



# Transverse (Spin) Structure of Hadrons

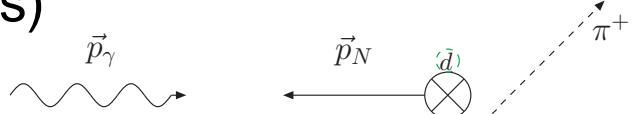
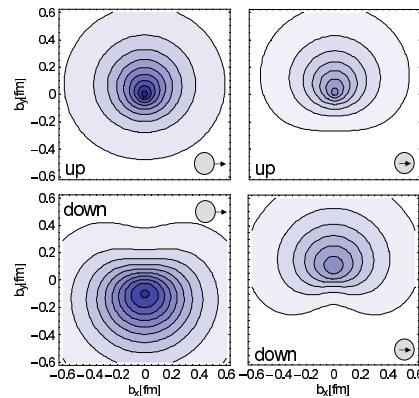
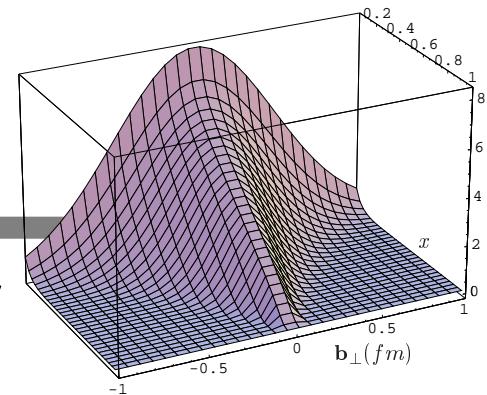
Matthias Burkardt

[burkardt@nmsu.edu](mailto:burkardt@nmsu.edu)

New Mexico State University

# Outline

- Probabilistic interpretation of GPDs as Fourier trafo of impact parameter dependent PDFs
  - $H(x, 0, -\Delta_{\perp}^2) \rightarrow q(x, \mathbf{b}_{\perp})$
  - $E(x, 0, -\Delta_{\perp}^2) \rightarrow \perp$  distortion of PDFs when the target is  $\perp$  polarized
  - $\bar{E}_T(x, 0, -\Delta_{\perp}^2) \rightarrow$  transversity distribution in unpol. target
- SSA in SIDID/DY (Sivers & Boer-Mulders)
- twist-3 quark-gluon correlations:  
 $\int dx x^2 \bar{g}_2(x)$  &  $\int dx x^2 \bar{e}(x)$
- Summary



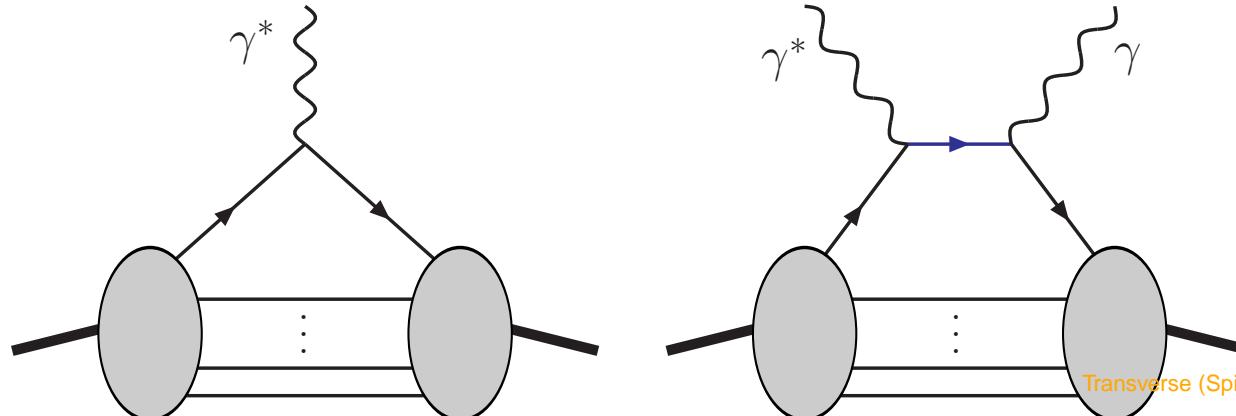
# Generalized Parton Distributions (GPDs)

- GPDs: **decomposition of form factors** at a given value of  $t$ , w.r.t. the average momentum fraction  $x = \frac{1}{2} (x_i + x_f)$  of the active quark

$$\int dx H_q(x, \xi, t) = F_1^q(t) \quad \int dx \tilde{H}_q(x, \xi, t) = G_A^q(t)$$

$$\int dx E_q(x, \xi, t) = F_2^q(t) \quad \int dx \tilde{E}_q(x, \xi, t) = G_P^q(t),$$

- $x_i$  and  $x_f$  are the momentum fractions of the quark before and after the momentum transfer;  $2\xi = x_f - x_i$
- GPDs can be probed in deeply virtual Compton scattering (DVCS) as well as deeply virtual meson production (DVMP)



# Impact parameter dependent PDFs

- define  $\perp$  localized state [D.Soper, PRD15, 1141 (1977)]

$$|p^+, \mathbf{R}_\perp = \mathbf{0}_\perp, \lambda\rangle \equiv \mathcal{N} \int d^2 \mathbf{p}_\perp |p^+, \mathbf{p}_\perp, \lambda\rangle$$

Note:  $\perp$  boosts in IMF form Galilean subgroup  $\Rightarrow$  this state has  
 $\mathbf{R}_\perp \equiv \frac{1}{P^+} \int dx^- d^2 \mathbf{x}_\perp \mathbf{x}_\perp T^{++}(x) = \sum_i x_i \mathbf{r}_{i,\perp} = \mathbf{0}_\perp$   
(cf.: working in CM frame in nonrel. physics)

- define impact parameter dependent PDF

$$q(x, \mathbf{b}_\perp) \equiv \int \frac{dx^-}{4\pi} \langle p^+, \mathbf{R}_\perp = \mathbf{0}_\perp | \bar{q}(-\frac{x^-}{2}, \mathbf{b}_\perp) \gamma^+ q(\frac{x^-}{2}, \mathbf{b}_\perp) | p^+, \mathbf{R}_\perp = \mathbf{0}_\perp \rangle e^{ixp^+ x^-}$$

$$\begin{aligned} q(x, \mathbf{b}_\perp) &= \int \frac{d^2 \Delta_\perp}{(2\pi)^2} e^{i \Delta_\perp \cdot \mathbf{b}_\perp} H(x, 0, -\Delta_\perp^2), \\ \Delta q(x, \mathbf{b}_\perp) &= \int \frac{d^2 \Delta_\perp}{(2\pi)^2} e^{i \Delta_\perp \cdot \mathbf{b}_\perp} \tilde{H}(x, 0, -\Delta_\perp^2), \end{aligned}$$

# Impact parameter dependent PDFs

- No relativistic corrections (Galilean subgroup!)
  - corrolary: interpretation of 2d-FT of  $F_1(Q^2)$  as charge density in transverse plane also free from relativistic corrections (Soper 1977; MB 2003)
- $q(x, \mathbf{b}_\perp)$  has probabilistic interpretation as number density
- $\xi = 0$  essential for probabilistic interpretation

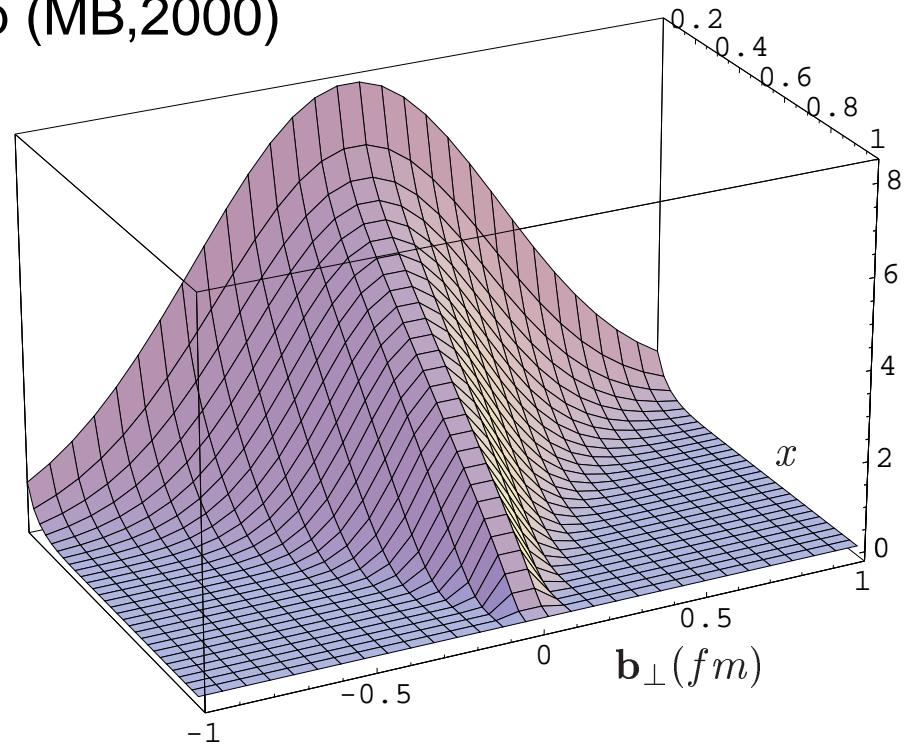
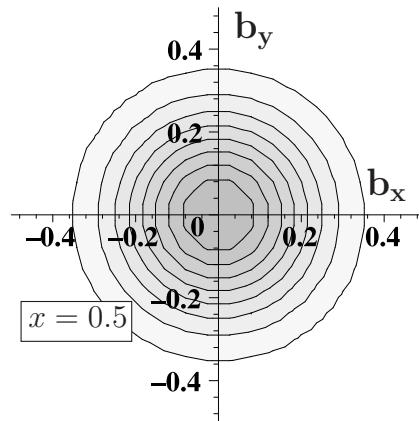
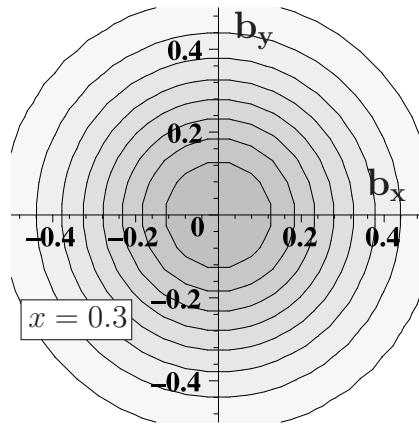
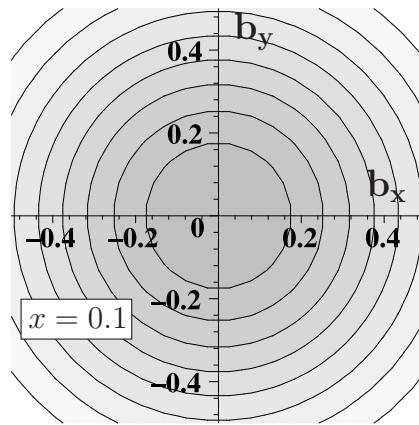
$$\langle p^{+'}, 0_\perp | b^\dagger(x, \mathbf{b}_\perp) b(x, \mathbf{b}_\perp) | p^+, 0_\perp \rangle \sim |b(x, \mathbf{b}_\perp)\rangle |p^+, 0_\perp|^2$$

