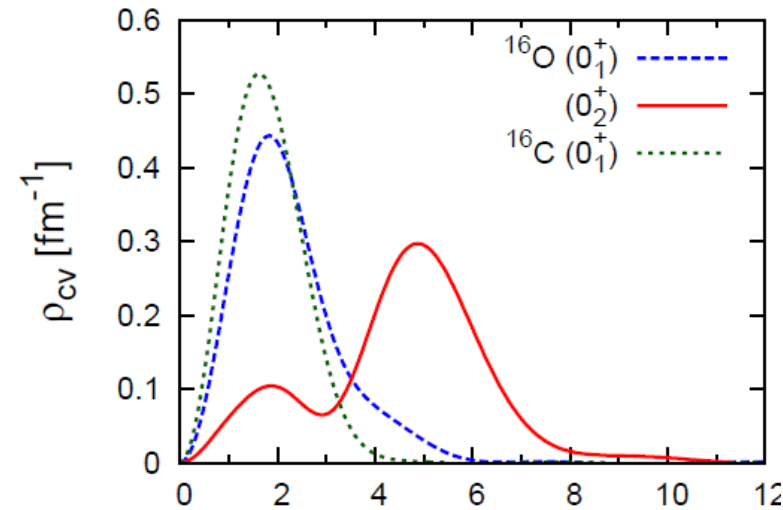
- ^{16}C : **weak NN interaction**
 - Shell model like states
 - Delocalized 4N density
- ^{16}O : **strong NN interaction**
 - 0_1^+ : Shell and 4N correlation
 - 0_2^+ : Strong 4N correlation
 - Second peak far from the core
 - Peak at $^{12}\text{C}+\alpha$ touching distance ~ 4.9 fm
 - Similar 4N density distribution to that of ^4He



Rms distance between ^{12}C and 4N and radius of 4N system

	$^{16}\text{C} (0_1^+)$	$^{16}\text{O} (0_1^+)$	$^{16}\text{O} (0_2^+)$	α
$\sqrt{\langle r_{cv}^2 \rangle}$	1.94	2.54	4.86	–
$\sqrt{\langle r_v^2 \rangle}$	2.88	1.90	1.62	1.43

Density distributions between ^{12}C and 4N system

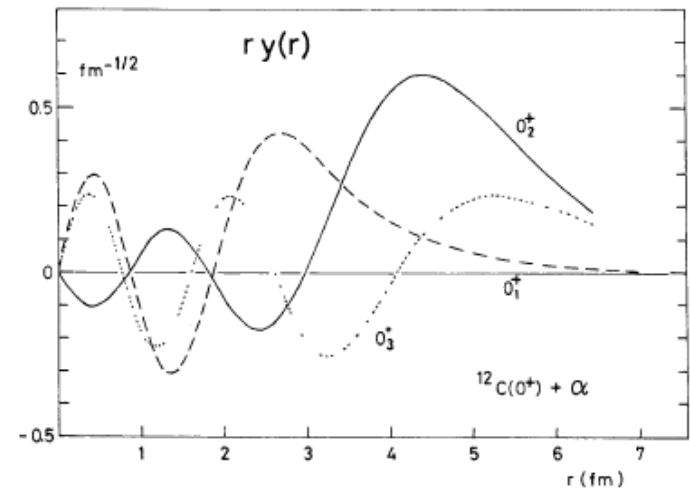
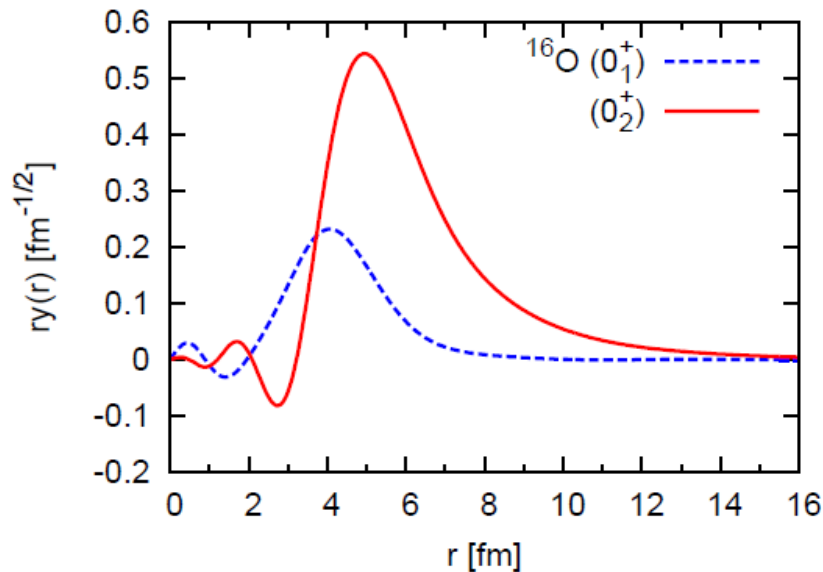


