

A BRIEF INTRODUCTION TO FRAGMENTATION FUNCTIONS

Hrayr Matevosyan CoEPP, Adelaide





LITERATURE

+ Books

- Collins, "Foundations of Perturbative QCD", 2011.
- Halzen and Martin, "Quarks and Leptons", 1984.

+ Reviews

- Collins et. al., "Factorization of Hard Processes in QCD", arXiv:hep-ph/ 0409313
- Barone et. al., "Transverse-Spin and Transverse-Momentum Effects in High-Energy Processes", arXiv:1011.0909
- Sterman, "Partons, factorization and resummation, TASI 95", arXiv:hep-ph/ 9606312

PROBING THE STRUCTURE OF MATTER: DEEP INELASTIC SCATTERING







Deep inelastic scattering (DIS) probes partonic structure of hadrons.



$$e^+e^-$$
 ANNIHILATION

• Let's consider creation of quark-antiquark pairs.

$$\sigma(e^-e^+ \to q\bar{q}) = 3e_q^2\sigma(e^-e^+ \to \mu^-\mu^+)$$

$$N_c$$

• The conjecture of Confinement: NO free quarks or gluons have been directly observed: only HADRONS.

$$\begin{split} & \overline{\sigma(e^-e^+ \to hadrons)} = \sum_q \sigma(e^-e^+ \to q\bar{q}) \\ & R \equiv \frac{\sigma(e^-e^+ \to hadrons)}{\sigma(e^-e^+ \to \mu\bar{\mu})} = 3\sum_q e_q^2 \end{split}$$

 \bar{q}

TESTING SIMPLISTIC QCD PREDICTIONS

$$R = \begin{cases} 3[(2/3)^2 + (-1/3)^2 + (-1/3)^2] = 2 : u, d, s \\ 2+3(-1/3)^2 = 10/3 & : u, d, s, c \\ 10/3 + 3(-1/3)^2 = 11/3 & : u, d, s, c, b \end{cases}$$



6

HADRONIZATION: $e^-e^+ \rightarrow hX$

 Factorization: pQCD "hard" partonic scattering seaprated from "soft", universal fragmentation functions at renormalization scale.



$$\frac{d}{dz}\sigma(e^-e^+ \to hX) = \sum_q \sigma(e^-e^+ \to q\bar{q}) \left[D^h_q(z_h) + D^h_{\bar{q}}(z_h) \right], z_h = \frac{2E_h}{Q}$$

FRAGMENTATION FUNCTIONS

 The cross-sections of DIS processes can be factorized into "hard scattering" parts calculable in pQCD and "soft", non-perturbative universal functions encoding parton distribution in hadrons (PDFs) and parton hadronization: Fragmentation Functions (FF).

$$\frac{1}{\sigma}\frac{d}{dz}\sigma(e^-e^+ \to hX) = \sum_i \mathcal{C}_i(z,Q^2) \otimes D_i^h(z,Q^2)$$

 Unpolarized, Integrated FF is the probability density for quark q to produce hadron h:



• where z is the light-cone momentum fraction of the parton carried by the hadron and Q is the scale of factorization.

$$z = \frac{p^-}{k^-} \approx z_h = \frac{2E_h}{Q}$$

$$a^{\pm} = \frac{1}{\sqrt{2}}(a^0 \pm a^3)$$

COLLINEAR FACTORIZATION AND UNIVERSALITY



 SEMI INCLUSIVE DIS (SIDIS) $\sigma^{eP \to ehX} = \sum f_q^P \otimes \sigma^{eq \to eq} \otimes D_q^h$ \boldsymbol{q} $\cdot e^{+}e^{-}$ $\sigma^{e^+e^- \to hX} = \sum \sigma^{e^+e^- \to q\bar{q}} \otimes (D^h_q + D^h_{\bar{q}})$ DRELL-YAN (DY) $\sigma^{PP \to l^+ l^- X} = \sum f_q^P \otimes f_{\bar{q}}^P \otimes \sigma^{q\bar{q} \to l^+ l^-}$ q,q'Hadron Production $\sigma^{PP \to hX} = \sum f_q^P \otimes f_{q'}^P \otimes \sigma^{qq' \to qq'} \otimes D_q^h$ q,q

QCD EVOLUTION

- PDFs and FFs depend on the factorization scale: $Q^2 \gg \Lambda_{QCD}$
- This dependence can be described by EVOLUTION Dokshitzer-Gribov-Lipatov-Altarelli-Parisi (DGLAP) equations.