works only for  $p^+ = p^{+'}$

- Reference point for IPDs is transverse center of (longitudinal) momentum  $\mathbf{R}_\perp \equiv \sum_i x_i \mathbf{r}_{i,\perp}$ 
  - for  $x \rightarrow 1$ , active quark ‘becomes’ COM, and  $q(x, \mathbf{b}_\perp)$  must become very narrow ( $\delta$ -function like)
  - $H(x, 0, -\Delta_\perp^2)$  must become  $\Delta_\perp$  indep. as  $x \rightarrow 1$  (MB, 2000)
  - consistent with lattice results for first few moments

# unpolarized p (MB,2000)

$q(x, \mathbf{b}_\perp)$  for unpol. p



$x$  = momentum fraction of the quark

$\vec{b}_\perp$  = position of the quark

# Transversely Deformed Distributions and $E(x, 0, -\Delta_\perp^2)$

M.B., Int.J.Mod.Phys.A18, 173 (2003)

- So far: only unpolarized (or long. pol.) nucleon! In general ( $\xi = 0$ ):

$$\int \frac{dx^-}{4\pi} e^{ip^+x^-x} \langle P+\Delta, \uparrow | \bar{q}(0) \gamma^+ q(x^-) | P, \uparrow \rangle = H(x, 0, -\Delta_\perp^2)$$

$$\int \frac{dx^-}{4\pi} e^{ip^+x^-x} \langle P+\Delta, \uparrow | \bar{q}(0) \gamma^+ q(x^-) | P, \downarrow \rangle = -\frac{\Delta_x - i\Delta_y}{2M} E(x, 0, -\Delta_\perp^2).$$

- Consider nucleon polarized in  $x$  direction (in IMF)

$$|X\rangle \equiv |p^+, \mathbf{R}_\perp = \mathbf{0}_\perp, \uparrow\rangle + |p^+, \mathbf{R}_\perp = \mathbf{0}_\perp, \downarrow\rangle.$$

- unpolarized quark distribution for this state:

$$q(x, \mathbf{b}_\perp) = \mathcal{H}(x, \mathbf{b}_\perp) - \frac{1}{2M} \frac{\partial}{\partial b_y} \int \frac{d^2 \Delta_\perp}{(2\pi)^2} E(x, 0, -\Delta_\perp^2) e^{-i\mathbf{b}_\perp \cdot \Delta_\perp}$$

- Physics:  $j^+ = j^0 + j^3$ , and left-right asymmetry from  $j^3$ !  
[X.Ji, PRL 91, 062001 (2003)]

# Transversely Deformed PDFs and $E(x, 0, -\Delta_\perp^2)$

- $q(x, \mathbf{b}_\perp)$  in  $\perp$  polarized nucleon is deformed compared to longitudinally polarized nucleons !

$$q(x, \mathbf{b}_\perp) = \mathcal{H}(x, \mathbf{b}_\perp) - \frac{1}{2M} \frac{\partial}{\partial b_y} \int \frac{d^2 \Delta_\perp}{(2\pi)^2} E(x, 0, -\Delta_\perp^2) e^{-i \mathbf{b}_\perp \cdot \Delta_\perp}$$

- mean  $\perp$  deformation of flavor  $q$  ( $\perp$  flavor dipole moment)

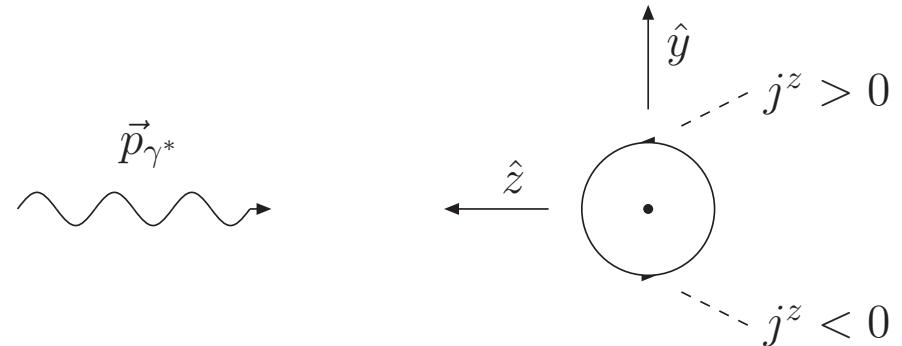
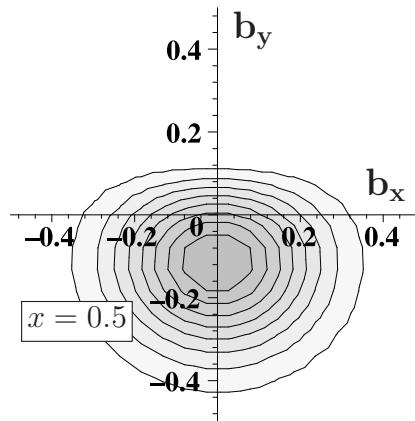
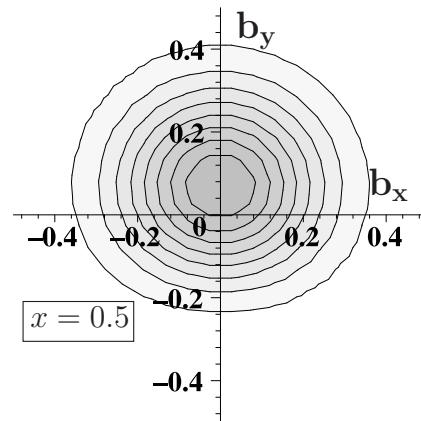
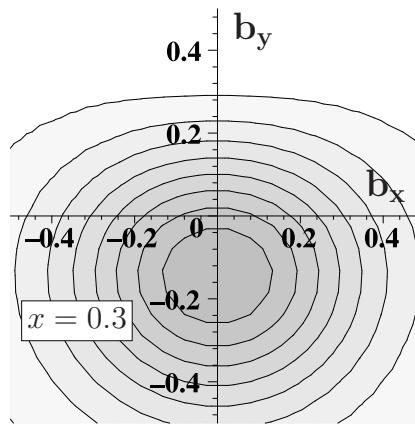
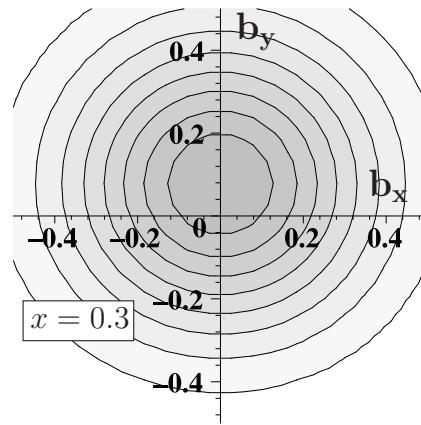
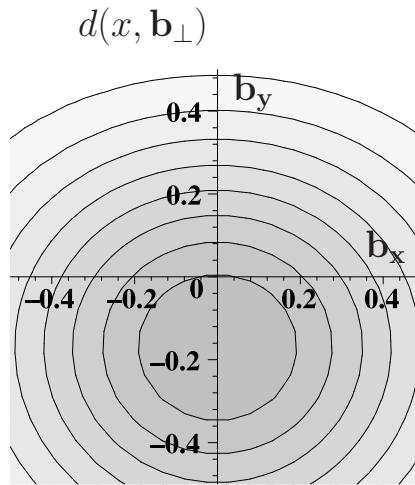
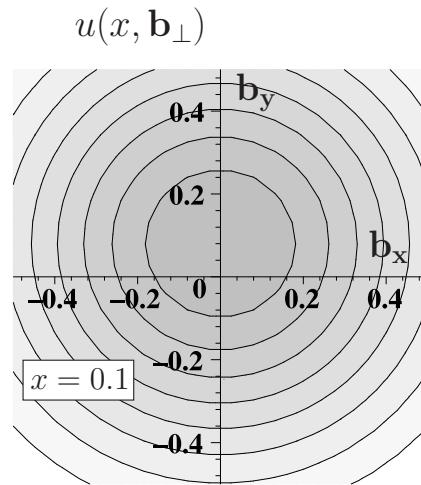
$$d_y^q \equiv \int dx \int d^2 \mathbf{b}_\perp q(x, \mathbf{b}_\perp) b_y = \frac{1}{2M} \int dx E_q(x, 0, 0) = \frac{\kappa_q^p}{2M}$$

- $\kappa^p = 1.913 = \frac{2}{3} \kappa_u^p - \frac{1}{3} \kappa_d^p + \dots$

→ neglecting strange (and heavier) quarks:

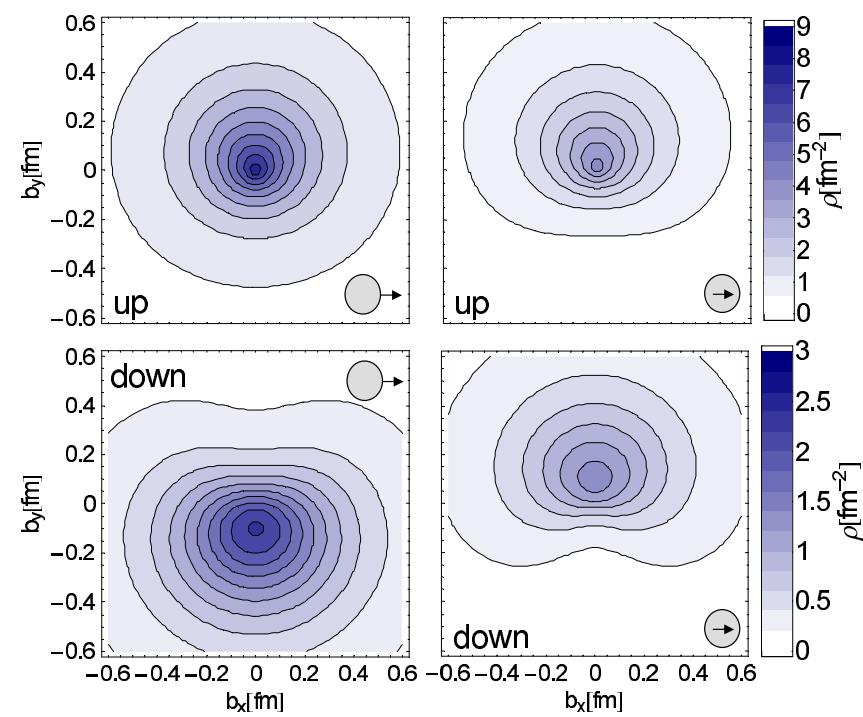
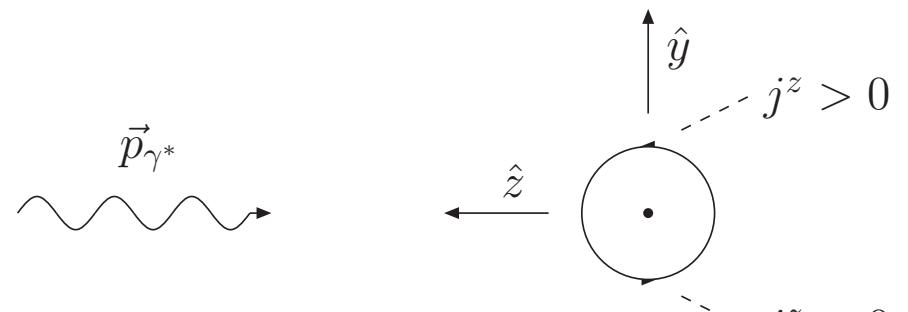
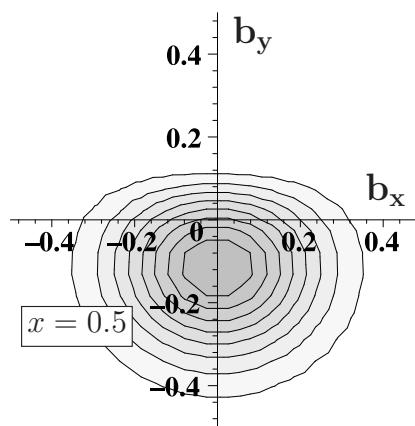
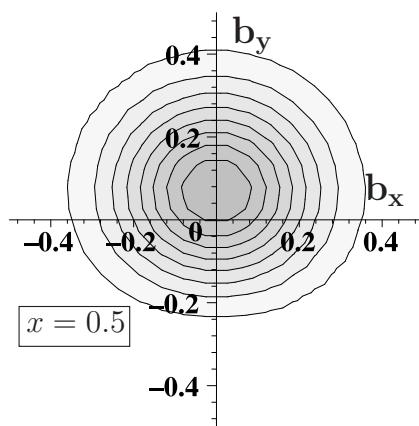
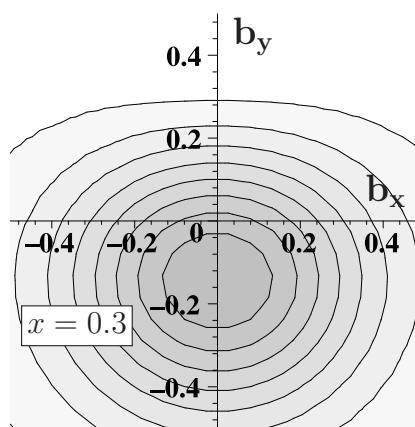
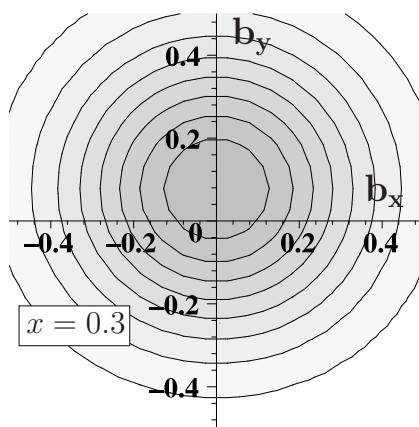
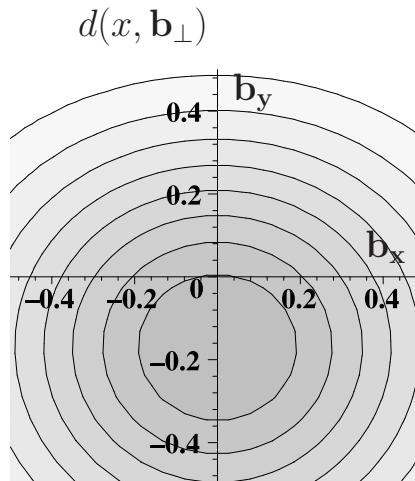
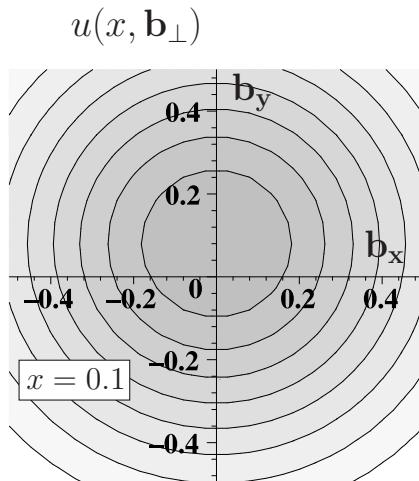
- $\kappa_u^p = 2\kappa_p + \kappa_n = 1.673 \Rightarrow$  shift in  $+\hat{y}$  direction
- $\kappa_d^p = 2\kappa_n + \kappa_p = -2.033 \Rightarrow$  shift in  $-\hat{y}$  direction
- for proton polarized in  $+\hat{x}$  direction
- $d_y^q = \mathcal{O}(\pm 0.2 \text{ fm})$

## $p$ polarized in $+\hat{x}$ direction (MB,2003)



- virtual photon ‘sees’ enhancement when quark currents point in direction opposite to photon momentum
- sideways shift of quark distributions
- **sign & magnitude** of shift (model-independently) predicted to be related to the proton/neutron **anomalous magnetic moment!**

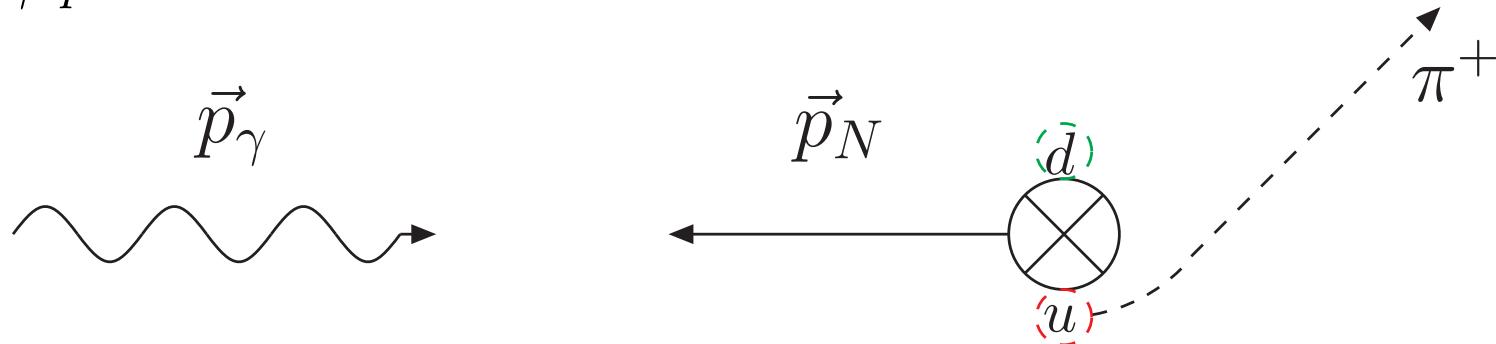
# $p$ polarized in $+\hat{x}$ direction



lattice results ( $\rightarrow$  QCDSF)

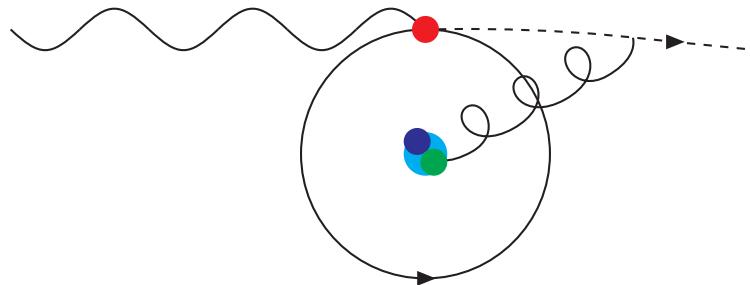
# GPD $\longleftrightarrow$ SSA (Sivers)