Density distributions of 4N system from their CM

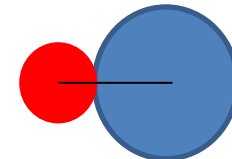
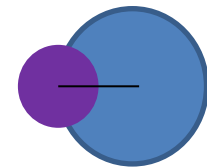
$^{12}\text{C}+\alpha$ spectroscopic amplitude

$$y(r) = \langle ^{12}\text{C}+\alpha | ^{16}\text{O} \rangle$$

$^{12}\text{C}+\alpha$ OCM: Y. Suzuki, PTP56 (1976) 111



- 0_1^+ : Alpha cluster is distorted by the core nucleus
- 0_2^+ : Large amplitude beyond the touching distance of $^{12}\text{C}+\alpha$ (~ 4.9 fm)
 - Very long tail implying the cluster structure
- Spectroscopic factor
 - 0_1^+ : 0.105 (OCM: 0.300)
 - 0_2^+ : **0.680** (OCM: 0.679)



Distribution of harmonic oscillator quanta

Components of the harmonic oscillator quanta Q in the $A=16$ wave functions

Oscillator frequency is set to be the same as the occupied (forbidden) states in ^{12}C

^{16}C : $Q \geq 6$

Shell model state: four neutrons in p and sd shells

Average: $M_Q=7.0$

Standard deviation: $\sigma_Q=2.1$

^{16}O : $Q \geq 4$

0_1^+ : Shell model or intermediate state between shell and cluster.

$M_Q=5.5$

$\sigma_Q=2.9$

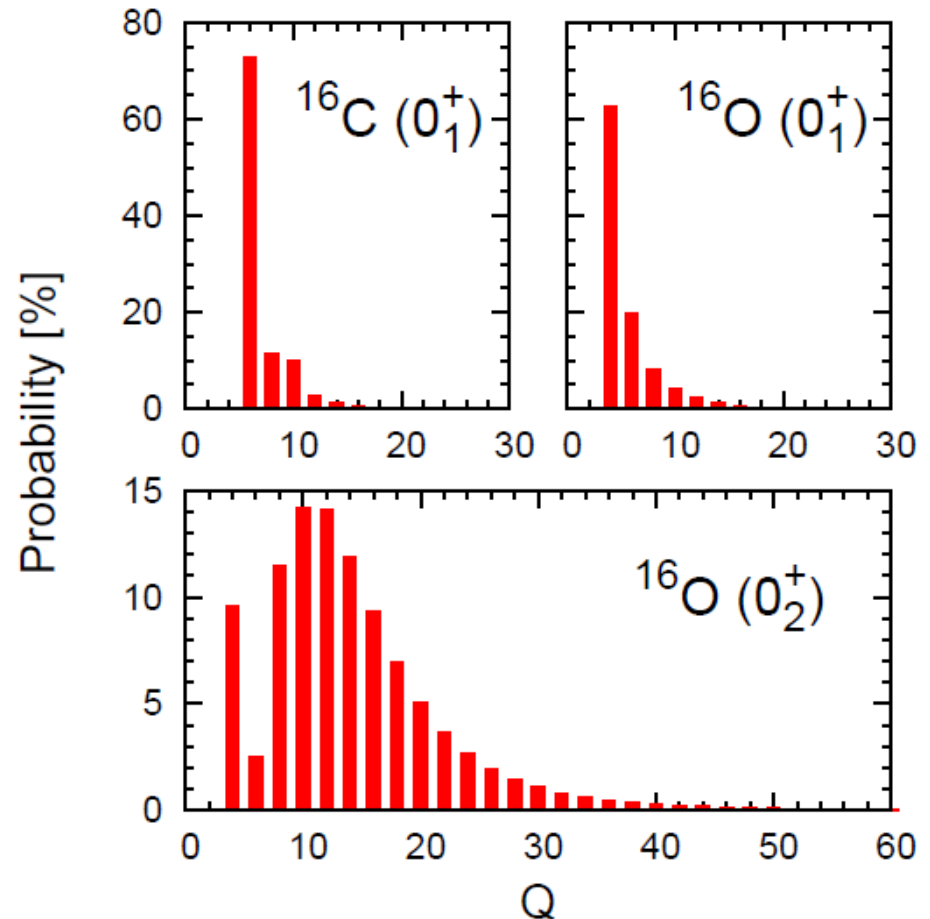
0_2^+ : **Cluster state**

Peak : 10-12 hw

2-4 hw more than 4p-4h

$M_Q=14.3$

$\sigma_Q=8.3$



Difficult to describe it with a standard shell model truncation

Monte Carlo, symmetry adaption, importance truncation, etc.

Summary (2)

- Five-body models for A=16 systems: ^{16}O and ^{16}C
 - Correlated Gaussian with global vectors: **Both the shell model like and cluster states can be described in a single scheme**
- ^{16}O : a $^{12}\text{C}+n+n+p+p$ five body model
 - No assumption of alpha cluster
 - Energies of 0_1^+ , 0_2^+ states in ^{16}O are reproduced well
 - **Shell model (delocalized) and cluster structure in A=16 systems**
 - 0_1^+ , 0_2^+ : delicate balance of N- ^{12}C and N-N potentials
 - **Two different aspects coexist in the spectrum of ^{16}O**
 - **Well developed $^{12}\text{C}+\alpha$ cluster in the first excited states of ^{16}O**
 - Density distributions
 - Spectroscopic amplitude (long tail, large α -width)
 - Large major shell mixing in the cluster state