$$\frac{\partial}{\partial \log Q^2} D_i^h(z, Q^2) = \sum_j [P_{ij} \left(Q^2\right) \otimes D_j^h \left(Q^2\right)](z)$$
$$[f \otimes g](z) \equiv \int_z^1 \frac{dy}{y} f(y) g\left(\frac{z}{y}\right) = \int_z^1 \frac{dy}{y} f\left(\frac{z}{y}\right) g(y)$$

Splitting functions from pQCD



PROPERTIES

Sum Rules:

Average Multiplicity:

$$\int_{z_0}^1 dz \ D_q^h(z, Q^2) = \langle N_h \rangle$$

Momentum Conservation:

$$\sum_{h} \int_0^1 dz \ z \ D_q^h(z, Q^2) = 1$$

All produced hadrons

Symmetries:

+ Charge Conjugation: $q \Leftrightarrow \bar{q} \quad *$ Isospin: $u \Leftrightarrow d$

$$\begin{array}{c} D_u^{\pi^+} = D_{\bar{u}}^{\pi^-} \\ D_s^{K^-} = D_{\bar{s}}^{K^+} \end{array} \end{array}$$

$$\begin{array}{c} D_{u}^{\pi^{+}} = D_{d}^{\pi^{-}} \\ D_{u}^{K^{+}} = D_{d}^{K^{0}} \end{array} \end{array}$$

FAVORED AND UNFAVORED FFS

Using a naive quark model picture:

• Favored: the produced hadron has a valence quark of the same flavor.



• Unfavored (disfavored): NO valence quark of the same flavor.



DSS Parametrization

- Favored FFs are dominant in large z.
- In small z region both favored and unfavored FFs are comparable
- Light quark to Kaon FFs are suppressed compared to pions.



EMPIRICAL PARAMETRIZATIONS OF DATA

Experimentally measured cross-sections are convolution of PDFs and/or FFs: need to separate flavor dependence, etc.

Use UNIVERSALITY: perform a combined fit.

A Measurements are at different Q^2 : DGLAP evolution.

EMPIRICAL PARAMETRIZATIONS OF DATA



EMPIRICAL PARAMETRIZATIONS OF DATA



New Parametrization is ready!

Any Problems? YES!!!

- Many fragmentation channels, Huge number of parameters: *need to make approximations*.
- Large experiments uncertainties.
- Uncertainties from PDFs.



Unfavored FFs NOT well known!

Recent SIDIS results from COMPASS collaboration.
 Hadron Multiplicities
 Impact on Extra

Impact on Extraction of Δs



$$\mathbf{R}_{\rm UF} = \frac{\int_{0.2}^{0.85} \mathbf{D}_{\rm d}^{\rm K^+}(z) \, dz}{\int_{0.2}^{0.85} \mathbf{D}_{\rm u}^{\rm K^+}(z) \, dz}, \quad \mathbf{R}_{\rm SF} = \frac{\int_{0.2}^{0.85} \mathbf{D}_{\rm \bar{s}}^{\rm K^+}(z) \, dz}{\int_{0.2}^{0.85} \mathbf{D}_{\rm u}^{\rm K^+}(z) \, dz}$$



MODELS FOR FRAGMENTATION

- Lund String Model
 - <u>Very Successful</u> implementation in **JETSET, PYTHIA**.
 - Highly Tunable Limited Predictive Power.
 - No Spin Effects Formal developments by X. Artru et al but no quantitative results!
- Spectator Model
 - Quark model calculations with empirical form factors.
 - No unfavored fragmentations.
 - Need to <u>tune</u> parameters for small z dependence.
- NJL-jet Model
 - <u>Multi-hadron</u> emission framework with effective quark model input.
 - Monte-Carlo framework allows flexibility in including the transverse momentum, spin effects, etc.







THE QUARK JET MODEL

Field, Feynman, Nucl.Phys.B136:1,1978.



NAMBU--JONA-LASINIO MODEL

Effective Quark model of QCD

• Effective Quark Lagrangian $\mathcal{L}_{NJL} = \overline{\psi}_q (i\partial \!\!\!/ - m_q)\psi_q + G(\overline{\psi}_q \Gamma \psi_q)^2$



- Low energy chiral effective theory of QCD.
- Covariant, has the same flavor symmetries as QCD.
- Dynamically Generated Quark Mass from GAP Eqn.