- example:  $\gamma^* p \rightarrow \pi X$

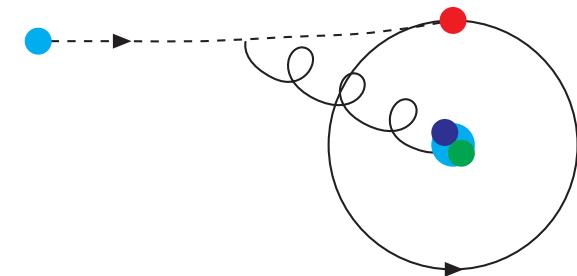


- $u, d$  distributions in  $\perp$  polarized proton have left-right asymmetry in  $\perp$  position space (T-even!); sign “determined” by  $\kappa_u$  &  $\kappa_d$
- attractive FSI deflects active quark towards the center of momentum
- FSI translates position space distortion (before the quark is knocked out) in  $+\hat{y}$ -direction into momentum asymmetry that favors  $-\hat{y}$  direction
- correlation between sign of  $\kappa_q^p$  and sign of SSA:  $f_{1T}^{\perp q} \sim -\kappa_q^p$
- $f_{1T}^{\perp q} \sim -\kappa_q^p$  confirmed by HERMES data (also consistent with COMPASS deuteron data  $f_{1T}^{\perp u} + f_{1T}^{\perp d} \approx 0$ )

$$f_{1T}^\perp(x, \mathbf{k}_\perp)_{DY} = -f_{1T}^\perp(x, \mathbf{k}_\perp)_{SIDIS}$$



a)



b)

- time reversal: FSI  $\leftrightarrow$  ISI

SIDIS: compare FSI for ‘red’  $q$  that is being knocked out with ISI for an anti-red  $\bar{q}$  that is about to annihilate that bound  $q$

$\hookrightarrow$  FSI for knocked out  $q$  is attractive

DY: nucleon is color singlet  $\rightarrow$  when to-be-annihilated  $q$  is ‘red’, the spectators must be anti-red

$\hookrightarrow$  ISI with spectators is repulsive

- test of this relation is a **test of TMD factorization**

# Quark-Gluon Correlations (Introduction)

- (longitudinally) polarized polarized DIS at leading twist  $\rightarrow$  ‘polarized quark distribution’  $g_1^q(x) = q^\uparrow(x) + \bar{q}^\uparrow(x) - q_\downarrow(x) - \bar{q}_\downarrow(x)$
- $\frac{1}{Q^2}$ -corrections to X-section involve ‘higher-twist’ distribution functions, such as  $g_2(x)$

$$\sigma_{LL} \propto g_1 - \frac{2Mx}{\nu} g_2$$

- $g_2(x)$  involves quark-gluon correlations and does not have a parton interpretation as difference between number densities
- for  $\perp$  polarized target,  $g_1$  and  $g_2$  contribute equally to  $\sigma_{LT}$

$$\sigma_{LT} \propto g_T \equiv g_1 + g_2$$

- ‘clean’ separation between higher order corrections to leading twist ( $g_1$ ) and higher twist effects ( $g_2$ )
- what can one learn from  $g_2$ ?

# Quark-Gluon Correlations (QCD analysis)

- $g_2(x) = g_2^{WW}(x) + \bar{g}_2(x)$ , with  $g_2^{WW}(x) \equiv -g_1(x) + \int_x^1 \frac{dy}{y} g_1(y)$
- $\bar{g}_2(x)$  involves quark-gluon correlations, e.g.

$$\int dx x^2 \bar{g}_2(x) = \frac{1}{3} d_2 = \frac{1}{6 M P^+{}^2 S^x} \langle P, S | \bar{q}(0) g G^{+y}(0) \gamma^+ q(0) | P, S \rangle$$

- $\sqrt{2} G^{+y} \equiv G^{0y} + G^{zy} = -E^y + B^x$
- sometimes called **color-electric and magnetic polarizabilities**  
 $2M^2 \vec{S} \chi_E = \langle P, S | \vec{j}_a \times \vec{E}_a | P, S \rangle$  &  $2M^2 \vec{S} \chi_B = \langle P, S | j_a^0 \vec{B}_a | P, S \rangle$   
with  $d_2 = \frac{1}{4} (\chi_E + 2\chi_M)$  — but **these names are misleading!**

# Quark-Gluon Correlations (Interpretation)

- $\bar{g}_2(x)$  involves quark-gluon correlations, e.g.

$$\int dx x^2 \bar{g}_2(x) = \frac{1}{3} d_2 = \frac{1}{6 M P^{+2} S^x} \langle P, S | \bar{q}(0) g G^{+y}(0) \gamma^+ q(0) | P, S \rangle$$

- QED:  $\bar{q}(0) e F^{+y}(0) \gamma^+ q(0)$  correlator between quark density  $\bar{q}\gamma^+ q$  and ( $\hat{y}$ -component of the) Lorentz-force

$$F^y = e \left[ \vec{E} + \vec{v} \times \vec{B} \right]^y = e (E^y - B^x) = -e (F^{0y} + F^{zy}) = -e\sqrt{2} F^{+y}.$$

for charged particle moving with  $\vec{v} = (0, 0, -1)$  in the  $-\hat{z}$  direction

- matrix element of  $\bar{q}(0) e F^{+y}(0) \gamma^+ q(0)$  yields  $\gamma^+$  density (density relevant for DIS in Bj limit!) weighted with the Lorentz force that a charged particle with  $\vec{v} = (0, 0, -1)$  would experience at that point
- $d_2$  a measure for the **color Lorentz force** acting on the struck quark in SIDIS in the instant **after being hit by the virtual photon**

$$\langle F^y(0) \rangle = -2M^2 d_2 \quad (\text{rest frame; } S^x = 1)$$

# Quark-Gluon Correlations (Interpretation)

- $x^2$ -moment of twist-4 polarized PDF  $g_3(x)$

$$\int dx x^2 g_3(x) \rightsquigarrow \left\langle P, S \left| \bar{q}(0) g \tilde{G}^{\mu\nu}(0) \gamma_\nu q(0) \right| P, S \right\rangle \sim f_2$$

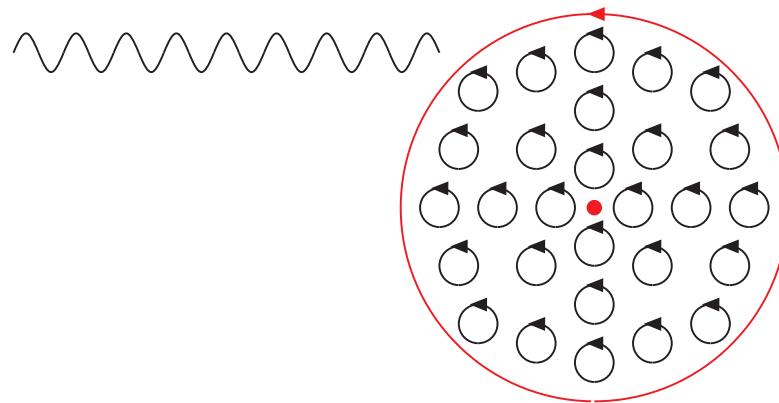
- different linear combination  $f_2 = \chi_E - \chi_B$  of  $\chi_E$  and  $\chi_M$
- combine with  $d_2 \Rightarrow$  disentangle electric and magnetic force
- What should one expect (sign/magnitude)?

- $\kappa_q^p \rightarrow$  signs of deformation ( $u/d$  quarks in  $\pm \hat{y}$  direction for proton polarized in  $+\hat{x}$  direction  $\rightarrow$  expect force in  $\mp \hat{y}$ )
- $\rightarrow d_2$  positive/negative for  $u/d$  quarks in proton
- large  $N_C$ :  $d_2^{u/p} = -d_2^{d/p}$  (consistent with  $f_{1T}^{\perp u} + f_{1T}^{\perp d} \approx 0$ )
- $F^y = -2M^2 d_2 = -10 \frac{\text{GeV}}{\text{fm}} d_2 \Rightarrow$  expect  $|d_2| \ll 1$

- lattice (Göckeler et al.):  $d_2^u \approx 0.010$  and  $d_2^d \approx -0.0056$
- $\langle F_u^y(0) \rangle \approx -100 \frac{\text{MeV}}{\text{fm}}$        $\langle F_d^y(0) \rangle \approx 56 \frac{\text{MeV}}{\text{fm}}$
- $x^2$ -moment of chirally odd twist-3 PDF  $e(x) \rightarrow$  **transverse force on transversely polarized quark in unpolarized target** ( $\leftrightarrow$  Boer-Mulders  $h_1^\perp$ )

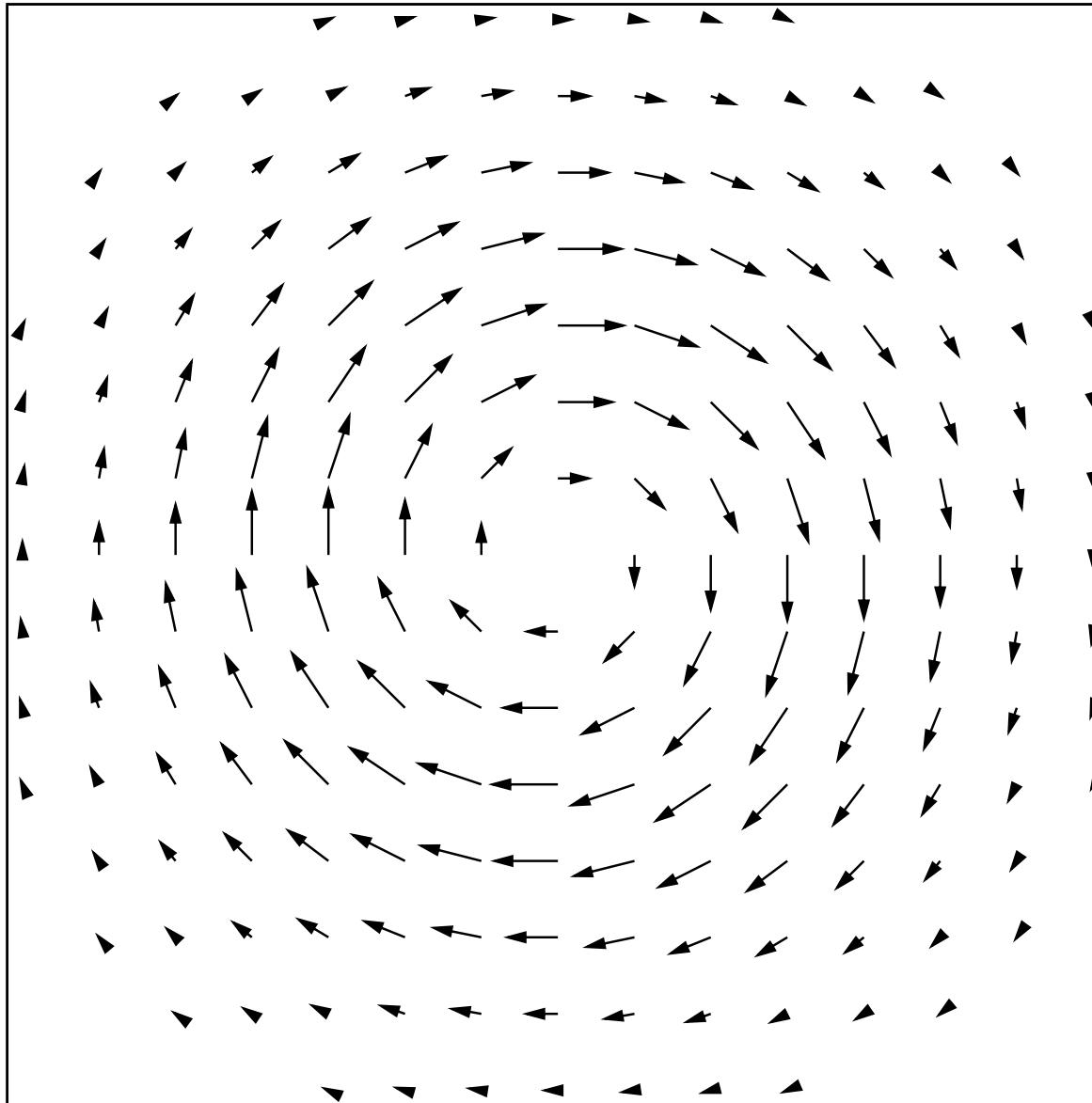
# Transversity Distribution in Unpolarized Target (sign)

- Consider quark in ground state hadron polarized out of the plane
- expect counterclockwise **net current**  $\vec{j}$  associated with the magnetization density in this state



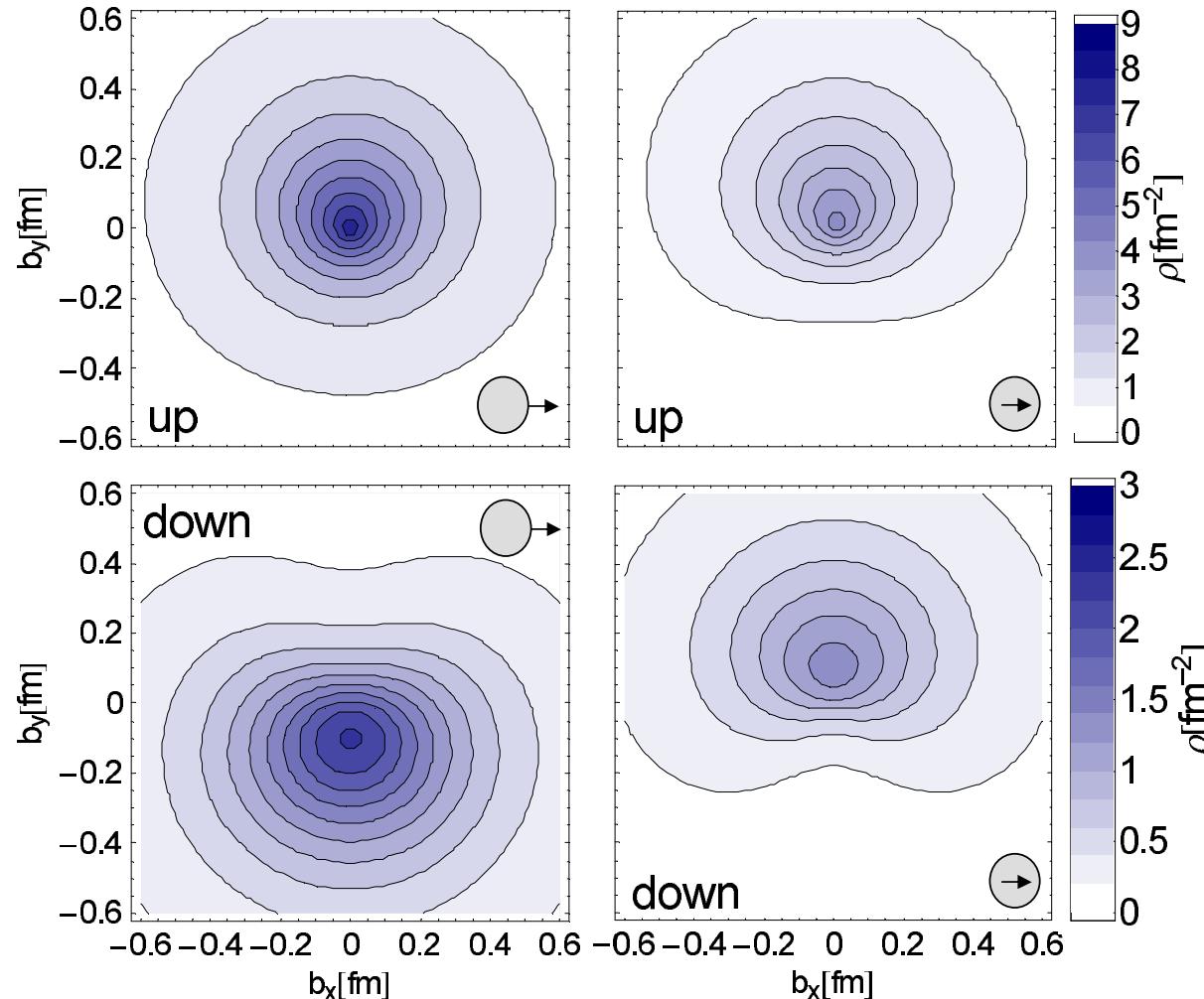
- virtual photon ‘sees’ enhancement of quarks (polarized out of plane) at the top, i.e.
- virtual photon ‘sees’ enhancement of quarks with polarization up (down) on the left (right) side of the hadron

# Transversity Distribution in Unpolarized Target



# IPDs on the lattice (QCDSF)

- lowest moment of distribution  $q(x, \mathbf{b}_\perp)$  for unpol. quarks in  $\perp$  pol. proton (left) and of  $\perp$  pol. quarks in unpol. proton (right):



# Boer-Mulders Function

- SIDIS: attractive FSI expected to convert position space asymmetry into momentum space asymmetry
  - e.g. quarks at negative  $b_x$  with spin in  $+\hat{y}$  get deflected (due to FSI) into  $+\hat{x}$  direction
  - (qualitative) connection between Boer-Mulders function  $h_1^\perp(x, \mathbf{k}_\perp)$  and the chirally odd GPD  $\bar{E}_T$  that is similar to (qualitative) connection between Sivers function  $f_{1T}^\perp(x, \mathbf{k}_\perp)$  and the GPD  $E$ .
- **Boer-Mulders**: distribution of  $\perp$  pol. quarks in unpol. proton

$$f_{q^\uparrow/p}(x, \mathbf{k}_\perp) = \frac{1}{2} \left[ f_1^q(x, \mathbf{k}_\perp^2) - h_1^{\perp q}(x, \mathbf{k}_\perp^2) \frac{(\hat{\mathbf{P}} \times \mathbf{k}_\perp) \cdot S_q}{M} \right]$$

- $h_1^{\perp q}(x, \mathbf{k}_\perp^2)$  can be probed in Drell-Yan (RHIC, J-PARC, GSI) and tagged SIDIS (JLab, eRHIC), using Collins-fragmentation

# probing BM function in tagged SIDIS

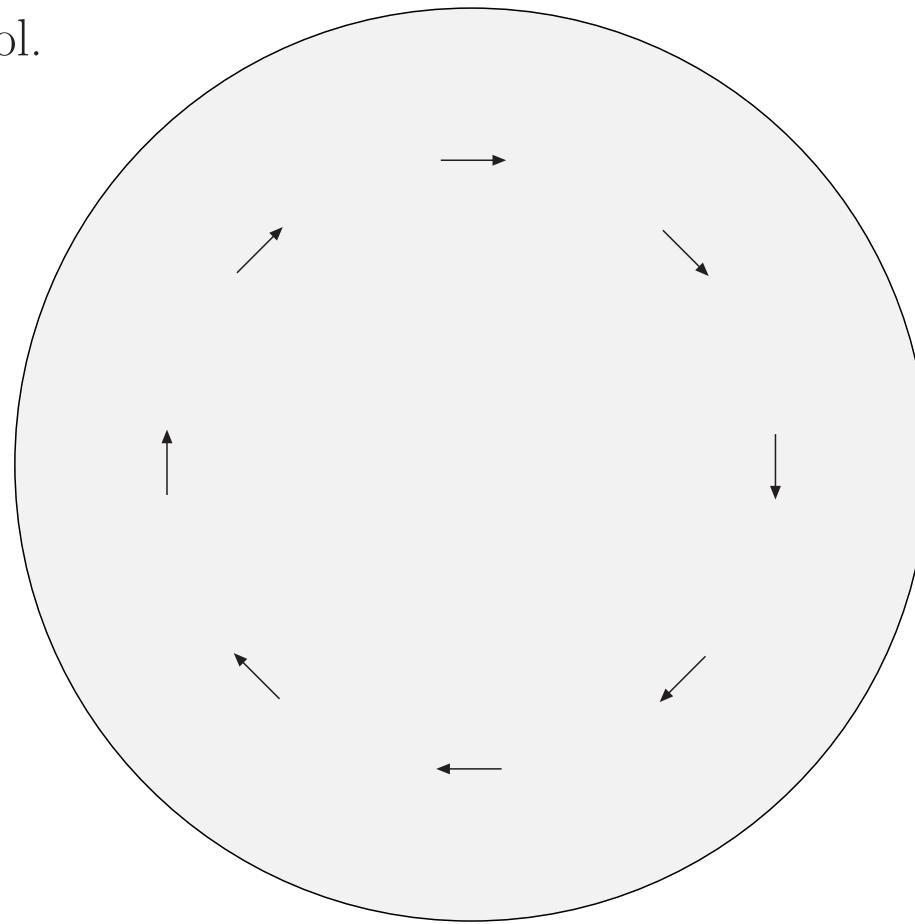
how to measure the transversity distribution of quarks without measuring the transversity of a quark?