NJL-JET: ELEMENTARY SPLITTINGS

H.M., Thomas, Bentz, PRD. 83:074003, 2011

$$D_q^h(z) = \frac{1}{6} dp_- \int d^2 p_\perp \sum_{\alpha} \frac{\left\langle k(\alpha) | a_h^{\dagger}(p) a_h(p) | k(\alpha) \right\rangle}{\langle k(\alpha) | k(\alpha) \rangle}$$

• One-quark truncation of the wavefunction: $d_q^h(z): q \to Qh$



SOLUTIONS OF THE INTEGRAL EQUATIONS

$$D_q^h(z) = \hat{d}_q^h(z) + \sum_Q \int_z^1 \hat{d}_q^Q\left(\frac{z}{y}\right) \frac{dy}{y} \cdot D_Q^h(y)$$

•Discretize z in [0,1]: $z \to \{z_1 = 0, z_2, \dots, z_N = 1\}$ •Approximate the integral over y as a sum: $\int_{z_i}^1 f(y) dy \approx \sum_{j=i+1}^N f(z_j) \Delta z_j$



MONTE-CARLO (MC) APPROACH



H.M., Thomas, Bentz, PRD.83:114010, 2011



• Using the *probabilistic* interpretation of fragmentation funcs. to include the effect of *multiple hadron emissions*.

$$\left(D_q^h(z)\Delta z = \left\langle N_q^h(z, z + \Delta z) \right\rangle \equiv \frac{\sum_{N_{Sims}} N_q^h(z, z + \Delta z)}{N_{Sims}}\right)$$

INTEGRATED FRAGMENTATIONS FROM MC

Input: One hadron emission probability



23

- Sample the emitted hadron type and z according to input splitting.
- **CONSERVE**: Momentum and Quark Flavor in each step.
- Repeat for decay chains with the same initial quark.

 $\sum_{N_{Sims}}$ $D_a^h(z)\Delta z = \langle N_a^h(z, z + \Delta z) \rangle \equiv$



DEPENDENCE ON CHAIN CUTOFF

• Restrict the number of emitted hadrons, N_{Links} in MC.



• We reproduce the splitting function and the full solution perfectly.

• The low z region is saturated with just a few emissions.

MORE CHANNELS

• Calculate quark splittings to vector mesons, Nucleon Anti-Nucleon: $d_q^h(z)$

$$h = \rho^0, \rho^{\pm}, K^{*0}, \overline{K}^{*0}, K^{*\pm}, \phi, N, \overline{N}$$

• Add the decay of the resonances:



• Decay cross-section in light-front variables:

 $dP^{h \to h_1, h_2}(z_1) = \begin{cases} \frac{C_h^{h_1 h_2}}{8\pi} dz_1 & \text{if } z_1 z_2 m_h^2 - z_2 m_{h1}^2 - z_1 m_{h2}^2 \ge 0; \ z_1 + z_2 = 1, \\ 0 & \text{otherwise.} \end{cases}$

Results: Momentum Fractions



Results: Momentum Fractions



Results: Momentum Fractions



The Momentum (and Isospin) sum rules satisfied within numerical precision (less than 0.1 %)!

Results with vector mesons, N-Nbar: $Q^2 = 4 \text{ GeV}^2$





3 DIMENSIONAL PICTURE OF NUCLEON FROM SIDIS: TRANSVERSE MOMENTUM



- Access to nucleon's transverse structure.
- NJL provides microscopic description of TMD PDFs and FFs!

AVERAGETRANSVERSE MOMENTA



Non-trivial z dependence from COMPASS: Rajotte arXiv:1008.5125



INCLUDING THE TRANSVERSE MOMENTUM

H.M., Bentz, Cloet, Thomas, PRD.85:014021, 2012



- TMD splittings: $d(z,p_{\perp}^2)$
- Conserve transverse momenta at each link.





 $D_q^h(z, P_\perp^2) \Delta z \ \pi \Delta P_\perp^2 = \frac{\sum_{N_{Sims}} N_q^h(z, z + \Delta z, P_\perp^2, P_\perp^2 + \Delta P_\perp^2)}{N_{Sims}}$

TMD FRAGMENTATION FUNCTIONS

FAVORED

UNFAVORED

 π

K



THE TRANSVERSE MOMENTA OF HADRONS IN SIDIS



- Use TMD quark distribution functions from the NJL model .
- Use Quark-jet hadronization model and NJL splittings.



• Evaluate the cross-section using MC simulation.