- consider semi-inclusive pion production off unpolarized target
- spin-orbit correlations in target wave function provide correlation between (primordial) quark transversity and impact parameter
  - ↪ (attractive) FSI provides correlation between quark spin and  $\perp$  quark momentum  $\Rightarrow$  BM function
- Collins effect: left-right asymmetry of  $\pi$  distribution in fragmentation of  $\perp$  polarized quark  $\Rightarrow$  ‘tag’ quark spin
  - ↪  $\cos(2\phi)$  modulation of  $\pi$  distribution relative to lepton scattering plane
  - ↪  $\cos(2\phi)$  asymmetry proportional to: Collins  $\times$  BM

# probing BM function in tagged SIDIS

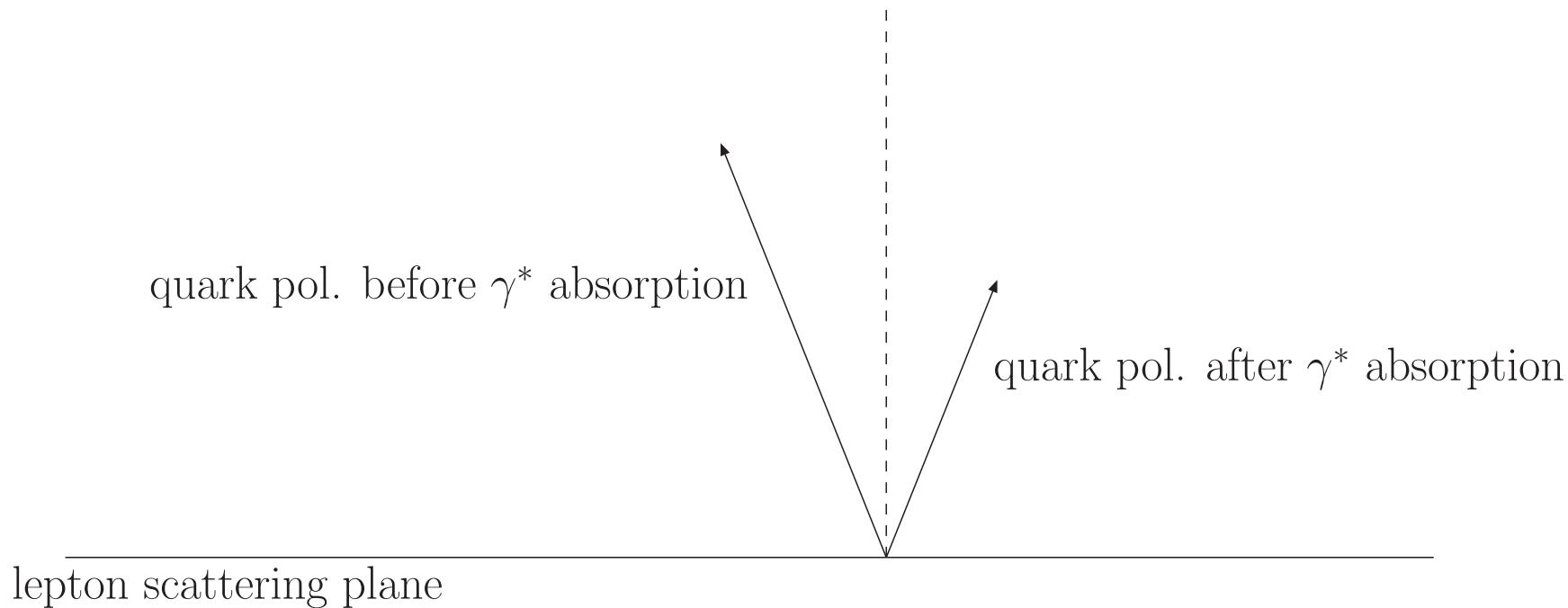
Primordial Quark Transversity Distribution

→  $\perp$  quark pol.



# $\perp$ polarization and $\gamma^*$ absorption

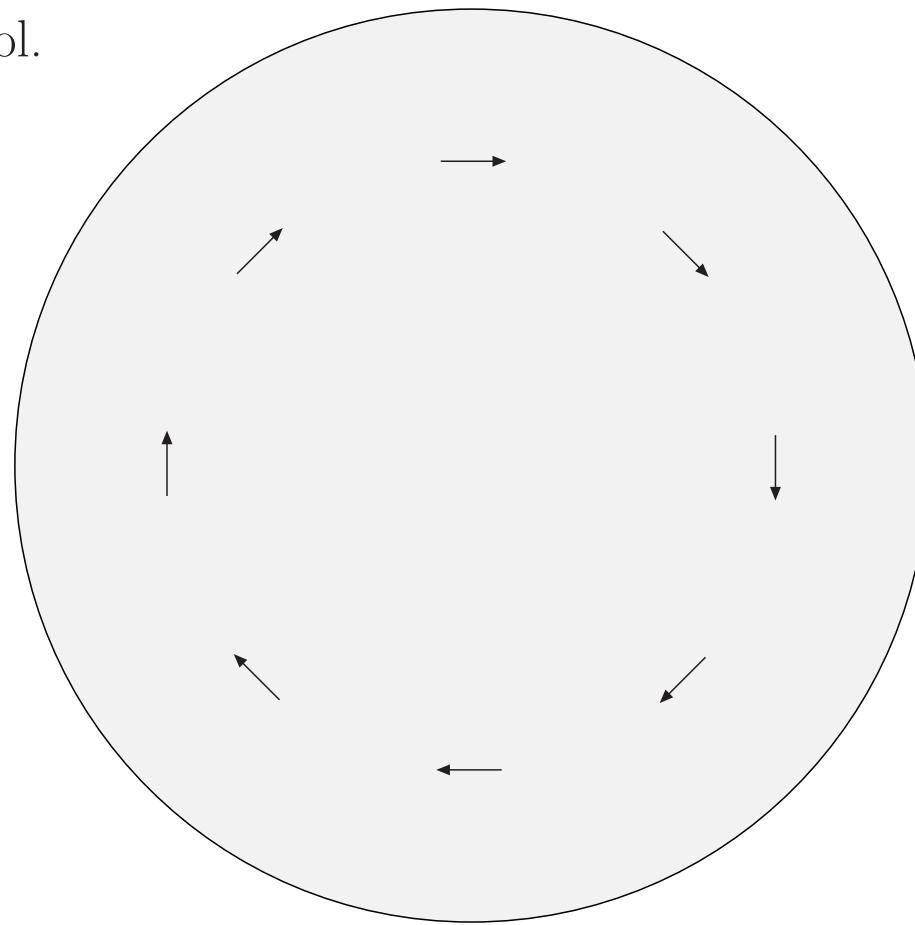
- QED: when the  $\gamma^*$  scatters off  $\perp$  polarized quark, the  $\perp$  polarization gets modified
  - gets reduced in size
  - gets tilted symmetrically w.r.t. normal of the scattering plane



# probing BM function in tagged SIDIS

Primordial Quark Transversity Distribution

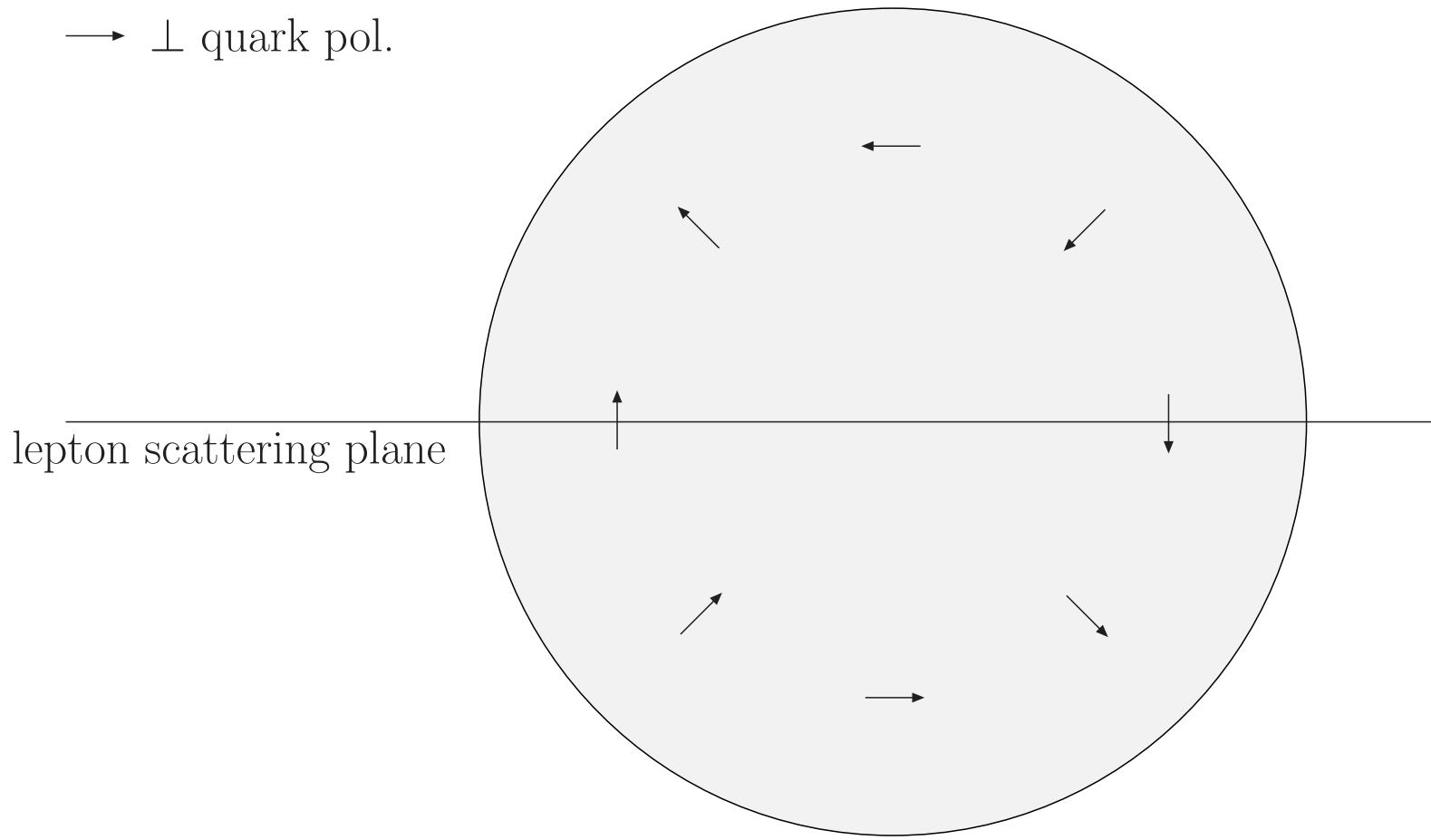
→  $\perp$  quark pol.



# probing BM function in tagged SIDIS

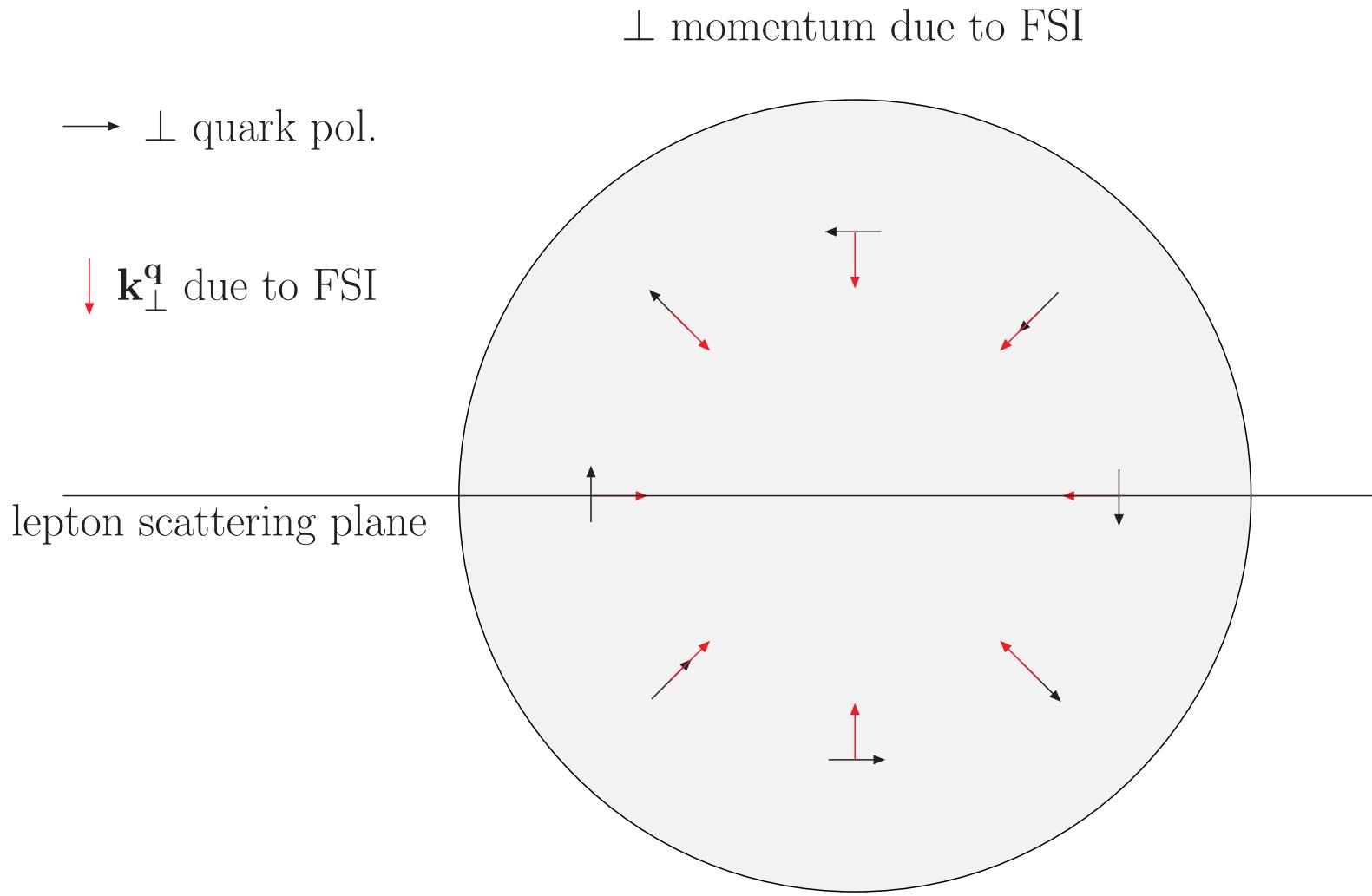
Quark Transversity Distribution after  $\gamma^*$  absorption

→  $\perp$  quark pol.