NJL: NUCLEON PDFS

Quark-diquark description of Nucleon using relativistic Faddeev approach



PDFs from Feynman diagrams



 $\mathcal{Q}(x, \mathbf{k_T}) = p^+ \int \frac{d\xi^- d\xi_T}{(2\pi)^3} e^{ix \, p^+ \, \xi^-} e^{-i \, \mathbf{k_T} \cdot \xi_T} \left\langle N, S \left| \bar{\psi}_q(0) \, \gamma^+ \, \mathcal{W}(\xi) \, \psi_q(\xi^-, \xi_T) \right| \, N, S \right\rangle \Big|_{\xi^+=0}$ $\mathcal{Q}(x, \mathbf{k_T}) = q(x, k_T^2) - \frac{\varepsilon^{-+ij} \, k_T^i \, S_T^j}{M} \, q_{1T}^\perp(x, k_T^2)$

NJL: NUCLEON PDFS - RESULTS

Integrated PDFs



• TMD PDFs



AVERAGE TRANSVERSE MOMENTAVS Z





COLLINS EFFECT

SIDIS POLARIZED CROSS-SECTION

A. Bacchetta, JHEP08, 023 (2008).

• For polarized SIDIS cross-section there are 18 terms in leading twist expansion:



 $\frac{d\sigma}{dx \, dy \, dz \, d\phi_S \, d\phi_h \, dP_{h\perp}^2} \sim F_{UU,T} + \varepsilon F_{UU,L} + \dots \\ + |S_{\perp}| \left[\sin(\phi_h - \phi_S) \left(F_{UT,T}^{\sin(\phi_h - \phi_S)} + \varepsilon \, F_{UT,L}^{\sin(\phi_h - \phi_S)} \right) + \varepsilon \, \sin(\phi_h + \phi_S) \, F_{UT}^{\sin(\phi_h + \phi_S)} + \dots \right]$ Sivers Effect Collins Effect

- Extract the specific harmonics: $F_{UU} \sim C[f_1 \ D_1] \qquad F_{UT}^{\sin(\phi_h + \phi_S)} \sim C[h_1 \ H_1^{\perp}]$
 - NEED Collins Function to access the Transversity from SIDIS!

COLLINS FRAGMENTATION FUNCTION

φ

H.M., Thomas, Bentz, arXiv:1205.5813, 2012

Collins Effect:

Azimuthal Modulation of Transversely Polarized Quark' Fragmentation Function.

Unpolarized

$$D_{h/q^{\uparrow}}(z, P_{\perp}^2, \varphi) = D_1^{h/q}(z, P_{\perp}^2) - H_1^{\perp h/q}(z, P_{\perp}^2)$$

Collins

 Chiral-ODD: Needs to be coupled with another chiral-odd quantity to be observed.

 $\bot S_q \sin(\varphi)$

 zm_{k}

EXPERIMENTAL MEASUREMENTS IN HERMES $l \ \vec{p} \rightarrow l' \ h \ X$ Airapetian et al, Phys.Lett. B693 (2010) 11-16.

4

• SIDIS with transversely polarized target:



- Large positive signal for K^+ .
- Consistent with 0 for π^0 and K^- .





GLOBAL FITS TO EXPERIMENTAL DATA Anselmino et al., Nuclear Physics B (Proc. Suppl.) 191 (2009) 98–107.

Consider e^+e^- and SIDIS

BELLE, R. Seidl et al., Phys. Rev. D78 (2008) 032011. HERMES, M. Diefenthaler, Proc. of DIS2007 (2007). COMPASS, M. Alekseev et al., arXiv:0802.2160.

$$\Delta^N D_{h/q^{\uparrow}}(z, p_{\perp}) = \frac{2p_{\perp}}{zm_h} H_1^{\perp h/q}(z, p_{\perp})$$

$$\Delta^N D_{h/q^{\uparrow}}(z) = \int d^2 \boldsymbol{p}_{\perp} \Delta^N D_{h/q^{\uparrow}}(z, p_{\perp}) = 4H_1^{\perp(1/2)}(z)$$

 $\Delta^N D_{h/q^{\uparrow}}(z)/2D_1(z) = 2H_1^{\perp(1/2)}(z)/D_1(z)$



Using Gaussian Ansatz: $D_{1}^{h/q}(z, p_{\perp}) \sim \frac{e^{-p_{\perp}^{2}/\langle p_{\perp}^{2} \rangle}}{\pi \langle p_{\perp}^{2} \rangle}$ $\Delta^{N} D_{h/q^{\uparrow}}(z, p_{\perp}) \sim \frac{p_{\perp}}{M} e^{-p_{\perp}^{2}/M^{2}} \frac{e^{-p_{\perp}^{2}/\langle p_{\perp}^{2} \rangle}}{\pi \langle p_{\perp}^{2} \rangle}$ $D_{\pi^{+}/u, \bar{d}} = D_{\pi^{-}/d, \bar{u}} = D_{fav}$ $D_{\pi^{+}/d, \bar{u}} = D_{\pi^{-}/u, \bar{d}} = D_{\pi^{\pm}/s, \bar{s}} = D_{unf}$

43

COLLINS FRAGMENTATION FUNCTION

Collins Effect:

Azimuthal Modulation of the Fragmentation Function of a Transversely Polarized Quark.

$$\begin{split} D_{h/q^{\uparrow}}(z,P_{\perp}^2,\varphi) &= D_1^{h/q}(z,P_{\perp}^2) \\ &- H_1^{\perp h/q}(z,P_{\perp}^2) \frac{P_{\perp}S_q}{zm_h} \sin(\varphi) \end{split}$$



Extend the NJL-jet Model to Include the Quark's Spins.



Model Calculated Elementary Collins Function as Input

ELEMENTARY POLARIZED SPLITTINGS

• One-quark truncation of the wavefunction:

Bacchetta et. al., Phys. Lett. B659, 234 (2008). Gamberg et. al., Phys. Rev. D68, 051501 (2003).

 $d_1^{h/q}(z, p_{\perp}^2) = \frac{1}{2} \text{Tr} \left[\Delta_0(z, p_{\perp}^2) \gamma^+ \right]$





QUARK SPIN FLIP PROBABILITY

- Consider Elementary Splitting.
- Approximation: only tree-level amplitude!
- Use Lepage-Brodsky Spinors in helicity base to construct the transversely polarized quark spinors:





$$\begin{split} & U_{\chi} \equiv \frac{1}{\sqrt{2}} \left[U_{(+z)} + \chi \ U_{(-z)} \right] & \bar{U}_{\chi}(k,m) U_{\chi'}(k,m) = \delta_{\chi,\chi'} 2m \\ & (\not\!\!\!/ k - m) \ U_{\chi} = 0 & W_1 \ U_{\chi} = \chi \frac{m}{2} U_{\chi} \end{split}$$

• Where Pauli-Lubanski vector as Lorentz-covariant spin operator:

$$W_{\mu} \equiv -\frac{1}{2} \epsilon_{\mu\nu\rho\sigma} S^{\nu\rho} k^{\sigma} \qquad S^{\nu\rho} \equiv \frac{i}{4} \left[\gamma^{\nu}, \gamma^{\rho} \right]$$

• The corresponding matrix elements between in and out states: $\Psi_{out} = a_1 U_1(l, M_2) + a_{-1} U_{-1}(l, M_2)$

$$\left|\bar{U}_{\chi'}(l,M_2)\gamma^5 U_{\chi}(k,M_1)\right|^2 = \delta_{\chi,\chi'} \frac{l_x^2}{1-z} + \delta_{\chi,-\chi'} \frac{l_y^2 + (M_2 - (1-z)M_1)^2}{1-z}$$

• Spin non-flip and flip probabilities are proportional to:

$$|a_1|^2 \sim l_x^2, \ |a_{-1}|^2 \sim l_y^2 + (M_2 - (1-z)M_1)^2$$

INTEGRATED POLARIZED FRAGMENTATIONS

• First: Integrate Polarized Fragmentations over P_{\perp}^2

 $D_{h/q^{\uparrow}}(z,\varphi) \equiv \int_0^{\infty} dP_{\perp}^2 \ D_{h/q^{\uparrow}}(z,P_{\perp}^2,\varphi) = \frac{1}{2\pi} \left[D_1^{h/q}(z) \ -2H_{1(h/q)}^{\perp(1/2)}(z)S_q\sin(\varphi) \right]$



$$D_{1}^{h/q}(z) \equiv \pi \int_{0}^{\infty} dP_{\perp}^{2} D_{1}^{h/q}(z, P_{\perp}^{2})$$

$$H_{1(h/q)}^{\perp(1/2)}(z) \equiv \pi \int_{0}^{\infty} dP_{\perp}^{2} \frac{P_{\perp}}{2zm_{h}} H_{1}^{\perp h/q}(z, P_{\perp}^{2})$$
Fit with form: $C_{0} + c_{1} \sin(\varphi)$

$$\int_{0.6}^{0.6} \frac{\pi^{4}}{\pi^{4}}$$

47

1/2 MOMENT OF COLLINS FUNC.











Collins

Ratio



FINAL REMARKS

- DIS is the tool of the modern subatomic physics.
- The *non-perturbative* QCD information is encoded in UNIVERSAL parton distribution and fragmentation functions.
- Fragmentation functions are essential to probe the structure of hadrons experimentally.

• FFs are much worse determined than PDFs!

- Models are needed to guide the extraction from experimental data.
- NJL-jet is an exciting new framework for modeling DIS processes using effective quark model description of both FFs and PDFs.