quark transversity component in lepton scattering plane flips

# probing BM function in tagged SIDIS

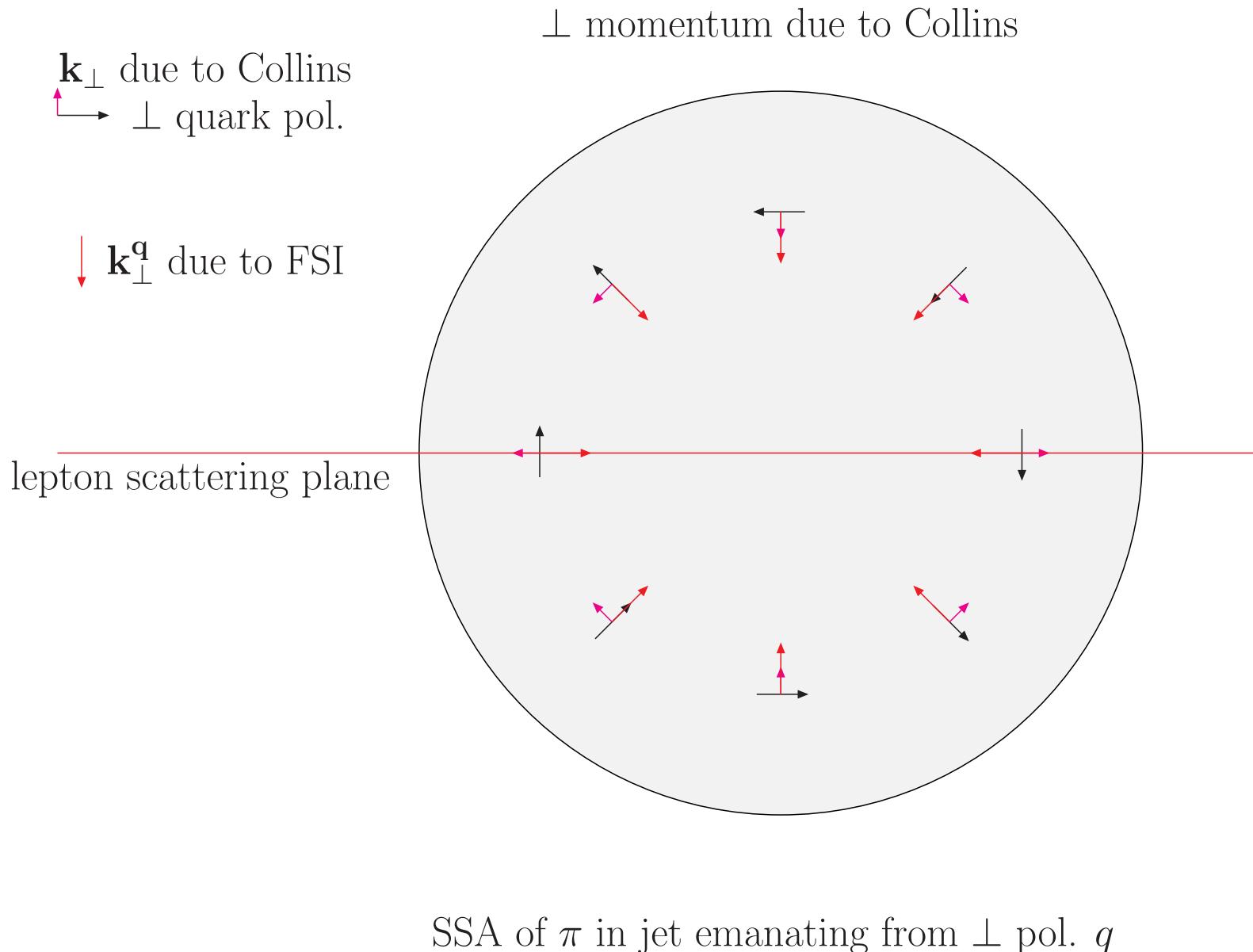


on average, FSI deflects quarks towards the center

# Collins effect

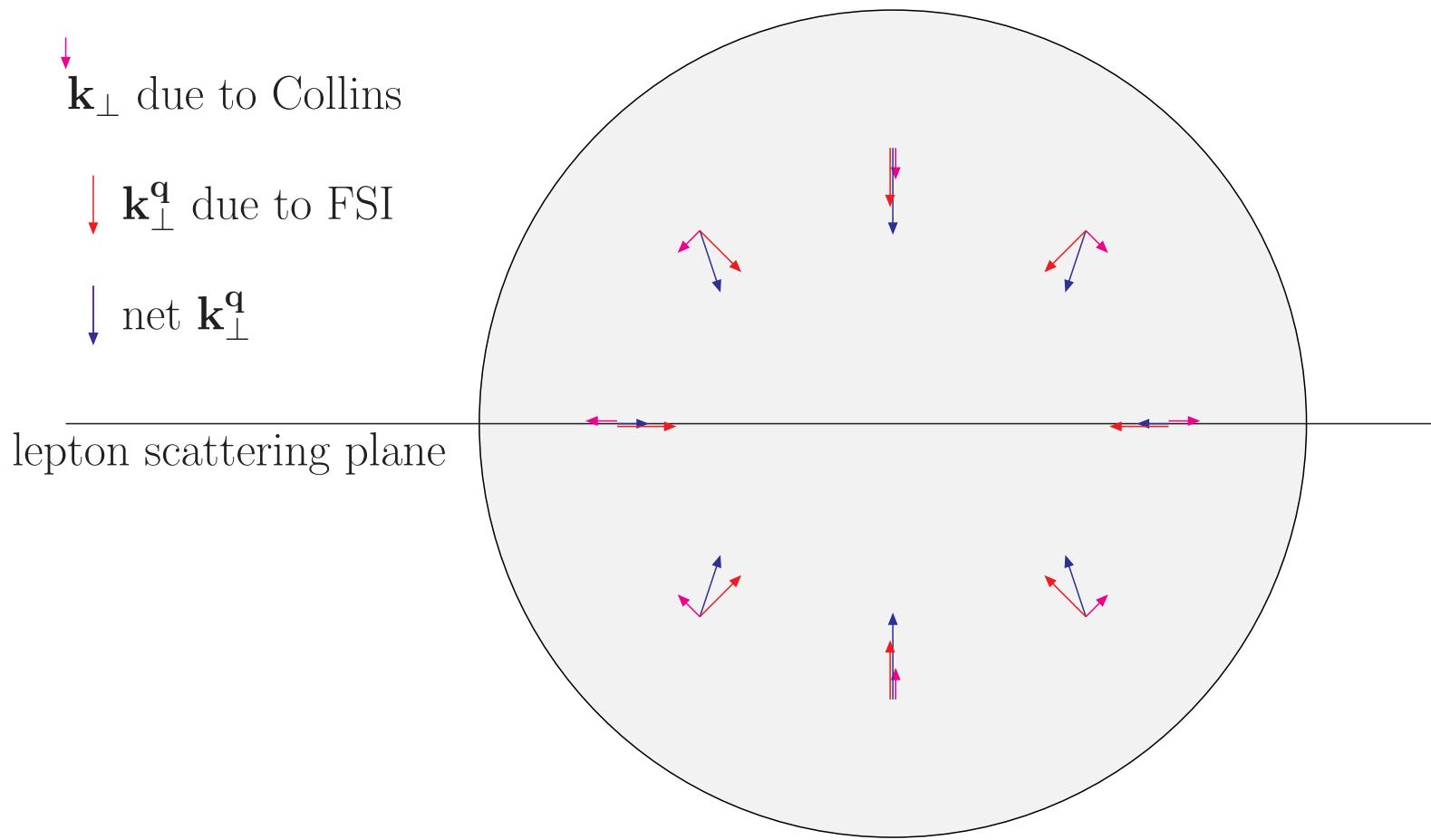
- When a  $\perp$  polarized struck quark fragments, the structure of jet is sensitive to polarization of quark
- distribution of hadrons relative to  $\perp$  polarization direction may be left-right asymmetric
- asymmetry parameterized by **Collins fragmentation function**
- Artru model:
  - struck quark forms pion with  $\bar{q}$  from  $q\bar{q}$  pair with  $^3P_0$  ‘vacuum’ quantum numbers
    - pion ‘inherits’ OAM in direction of  $\perp$  spin of struck quark
    - produced pion preferentially moves to left when looking into direction of motion of fragmenting quark with spin up
- Artru model confirmed by HERMES experiment
- more precise determination of Collins function under way (KEK)

# probing BM function in tagged SIDIS



# probing BM function in tagged SIDIS

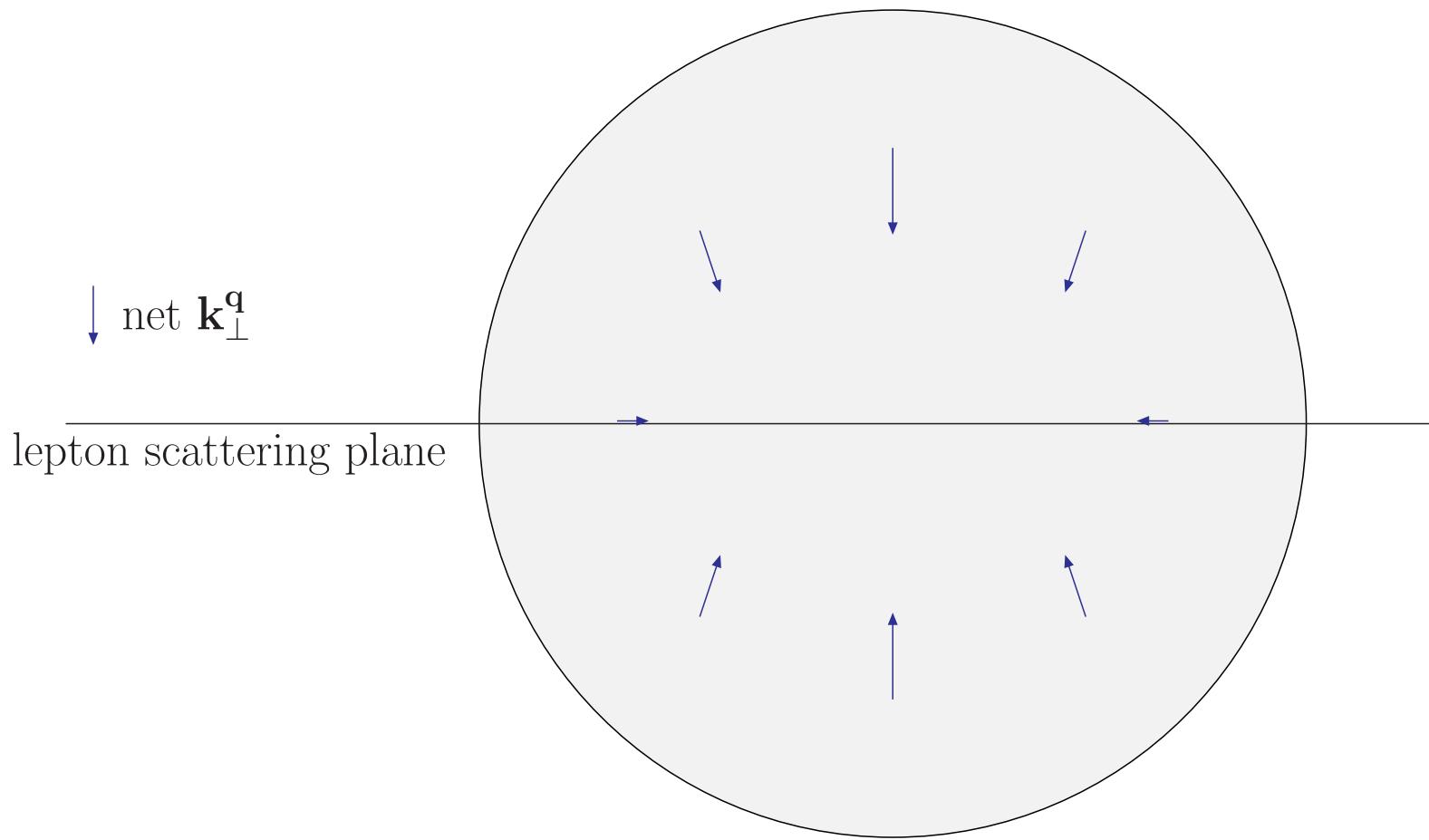
net  $\perp$  momentum (FSI+Collins)



→ in this example, enhancement of pions with  $\perp$  momenta  $\perp$  to lepton plane

# probing BM function in tagged SIDIS

net  $k_{\perp}^{\pi}$  (FSI + Collins)



→ expect enhancement of pions with  $\perp$  momenta  $\perp$  to lepton plane

# Quark-Gluon Correlations (chirally odd)

- $\perp$  momentum for quark polarized in  $+\hat{x}$ -direction (unpolarized target)

$$\langle k_\perp^y \rangle = \frac{g}{2p^+} \left\langle P, S \left| \bar{q}(0) \int_0^\infty dx^- G^{+y}(x^-) \sigma^{+y} q(0) \right| P, S \right\rangle$$

- compare: interaction-dependent twist-3 piece of  $e(x)$  (scalar twist-3 PDF)

$$\int dx x^2 \bar{e}(x) \equiv \bar{e}_2 = \frac{g}{4M P^+{}^2} \left\langle P, S \left| \bar{q}(0) G^{+y}(0) \sigma^{+y} q(0) \right| P, S \right\rangle$$

$$\hookrightarrow \langle F^y \rangle = M^2 \bar{e}_2$$

$\hookrightarrow$  (chromodynamic lensing)  $\bar{e}_2 < 0$

# Summary

- GPDs  $\xleftrightarrow{FT}$  IPDs (impact parameter dependent PDFs)
- $E^q(x, 0, -\Delta_\perp^2) \leftrightarrow \kappa_{q/p}$  (contribution from quark flavor  $q$  to anom magnetic moment)
- $E^q(x, 0, -\Delta_\perp^2) \longrightarrow \perp$  deformation of PDFs for  $\perp$  polarized target
- $\perp$  deformation  $\leftrightarrow$  (sign of) SSA (Sivers; Boer-Mulders)
- $\perp$  deformation  $\leftrightarrow$  (sign of) quark-gluon correlations ( $\int dx x^2 \bar{g}_2(x)$ ,  
 $\int dx x^2 \bar{e}(x)$ )